

Takustraße 7 D-14195 Berlin-Dahlem Germany

Konrad-Zuse-Zentrum für Informationstechnik Berlin

> Ernst-Michael Ilgenfritz¹, Werner Kerler¹, Michael Müller-Preussker¹, André Sternbeck¹, Hinnerk Stüben

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¹Institut für Physik, Humboldt-Universität zu Berlin, D-12489 Berlin, Germany

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E.-M. Ilgenfritz, W. Kerler, M. Müller-Preussker, A. Sternbeck

Humboldt-Universität zu Berlin, Institut für Physik, D-12489 Berlin, Germany

and H. Stüben

Konrad-Zuse-Zentrum für Informationstechnik Berlin, D-14195 Berlin, Germany (Dated: September 12, 2003)

Abstract

We report on a numerical reinvestigation of the Aoki phase in lattice QCD with two flavors of Wilson fermions where the parity-flavor symmetry is spontaneously broken. For this purpose an explicitly symmetry-breaking source term $h\bar{\psi}i\gamma_5\tau^3\psi$ was added to the fermion action. The order parameter $\langle\bar{\psi}i\gamma_5\tau^3\psi\rangle$ was computed with the Hybrid Monte Carlo algorithm at several values of (β, κ, h) on lattices of sizes 4⁴ to 12⁴ and extrapolated to h = 0. The existence of a parity-flavor breaking phase can be confirmed at $\beta = 4.0$ and 4.3, while we do not find parity-flavor breaking at $\beta = 4.6$ and 5.0.

Keywords: Wilson fermions, phase diagram, parity-flavor symmetry, Aoki phase

I. INTRODUCTION

Spontaneous breaking of chiral symmetry is one of the main non-perturbative phenomena of QCD explaining many features of the hadronic world, in particular of hadrons containing u-, d- and/or s-quarks. QCD allows to interpret the light octet mesons as Goldstone bosons. The four-dimensional (Euclidean) lattice discretization of QCD provides a unique ab-initio non-perturbative approach. However, in this approach chiral symmetry has to be treated with special care. At present, on the lattice this symmetry is best realized by satisfying the Ginsparg-Wilson relation [1] for the lattice Dirac operator, *e.g.* employing the so-called overlap operator [2, 3], or using the five-dimensional domain wall fermion ansatz [4–6]. In both cases the Wilson-Dirac operator (with a bare mass parameter $am_0 \in (-2, 0)$) serves as an input for the fermionic part of the lattice discretized action.

For the Wilson-Dirac operator (which breaks chiral invariance explicitly) S. Aoki [7] has shown that in a certain range of the hopping parameter κ (or the bare mass m_0) there is a phase in which parity and flavor symmetry are spontaneously broken simultaneously. In agreement with literature we call it the *Aoki phase*. When κ approaches the border lines of this phase all pion masses tend to zero because one is approaching a second order phase transition. In the whole Aoki phase the charged pion states are expected to remain massless (in case of $N_f = 2$ flavors) since they appear to be the Goldstone bosons related to parity-flavor breaking. The general phase structure as proposed by Aoki is shown in Fig. 1.

It has been questioned whether the Aoki phase exists also in the continuum (in the sense of extending to $\beta = \infty$) or, alternatively, ends somewhere at finite β . Previous investigations of this problem did not yield a unique answer [8–13]. In this paper we present results of a more thorough numerical analysis of this question. As it has been discussed recently [14], the answer is of relevance for the locality behavior and the restoration of chiral invariance in quenched and full QCD with Ginsparg-Wilson and domain wall fermions. Accordingly, the region of the Aoki phase has to be avoided in such computations in order not to spoil physical reliability.

Our investigation was carried out for full lattice QCD with $N_f = 2$ flavors of unimproved Wilson fermions using the standard plaquette gauge action. It includes a careful extrapolation of $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle$ to vanishing external field. It shows that the Aoki phase is unlikely to extend beyond $\beta = 4.6$ (which confirms early conclusions in Ref. [8]).



FIG. 1: The phase diagram proposed by Aoki *et al.* in the (g^2, m_0) -plane (left hand side) and in the (β, κ) -plane (right hand side). The shaded region labeled *B* denotes the phase where flavor and parity are spontaneously broken. Both symmetries are conserved in regions labeled *A*.

The outline of our paper is as follows. In Section II we discuss the proposed phase structure in greater detail. Section III provides details of our numerical simulations. In Section IV we present our numerical results. Section V contains the discussion and our conclusions.

II. THE PROPOSED PHASE STRUCTURE

Aoki *et al.* [9] have conjectured that for lattice QCD with $N_f = 2$ Wilson fermions there exists a parity-flavor breaking phase which is separated from an unbroken phase (or from unbroken phases) by second order phase transition lines. The proposed phase structure in the (g^2, m_0) -plane is shown on the left hand side of Fig. 1. As can be seen from this figure, two of those critical lines run from strong coupling to the weak coupling limit, while further critical lines are confined to the weak coupling region. At zero coupling, pairs of these transition lines join at points referring to the different fermion doublers. Aoki [15] has further claimed that along the critical lines the pion triplet is massless. The neutral pion becomes massless only on the critical lines, due to the presence of a second order phase transition, while the charged pions turn massless on the critical lines and remain massless inside the Aoki phase signaling that flavor symmetry is broken.

From the numerical point of view it is natural to draw the phase diagram in the (β, κ) -plane. Using the well known relations $\kappa = 1/(2m_0 + 8)$ and $\beta = 6/g^2$, the pro-

posed phase structure is mapped to this plane as shown on the right hand side of Fig. 1. Therein the symmetry $m_0 \leftrightarrow -(m_0+8)$ is hidden in the reflection $\kappa \leftrightarrow -\kappa$ which is not made explicit for simplicity. The critical line $\kappa_c(\beta)$ which runs from $\beta = 0$ to infinity is nothing but the chiral limit line of lattice QCD. Thus the scenario proposed by Aoki *et al.* might explain why all pions are massless along this line despite the fact that Wilson fermions explicitly break chiral symmetry.

In principle, the Aoki phase could be expected to exist for all values of β . In the strong coupling region the existence of such a phase was verified by performing numerical simulations of QCD with Wilson fermions as summarized in [9] and reconsidered in [10]. For this purpose a so-called *twisted mass* term $h\bar{\psi}i\gamma_5\tau^3\psi$ was added to the action which explicitly breaks parity-flavor symmetry. Without an external field h coupling to $\bar{\psi}i\gamma_5\tau^3\psi$, the parameter $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle$ would always be zero on a finite lattice. $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle$ has to be measured for varying lattice size V and non-vanishing h-values. The order parameter $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle_{h=0}$ is then obtained by taking the double limit in the following order

$$\langle \bar{\psi} i \gamma_5 \tau^3 \psi \rangle_{h=0} = \lim_{h \to 0} \lim_{V \to \infty} \langle \bar{\psi} i \gamma_5 \tau^3 \psi \rangle \,. \tag{1}$$

In the literature one finds numerical results from quenched [11] and unquenched [10, 12] simulations at finite h which support the existence of a parity-flavor breaking phase, at least for $\beta \leq 4.0$. However, extrapolations in order to carry out the double limit (1) had not been performed.

Going to larger values of β there are contradictory statements about the existence of such a broken phase. Bitar [8] has come to the conclusion that there is no Aoki phase for $\beta \geq 5.0$. However, results from quenched simulations [11] suggest that the finger structure anticipated by Aoki exists. In an analytical analysis by Sharpe and Singleton [13] it was pointed out that in the weak-coupling regime a parity-flavor breaking phase might exist, though possibly only in a narrow region. However, the authors argued that this is only one of two possible options. The other option would exclude the existence of the Aoki phase. Which option is chosen in reality could not be predicted. Thus, one has to resort to numerical simulations to clarify whether there is a strip of parity-flavor breaking phase in lattice QCD with Wilson fermions extending to infinite β or not.

III. SIMULATION DETAILS

We have simulated lattice QCD with two flavors of (unimproved) Wilson fermions with (the Φ -version of) the Hybrid Monte Carlo algorithm [16, 17] where an even/odd decomposition [18] has been employed. An explicitly symmetry breaking source term was added to the Wilson fermion matrix M_W , *i.e.* the two flavor fermion matrix was given by

$$M(h) = M_W + hi\gamma_5\tau^3.$$
 (2)

The simulations were performed on lattices ranging from 4^4 to 12^4 at β -values 4.0, 4.3, 4.6, and 5.0, with κ and h in the intervals $0.15 \leq \kappa \leq 0.28$ and $0.003 \leq h \leq 0.04$, respectively.

In our study we measured $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ as a function of κ at finite h. The parameter $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$, which is proportional to the trace of $M^{-1}(h)$, was averaged over 100–1000 gauge field configurations (see Table II) separated by trajectories of length 1. The trace was measured with a stochastic estimator [19].

For illustration, results for $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ from a 6⁴ lattice at $\beta = 4.0$ are shown in Fig. 2. The location of the peak determines the region where subsequent simulations on larger lattices and smaller h were performed. In Fig. 2 the peak is around $\kappa = 0.22$. It becomes sharper as h decreases. We have increased the lattices until measurements agreed within errors such that we can treat our largest lattices as infinitely large. The extrapolation to vanishing h is described in the following section.

IV. EXTRAPOLATING TO VANISHING EXTERNAL FIELD

In Fig. 3 an analysis of $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ data is shown for $\beta = 4.0$ and 4.3. As can be seen from the upper and lower left plot the interesting region is around $\kappa = 0.22$ and $\kappa = 0.21$, respectively. At these (β, κ) -pairs further simulations were performed in order to control finite-size effects. Data from these simulations are shown in the center plots of Fig. 3. No finite size effects are visible in the plots except for data from the 4⁴ lattice at $\beta = 4.0$. Hence, the measurements of $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ from the largest lattice at each h can be considered to lie within errors on the infinite volume envelope.

The question arises how to fit these data properly. Motivated from the mean field equation

$$h = A_0 \sigma^3 + A_1 \cdot (\kappa - \kappa_c) \sigma \qquad \text{with} \quad \sigma \equiv \langle \bar{\psi} i \gamma_5 \tau^3 \psi \rangle \tag{3}$$



FIG. 2: Results for $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ as a function of κ and h at $\beta = 4.0$ on a 6^4 lattice.

we use the ansatz

$$\sigma(h) = A + Bh^C + \dots \tag{4}$$

It is instructive to look at so-called Fisher plots [20, 21] (see the right hand side of Fig. 3). From equation (3) one expects data for $\kappa \leq \kappa_c$ to lie on straight lines ending at the origin or at the abscissa, while within the broken phase they should lie on straight lines ending at the ordinate. As can be seen from the Fisher plots obtained the data do not lie on straight lines and therefore do not behave mean-field like.

Using (4) with the mean field value C = 1/3 results in unstable fitting functions, but taking C as a free parameter instead, the ansatz describes the data well. In fact, the parameter of interest, A, is robust against the introduction of linear and quadratic correction terms (see Table I). Furthermore, the fit parameters B and C agree within errors for both values of β , even when introducing corrections. We conclude that the order parameter $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle_{h=0}$ is non-zero at $(\beta, \kappa) = (4.0, 0.22)$ and (4.3, 0.21).

Measurements for $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ at $\beta = 4.6$ are shown in the upper row of Fig. 4. Looking at the upper left plot of the figure one sees that $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ still has a peak at finite h. The peak becomes narrower and its position is shifted from $\kappa = 0.1986$ to $\kappa = 0.1981$ as the



FIG. 3: In the left column data for $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ from a 6⁴ lattice are shown as a function of κ at several values of h (the lines are spline interpolations to guide the eye). The extrapolation to h = 0 in the infinite volume limit is shown in the center column of this figure. The right column shows the Fisher plots with the corresponding fitting function. The upper row shows results for $\beta = 4.0$, the lower one for $\beta = 4.3$.

fit	А	В	С	D	Е	χ^2/NDF			
	$\beta = 4.0$ $\kappa = 0.22$								
1	0.068(4)	1.07(9)	0.67(3)	0	0	0.80			
2a	0.067(3)	1	0.66(2)	0.1(1)	0	0.83			
2b	0.067(3)	1.03(11)	2/3	0.1(3)	0	0.81			
3a	0.066(3)	1	0.65(1)	0	1(1)	0.98			
3b	0.067(2)	1.05(4)	2/3	0	0.1(17)	0.84			
	$\beta = 4.3$ $\kappa = 0.21$								
1	0.034(1)	0.99(3)	0.65(1)	0	0	0.08			
2a	0.034(1)	1	0.65(1)	-0.02(4)	0	0.08			
2b	0.035(1)	1.11(4)	2/3	-0.2(1)	0	0.09			
3a	0.035(1)	1	0.65(1)	0	-0.2(6)	0.08			
3b	0.036(1)	1.06(1)	2/3	0	-1.2(9)	0.13			
	$\beta = 4.6$ $\kappa = 0.1981$								
1a	0	1	0.63(1)	0	0	3.21			
1b	0.001(2)	1	0.63(6)	0	0	4.82			
1c	0	0.97(3)	0.62(9)	0	0	3.62			
2a	0	1	0.63(1)	-0.05(5)	0	3.36			
2b	0.0005(8)	1	0.63	-0.03(3)	0	3.87			
3a	0	1	0.63(1)	0	-1(1)	2.41			
3b	0.0003(4)	1	0.63	0	-0.9(7)	2.79			

TABLE I: The parameters of the ansatz $\sigma(h) = A + Bh^{C} + Dh + Eh^{2}$ fitted to the data for $\langle \bar{\psi}i\gamma_{5}\tau^{3}\psi \rangle$ at $\beta = 4.0, 4.3$ and 4.6 with no, linear, or quadratic corrections (labeled as 1, 2 or 3). At each *h* the result from the largest lattice was used in the fit (for details see Table II). The data at h = 0.003 were discarded, because these are from a 6⁴ lattice. Also the result at $\beta = 4.6$ and h = 0.005 was not taken into account due to low statistics. Fixed parameters are presented by their value without giving an error. In each case the first fit (bold numbers) was used in Figs. 3 and 4, respectively.

0	κ	h									
β		0.005		0.01		0.02		0.03		0.04	
4.0	0.2200	8^4	250	10^{4}	146	6^{4}	1000	_	_	6^{4}	1000
4.3	0.2100	8^4	300	8^{4}	500	8^{4}	250	6^{4}	500	_	_
4.6	0.1981	_	_	10^{4}	170	10^{4}	250	10^{4}	200	_	_

TABLE II: Statistics used for the final analysis (extrapolation) at selected κ -values for $\beta = 4.0$, 4.3 and 4.6. For the respective values of h given in the first row in the second column we report the number of trajectories produced for each lattice size. A similar statistic was used for scanning at neighboring κ -values.

fit	β	A_{β}	В	С	D_{β}	$\chi^2/{ m NDF}$
	4.0	0.063(2)			0	
1	4.3	0.032(2)	1.0(1)	0.64(2)	0	3.4
	4.6	0.004(2)			0	
	4.0	0.065(2)			-0.8(3)	
2	4.3	0.034(2)	1.5(2)	0.71(2)	-0.8(3)	2.4
	4.6	0.004(2)			-0.7(3)	

TABLE III: The parameters of the ansatz $\sigma(h) = A_{\beta} + Bh^{C} + D_{\beta}h$ fitted to the data in Table II for $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle$ at $\beta = 4.0, 4.3$ and 4.6 with no (fit 1) and linear (fit 2) corrections. The parameter B and C are common to all data, while for each β there is a separate value for A_{β} and D_{β} , respectively. Fixed parameters are presented by their value without giving an error.

lattice size is increased from 6^4 to 10^4 . Taking the results from the 10^4 lattice at $\kappa = 0.1981$, a fit using (4) can be performed. However, due to low statistics the point at h = 0.005 was discarded and therefore some fit parameters had to be fixed. Using the fit results from the two lower values of β , the parameter A, B and C were alternately fixed to reasonable values. The extrapolation is consistent with a vanishing order parameter (see Table I). The same result is obtained by inspection of the Fisher plot in Fig. 4 where the data seem to lie on a line ending on the abscissa. This means that the order parameter $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle_{h=0}$ vanishes at $\beta = 4.6$.

In addition, the parameters B and C agree within errors for all three values of β as can be seen from Table I. Therefore, we also fitted the data globally using ansatz (4) where Band C are common to all data, while the parameters A_{β} and D_{β} are different for each β . In Table III the fit results are shown. In agreement with the results presented above, the order parameter $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle_{h=0}$ is found to be finite at $\beta = 4.0$ and $\beta = 4.3$, while it vanishes at $\beta = 4.6$. Furthermore, their values are robust against the introduction of a correction term linear in h, while B and C are sensitive.

At $\beta = 5.0$ a vanishing order parameter becomes manifest. As can be seen from the lower row of Fig. 4 there is still a peak. However, the plot of $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ at $\kappa = 0.18$, the extrapolation to h = 0 by the fit as well as the Fisher plot do not support a finite value of $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle_{h=0}$ at $\beta = 5.0$.



FIG. 4: In the left column data for $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ from lattices of various sizes are shown as a function of κ at h = 0.005 fixed (the lines are drawn to guide the eye). The extrapolation to h = 0 in the infinite volume limit is shown in the center column. In the right column the corresponding Fisher plots are shown. The upper row contains data at $\beta = 4.6$ from lattices of sizes 6^4 , 8^4 and 10^4 . The lower row shows measurements for $\beta = 5.0$ from lattices of sizes 10^4 and 12^4 .

V. DISCUSSION

In this study we have investigated how far a parity-flavor breaking phase in lattice QCD with two flavors of dynamical Wilson fermions at zero temperature extends in β . An explicitly symmetry breaking term, the twisted mass term $h\bar{\psi}i\gamma_5\tau^3\psi$, was added to the Wilson fermion matrix. The phase diagram was explored in the rectangle $4.0 \leq \beta \leq 5.0$ and $0.15 \leq \kappa \leq 0.28$.

We have presented Hybrid Monte Carlo results for the order parameter $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$. The existence of a parity-flavor breaking phase could be confirmed at $(\beta, \kappa) = (4.0, 0.22)$ and (4.3, 0.21), where $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$, measured at finite h, extrapolates to a finite value at h = 0 in the infinite volume limit. No parity-flavor breaking was found at $\beta = 4.6$ and $\beta = 5.0$. This suggests a phase structure as shown in Fig. 5. Two squares in Fig. 5 mark points where we were able to confirm the Aoki phase. Two stars mark points where $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ has a peak at finite h, but where our extrapolation to h = 0 is consistent with a vanishing order parameter. Consequently these stars are labeled *vestigial*.

According to these results, the Aoki phase for T = 0 seems to end close to $\beta = 4.6$ and $\kappa = 0.1981$. Rough estimates for the upper $\kappa_c^{(u)}$ and lower $\kappa_c^{(l)}$ bound of the Aoki phase are

$$\begin{split} \beta &= 4.0: \quad 0.215 \simeq \kappa_c^{(l)} < 0.220 \quad 0.220 < \kappa_c^{(u)} < 0.225 \\ \beta &= 4.3: \quad 0.205 < \kappa_c^{(l)} < 0.210 \quad 0.210 < \kappa_c^{(u)} < 0.215 \,. \end{split}$$

The pair $(\beta, \kappa) = (4.0, 0.215)$ seems to be quite close to the lower boundary. We conclude this from the behavior of $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ in conjunction with the behavior of the pion norm [22] (which also has been measured during our simulations). At this (β, κ) -pair $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ extrapolates to zero at h = 0, whereas the pion norm seems to diverge as $h \to 0$. Such behavior is expected close to critical lines $\kappa_c(\beta)$.

Referring to the discussion of the anticipated phase diagram in Section II, the results presented here do not indicate a parity-flavor breaking phase at $\beta \geq 4.6$ which was originally claimed to exist at all β (see Fig. 1). This is suggested not only by the extrapolation of $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle$ to h = 0 in section IV, which yields $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle_{h=0} = 0$ at $\beta = 4.6$ and $\beta = 5.0$, but also by the observation that the peak of $\langle \bar{\psi}i\gamma_5\tau^3\psi \rangle$ decreases in height and width as β increases. The parity-flavor breaking phase seems to be pinched off near $\beta = 4.6$ as illustrated in Fig. 5. From the numerical point of view we agree with Bitar [8], who has



FIG. 5: The part of the phase diagram studied in this work. Squares denote (β, κ) -pairs where a finite value of $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle_{h=0} = 0$. Stars denote points where a finite value of $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle_{h=0}$ is uncertain. The lines indicate the position of the critical lines $\kappa_c^{(1)}(\beta)$ and $\kappa_c^{(2)}(\beta)$. The shaded region labeled *B* refers to the parity-flavor breaking phase. The point $(\beta, \kappa) = (4.0, 0.215)$ seems to lie very close to the border of the broken phase.

found no evidence of such a broken phase for $\beta \geq 5.0$.

On the other hand, although $\langle \bar{\psi}i\gamma_5\tau^3\psi\rangle$ decreases, a non-vanishing value at h = 0 in the infinite volume limit is not excluded. A decreasing width could have been expected from the phase structure in Fig. 1. The fact that the peak becomes narrower implies that a high resolution scan in κ is required at larger values of β . In addition, lattices much larger than 12⁴ would be needed. With this in mind it is comprehensible that the results presented in Ref. [8] could not indicate a broken phase for $\beta \geq 5.0$ just because of the small lattice sizes used (6⁴, 8⁴ and 10⁴). While we find no numerical evidence for the existence of the Aoki phase for $\beta \geq 4.6$ one cannot exclude that the phase might be found with methods to be invented similar to reweighting.

A further interesting observation we made is that the data behave differently when approaching the parity-flavor breaking phase at fixed β from $\kappa > \kappa_c^{(u)}$ compared with the

approach from $\kappa < \kappa_c^{(l)}$. First, the peaks of $\langle \bar{\psi} i \gamma_5 \tau^3 \psi \rangle$ as a function of κ are asymmetric. Second, an autocorrelation analysis of $\langle \bar{\psi} i \gamma_5 \tau^3 \psi \rangle$ shows that measurements to the right of the peak (above of the Aoki phase) are significantly stronger correlated than at all smaller κ -values.

In view of the fact that the region above the Aoki phase is the region of interest for the insertion of the Wilson-Dirac operator into the overlap form [3] of the massless fermion operator a still more extensive investigation of this area might be worthwhile to do.

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