

# Characterizing a sensitive compact mid-infrared photoacoustic sensor for methane, ethane and acetylene detection considering changing ambient parameters and bulk composition (N<sub>2</sub>, O<sub>2</sub> and H<sub>2</sub>O)

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## ABSTRACT

We present a sensitive and compact interband cascade laser (ICL) based photoacoustic setup for the detection of gaseous hydrocarbons and discuss its applicability towards trace gas analysis. We investigated the performance of the sensor for trace concentrations of methane, ethane and acetylene diluted in nitrogen. The excitation of methane and ethane was accomplished using one tunable diode laser, covering a range from 3360 to 3372 nm, which was replaced by a separate ICL at 3025 nm for acetylene detection. The influence of ambient parameters such as temperature, flow rate and pressure as well as potential cross-sensitivities towards O<sub>2</sub> and H<sub>2</sub>O have been examined in terms of methane and acetylene detection. A series of simulations proved several of these influences to be attributed to relaxation effects. With a 3 $\sigma$  limit of detection (LoD) of 6.8 parts per billion (ppbV) in case of methane, 2.3 ppbV regarding ethane and 3.6 ppbV in terms of acetylene, the sensor demonstrates a great potential for applications in the field of trace gas analysis.

## 1. Introduction

Photoacoustic spectroscopy (PAS) is a well-known technique for trace gas analysis, which is used in a variety of applications, such as food safety [1–3], breath analysis [4–7] or environmental analysis [8–10] to name only a few. PAS is recognized for its high sensitivity and spectral selectivity as well as its miniaturization capabilities. Compared to conventional absorption spectroscopy (AS), PAS offers certain advantages, especially in the field of trace gas monitoring, although both principles are based on the absorption of light. For instance, trace gas concentrations in the two-digit parts per trillion (pptV) range have already been accomplished by means of PAS [9]. Besides, the possibility of applying low-cost components, e.g. cell phone microphone, 3D printed measuring cell etc., enhance the popularity of PAS. Moreover, compared to an optical sensor, the measurement time can be reduced to less than ten percent by using a microphone in PAS [11]. The photoacoustic effect is based on absorption of light causing ro-vibrational or ro-vibronic excitation of analyte molecules. When restoring the initial state after excitation, only non-radiative relaxation, more precisely a vibrational translational (VT) energy transfer, contributes to photoacoustic (PA)

signal generation. This deactivation process is based on molecular collisions of excited analyte molecules with surrounding molecules. In detail, the internal energy stored due to absorption is transformed into translational (kinetic) energy of the surrounding molecules by inelastic collisions, which yields a local heat input that is represented by the heat production rate  $\dot{H}$ . As a consequence of sine-modulating the light source, alternating dilatation and contraction generate a periodic pressure oscillation, which in turn stimulates a standing acoustic wave as we utilize a cylindrical resonator for amplification reasons. This resulting sound wave can be detected as an acoustic signal with an amplitude that is directly proportional to the analyte concentration. In order to improve the signal-to-noise ratio (SNR) and to mitigate spectral cross-sensitivities, this work is based on wavelength modulation (WM) while the PA signal is measured at the second harmonic of the modulation frequency.

Our research focuses on the detection of low concentrated hydrocarbons, namely methane, ethane and acetylene. We identified the dissolved gas analysis (DGA) to be a future application for such a sensor, as these inflammable species are eluted from transformer oil during operation. If this degradation process is not detected at an early stage, this

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may lead to short circuits or even fire within the transformer. Furthermore, methane is one of the most important gases related with the greenhouse effect and contributes up to 9 % to global warming [12]. Besides, monitoring hydrocarbons is essential for a variety of applications in medical diagnostics, e.g. the analysis of exhaled human breath [4–7,13,14].

Literature provides several photoacoustic systems addressing the detection of hydrocarbons that report limits of detection (LoD) down to 65 pptV (1 $\sigma$ ) for methane [15] and 25 pptV (3 $\sigma$ ) for ethane [16] using a continuous wave (cw) optical parametric oscillator (OPO) laser system. In terms of acetylene a high-power Q-switched laser was used to reach an LoD of 101 pptV (3 $\sigma$ ) [17]. The ICL-PAS system of Sampaolo et al. allowed for the detection of methane and ethane concentrations of 90 ppbV and 7 ppbV, respectively [18]. Zheng et al. achieved an LoD of 3.6 ppmV in terms of methane using an 3.2  $\mu$ m interband cascade light emitting device (ICLED) [19] as well as an LoD of 600 pptV using a 3.3  $\mu$ m ICL and an averaging time of 90 s [20]. Wu et al. accomplished a 1 $\sigma$  LoD of 50 ppbV CH<sub>4</sub> by means of a 3.3  $\mu$ m DFB laser [21]. After averaging for 200 s and using a 1.5  $\mu$ m DFB laser, Chen et al. achieved a 1 $\sigma$  LoD of 27 pptV C<sub>2</sub>H<sub>2</sub>, which to the best of the authors knowledge is the lowest value reported so far for photoacoustic acetylene detection [22].

However, these publications either base upon expensive high-power laser systems, bulky laboratory measurement setups or laboratory measuring conditions, i.e. they perform only minor or no real condition testing. In this work, we developed a highly sensitive and compact WM-PAS sensor for methane, ethane and acetylene detection by using only two ICLs emitting at around 3365 nm and 3025 nm with an output power of about 10 mW. Each laser diode is mounted into a TO66 housing with integrated optics and temperature control. The TO66 housing in turn is mounted into a 3D printed heat sink which is screwed onto a compact photoacoustic measurement cell (PAC). Previous investigations that revealed no cross-sensitivities in terms of spectral interference are not discussed within this work. Since H<sub>2</sub>O is well known to absorb rather constantly in the wavelength ranges that are considered in this work, the technique of wavelength modulation was preferred against intensity modulation. However, we do provide a comprehensive analysis considering the impact of various ambient parameters like temperature, pressure and mass flow on the photoacoustic signal. Besides, we investigated potential non-spectral cross-sensitivities towards changes in the bulk composition, i.e. N<sub>2</sub>, O<sub>2</sub> and H<sub>2</sub>O, in detail. Furthermore, we performed a series of simulations based on a kinetic model, demonstrating that mainly relaxation effects are accountable for photoacoustic signal changes.

## 2. Derivation of the photoacoustic signal

Generally, at modulation frequencies in the kHz range, the time-dependent heat production rate related to molecular absorption at thermal equilibrium  $\dot{H}(t)$  with unit Js<sup>-1</sup>m<sup>-3</sup> can be simplified to

$$\dot{H}(t) = \dot{H}_0 e^{i\omega t} \quad (1)$$

$$\dot{H}_0 = \rho \sigma(\tilde{\nu}_{\text{Ph}}) I_0 = \alpha I_0 \quad (2)$$

where  $\omega$  is the modulation frequency,  $\sigma(\tilde{\nu}_{\text{Ph}})$  is the absorption cross-section in m<sup>2</sup> per molecule at the wavenumber  $\tilde{\nu}_{\text{Ph}}$  and  $\alpha = \rho \sigma(\tilde{\nu}_{\text{Ph}})$  is the absorption coefficient of the analyte gas with unit cm<sup>-1</sup>, respectively. The molecular volume density  $\rho$  in molecules per m<sup>3</sup> can be calculated via the dimensionless volume ratio  $N$  in ppmV times the ratio of Avogadro-constant  $N_A$  and molar volume  $V_{\text{mol}}$ ,  $\rho = NN_A V_{\text{mol}}^{-1}$ .  $I_0$  corresponds to the incident light intensity in Wm<sup>-2</sup>, calculated by the product of photon flux  $\Psi_0$  in s<sup>-1</sup>m<sup>-2</sup>, Planck constant  $h$ , speed of light  $c_0$  and the wavenumber:

$$I_0 = \Psi_0 h c_0 \tilde{\nu}_{\text{Ph}} \quad (3)$$

In terms of PAS, the absorption of a modulated light beam and the subsequent conversion into thermal energy causes the generation of a

sound wave. By solving two coupled differential equations originating from six linear independent quantities (temperature, pressure, density of the gas and its three dimensional molecular velocity vector) for the sound pressure  $p_a(\vec{r}, \omega)$ , a damped wave equation is obtained. Neglecting the loss term, which prevents an analytical solution and applying Fourier transformation on both sides yields an inhomogeneous Helmholtz-equation, linking the frequency-dependent heat production rate derived from the absorption of photons to the generation of an acoustic wave

$$\left( \Delta + \frac{\omega^2}{c_s^2} \right) p_a(\vec{r}, \omega) = \left( \frac{\gamma - 1}{c_s^2} \right) i \omega \dot{H}(\vec{r}, \omega) \quad (4)$$

Here,  $c_s$  is the speed of sound and  $\gamma$  is the heat capacity ratio. The solution of the neglected loss term directly depends on the geometry of the PAC. We use a tube-shaped two-side open resonator with a cylindrical buffer volume attached to each side. Therefore, the criterion for longitudinal resonance amplification is

$$L_R = k \frac{\lambda_s}{2} \quad (5)$$

with  $L_R$  being the resonator length and  $\lambda_s$  the wavelength of a standing soundwave of order  $k$ . By disregarding the node shifting effect, which prevents an analytical solution, according to [23] an expression for the photoacoustic pressure signal  $p_a(\vec{r}, \Omega_k)$  is found to be

$$p_a(\vec{r}, \Omega_k) = (\gamma - 1) \frac{Q_k}{\Omega_k} \frac{L_R}{V_R} \alpha P_0 p_k e^{-\mu_k} \cdot p_k(\vec{r}) \quad (6)$$

Here,  $\Omega_k$  is the frequency and  $Q_k$  the quality factor of the  $k^{\text{th}}$  longitudinal mode, which can be approximated by the ratio of  $\Omega_k$  and the half-power bandwidth. Since the LIA provides RMS values, this point is at 2<sup>-0.5</sup> ( $\approx 0.707$ ) of the measured output voltage.  $V_R$  is the resonator volume,  $p_k$  is a mode dependent normalization coefficient and  $\mu_k$  the reciprocal light-to-sound coupling factor of the  $k^{\text{th}}$  normal mode, respectively.  $p_k(\vec{r})$  is the only factor depending on spatial coordinates. However, in case of first longitudinal mode excitation, which we exploit within our studies, the pressure only varies in  $z$ -direction and remains constant with respect to the tube radius  $r$  and angle  $\theta$ . Thus, for the first longitudinal mode, the term  $p_k(\vec{r})$  can be expressed as a sinusoidal half-wave ranging from 0 to 1

$$p_k(\vec{r}) \equiv p_1(z) = \sin\left(z \frac{\pi}{L_R}\right) \quad (7)$$

Assuming an optimum light-to-sound coupling ( $\mu_k \ll 1$ ) and considering the position of the microphone in the middle of the resonator ( $\vec{r} \rightarrow \vec{r}_{\text{mic}}$ ), i.e.  $e^{-\mu_k} \cdot p_k(\vec{r}) \rightarrow 1$ , Eq. (6) simplifies to

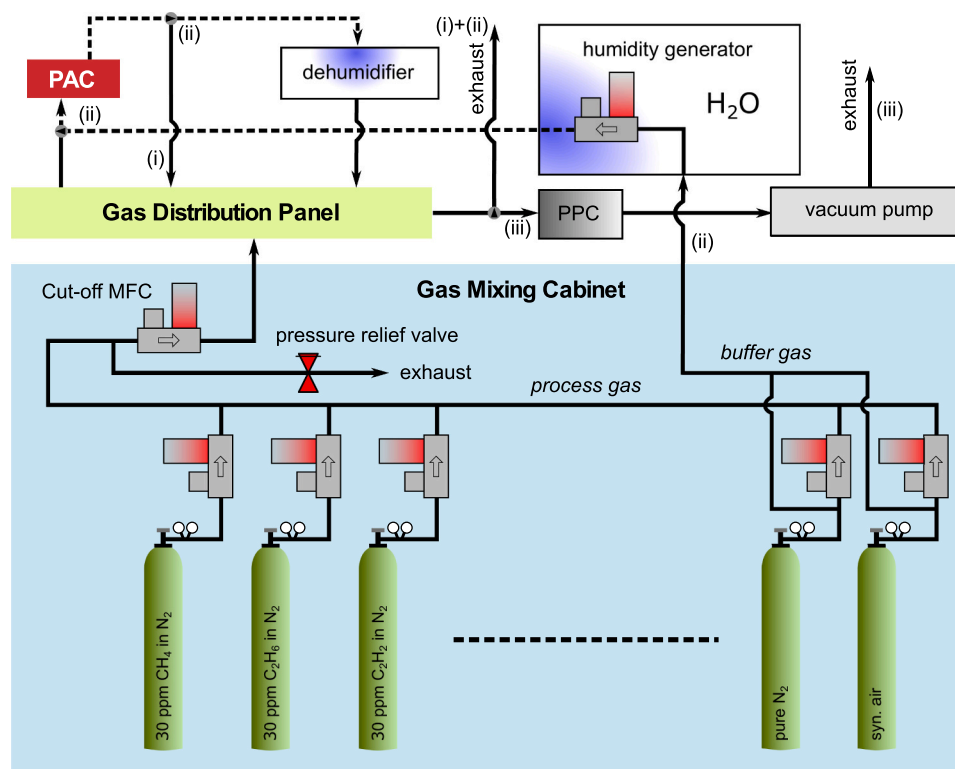
$$p_a(\vec{r}_{\text{mic}}, \Omega_1) = (\gamma - 1) \underbrace{\frac{Q_1}{\Omega_1} \sqrt{2}}_{\text{Cell constant } C_{\text{cell}}} \frac{L_R}{V_R} \alpha P_0 \quad (8)$$

with  $p_k = \sqrt{2}$  in terms of the first longitudinal mode [24]. The ratio of resonator length and volume can obviously be considered constant if the node shifting phenomena is neglected and the PAC remains unmodified. Hence, the PA signal is directly proportional to the quality factor  $Q$ , the absorption coefficient  $\alpha$ , the optical power  $P_0$  and the decremented heat capacity ratio  $(\gamma - 1)$ , but indirectly proportional to the angular resonance frequency  $\Omega$ , which is defined as  $f_{\text{res}} = \frac{\Omega}{2\pi}$ .

## 3. Experimental

### 3.1. Laboratory setup

Fig. 1 illustrates a simplified block diagram of the gas stream of the laboratory test bench exhibiting three operation modes, namely (i) **normal**, i.e. a dry gas mixture at atmospheric pressure, (ii) **humidity** and



**Fig. 1.** Simplified block diagram of the gas stream of the laboratory test bench. Dashed lines indicate heated parts of the gas stream. Operation modes: (i) normal, (ii) humidity, (iii) vacuum.

(iii) **vacuum** mode. The gas mixture is set by means of mass flow controllers (MFC) (F-201CV-500-XXX) (Bronkhorst, Germany). After passing the Cut-off MFC, which sets the final flow rate between 20 and 500 ml/min, the gas mixture is led to the PAC. This setup allows to prepare various gas mixtures of high precision as well as to set a defined overall flow rate. To provide a defined humidification of the gas mixture, a humidity generator (HG) aGEPRO-V4 (ADROP Feuchtemeßtechnik GmbH, Germany) is connectible to the gas stream (operation mode (ii)). Since other gases may cause reactions within the HG, only N<sub>2</sub> or synthetic air can be led through the generator and mixed with other gases afterwards. After passing the PAC, the humidified gas is directed into a dehumidifier (TC-Standard 6111, Bühler Technologies GmbH, Germany). To prevent condensation inside the gas lines, HG and PAC as well as PAC and dehumidifier are coupled by temperature-controlled hoses (XtremeFLEX BS0052020 L, BriskHeat, US). In order to evaluate the PA signal's dependency on the pressure of the gas sample, a vacuum pump (Trivac D 2.5E, Leybold, Germany) and a pre-pressure controller (PPC) (P-702CV-6K0A-RAD-33-Z, Bronkhorst, Germany) were integrated into the test bench, referred to as operation mode (iii). Thus, the PPC can be set to any value between 1200 mbar and 200 mbar absolute pressure.

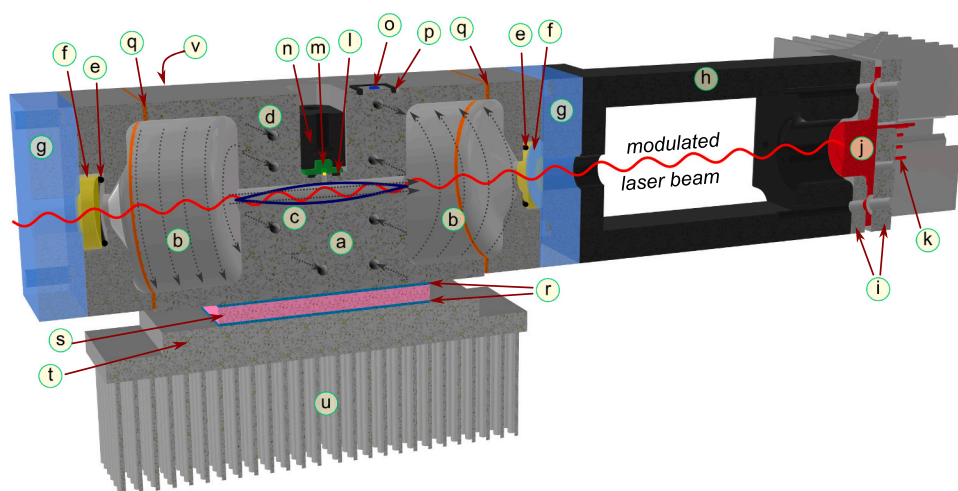
All test gases were provided by Westfalen AG (Germany). The purity of the dilution gases nitrogen (6.0) and synthetic air are specified by less than 0.1 ppmV of total hydrocarbon content. Each time the gas composition was changed, the gas stream system was flushed according to Weigl et al. [7]. All pre-diluted test-gases are specified by an analyte concentration of 30 ppmV and an accuracy of  $\pm 3\%$ .

In this work, we used two interband cascade laser diodes emitting around 3365 nm and 3025 nm, which were manufactured and customized by nanoplus (Nanosystems and Technologies GmbH, Germany). The ICLs are mounted into a TO66 housing with integrated optics and temperature control, thus exhibiting an elliptic spot of radii 0.7 mm and 0.5 mm within the PAC. In terms of optical output power, we obtained 10.9 mW at 3368.8 nm (CH<sub>4</sub> excitation with an absorption cross-section  $\sigma_{CH_4} = 4.9E-19 \text{ cm}^2$ ), 14.4 mW at 3363.0 nm (C<sub>2</sub>H<sub>6</sub> excitation,  $\sigma_{C_2H_6} = 8.1E-19 \text{ cm}^2$ ) and 10.2 mW at 3025.8 nm (C<sub>2</sub>H<sub>2</sub> excitation,  $\sigma_{C_2H_2} =$

$9.6E-19 \text{ cm}^2$ ) using a ThorLabs PM400 Power meter together with an S401C high-resolution thermal power sensor. The TO66 socket connects the respective ICL to an ILX Lightwave laser diode controller (LDC), model 3726 (Newport Corporation, US). The LDC is capable of separately controlling the laser current and the temperature of the ICL. The sine-modulated signal component required for WM-PAS is generated by a dual-channel function generator (FG) model 33522B (Keysight Technologies, US), which also provides an identical reference signal to the Lock-in amplifier (LIA) 7270 (Ametek, US). The frequency of modulation is set to half the resonance frequency of the acoustic resonator due to  $2f$  – demodulation. The photoacoustic signal is monitored by means of an ultra-low noise MEMS microphone (ICS-40720, InvenSense Inc., US) which is read out in differential mode with a sensitivity according to the data sheet of  $-32 \text{ dBV}$ , i.e.  $25.1 \text{ mV/Pa}$ . A computer finally displays the photoacoustic amplitude as a plot of microphone signal over time. The individual electronic components are controlled by a LabVIEW (National Instruments, US) based in-house developed software. To ensure optimum operation parameters for the sine-modulated WM signal, offset-current, modulation depth and resonance frequency were determined by corresponding sweeps, i.e. reconstruction of the absorption peak by sweeping the DC component, a sweep of the modulation amplitude and a frequency sweep, respectively.

### 3.2. PAC design

**Fig. 2** shows a cross-section view of the photoacoustic sensor including the gas flow indicated by dotted arrows. The sensor consisting of optics, PAC and temperature control circuit has overall dimensions of approx.  $200 \times 80 \times 70 \text{ mm}$ . A small opening in the middle of the resonator with 1 mm in diameter ensures a low-loss sound coupling to the microphone. The PAC with dimension of  $90 \times 40 \times 40 \text{ mm}$  is 3D metal printed using an aluminum alloy powder AlSi10Mg together with an M100 rapid prototyping machine (EOS GmbH, Germany) and is post-processed by conventional milling. In order to ensure a homogeneous temperature distribution within the resonator and the buffer volumes,



**Fig. 2.** Cross-section drawing of the sensor including a schematic visualization of the gas flow, laser beam and standing pressure wave ( $L = \lambda/2$ ): (a) PAC, (b) buffer volume, (c) resonator, (d) helical gas flow, (e) radial seal (windows), (f) sapphire wedged window, (g) pressure plate, (h) spacer, (i) heat sink (ICL), (j) ICL in TO66 housing, (k) ICL connection pins, (l) radial seal (microphone), (m) MEMS microphone, (n) microphone holder, (o) *TpH*-sensor (including electronics are not depicted), (p) seal (*TpH*-sensor), (q) graphite seal (PAC), (r) thermal pad, (s) Peltier element, (t) aluminum plate, (u) heat sink (PAC), (v) NTC (back-mounted).

the PAC can be heated by means of a temperature control circuit with  $PIDT_1$ -behavior consisting of a TEC driver TEC-1089-SV (Meerstetter Engineering, Switzerland), an NTC B57861S0103F040 (TDK, Japan) and a Peltier element ET-161-12-08-E (European Thermodynamics LTD, UK) connected to an aluminum heat sink. This setup ensured a temperature stability of  $\sigma = 0.01$  K. The NTC temperature sensor (v) for temperature feedback is fixed in a 10 mm blind hole on the backside of the PAC with thermal conductive glue. The MEMS microphone together with a corresponding screw-fixed 3D printed holder (m, n) are placed in a cut-out above the resonator center in order to provide a short out-coupling path of the acoustic signal. In terms of monitoring temperature  $T$ , pressure  $p$  and humidity  $H$  within the gas flow, a *TpH*-sensor BME280 (Robert Bosch GmbH, Germany) (o) is integrated on the top side of the PAC right before the gas output. Its data is pre-processed by integrated electronics on a printed circuit board (PCB) (not depicted in Fig. 2), which ensures communication via CAN interface. The gas sample is preheated by means of a helical channel, which is 3D-printed into the PAC. This prevents condensation of humidity on the inner cell walls on the one hand and assures a constant speed of sound on the other hand. Downstream of the preheating channel, the analyte gas enters the cylindrical buffer volume (b) tangentially assuring a laminar flow inside the resonator. The buffer volumes were designed and manufactured with rounded edges and smooth surfaces to avoid dead volumes or local turbulences. Their dimensions are 18.5 mm in length and 30 mm in diameter. The cylindrical resonator (c) is 4 mm in diameter and 31 mm in length, respectively, and was treated by a lapping rod and polishing paste (7  $\mu$ m graining) in order to improve its surface quality.

The laser beam illuminates the PAC through wedged windows (f) WW30530-E1 (ThorLabs, US) to prevent optical cavities as well as back-reflections to the radiation source. Seals have been applied at several points in order to ensure gas tightness. For better thermal conductivity, the buffer volumes are attached via specially formed graphite seals (q). The laser diode (j) is actively cooled by an integrated Peltier element for excess heat dissipation in order to avoid thermally induced deterioration and assure constant emission of the laser diode. Hence, modular designed 3D metal printed heat sinks made of aluminum (i) have been used for ICL mounting. To prevent mutual interference between the temperature control circuits of PAC and ICL, a spacer (h) has been inserted between light source and PAC. This spacer ( $l = 63$  mm) maintains a distance long enough to ensure a constant temperature and thus, a stable optical power of the ICL. The PAC is integrated into the laboratory gas stream by means of Swagelok screw connectors (Swagelok, US). A CAN-to-USB converter Zubax Babel (Zubax Robotics, Estonia) provides the data of the *TpH*-sensor to the computer. All sensitive cable connections are designed as coaxial cables with grounded shield to prevent possible signal interference.

## 4. Results and discussion

In this work, we present the performance of an ICL photoacoustic setup for the detection of low concentrations of methane, ethane and acetylene diluted in nitrogen and discuss the effects of ambient parameters such as temperature, pressure and mass flow on the photoacoustic signal. Furthermore, we investigated potential cross-sensitivities towards  $H_2O$ ,  $O_2$  as well as between the analytes among each other. Unless mentioned otherwise, the PAC temperature has been controlled at 40 °C, the mass flow has been set to 500 ml/min and the LIA roll off to 18 dB/octave, respectively. The acquired microphone signal is postprocessed as follows: (i) the microphone signal is demodulated and low pass filtered with a certain time constant  $\tau_{LIA}$ , (ii) the resulting data is sampled with 5 Hz, (iii) the recorded data points are then averaged over a certain time. All measurements presented within this work are based on line-locked mode, i.e. no continuous wavelength scan mode was applied.

### 4.1. Calibration characteristics

The calibration characteristics are based on decremental analyte concentrations, i.e. prediluted analyte containing gas mixtures were further diluted in nitrogen, with every measuring point being averaged over 20 s. In accordance with theory (Eq. 8), the photoacoustic signal is directly proportional to the analyte concentration, thus yielding a linear calibration curve without offset, since there is basically no background signal in terms of WM-PAS. Table 1 lists some performance parameters of the sensor, namely sensitivity, noise level, LoD and the coefficient of linear fit determination. In terms of a better comparability to other optical systems, it also includes the normalized noise equivalent absorption coefficient (NNEA), which is calculated after

$$NNEA = \frac{LoD(3\sigma) \cdot N_A \cdot \sigma \left( \tilde{\nu}_{Ph} \right) \cdot P_{opt}}{SNR \cdot \sqrt{\Delta f \cdot V_{mol}}} = \frac{LoD(3\sigma) \cdot \alpha_N(\lambda_{Ph}) \cdot P_{opt}}{SNR \cdot \sqrt{\Delta f \cdot N}} \quad (9)$$

where  $\alpha_N(\lambda_{Ph})$  is the absorption coefficient,  $N$  is the volume ratio of the analyte and  $\Delta f$  is the equivalent noise bandwidth (ENBW) of the LIA. The SNR is 3 since we use three times the standard deviation for calculating the LoD. An Allan deviation of the PAC setup revealed white noise to dominate and yielded a potential 50 % LoD improvement by increasing the averaging time to 100 s. Individual calibration curves together with corresponding Allan deviation plots are depicted in the appendix (see Figs. 11–13).

**Table 1**

Summary of the different calibration characteristics. The LIA time constant was set to  $\tau_{LIA} = 2$  s in terms of methane and acetylene and to  $\tau_{LIA} = 5$  s regarding ethane detection, the averaging time was set to 20 s.

Analyte gas	Sensitivity [ $\mu\text{V}/\text{ppmV}$ ]	$R^2$	LoD ( $3\sigma$ ) [ppbV]	Noise level ( $3\sigma$ ) [nV]	NNEA [ $\text{W cm}^{-1}\text{Hz}^{-0.5}$ ]
Methane	2.62	0.9999	6.8	17.8	$1.6 \cdot 10^{-9}$
Ethane	8.55	1.0000	2.3	19.6	$1.8 \cdot 10^{-9}$
Acetylene	5.74	1.0000	3.6	20.8	$1.5 \cdot 10^{-9}$

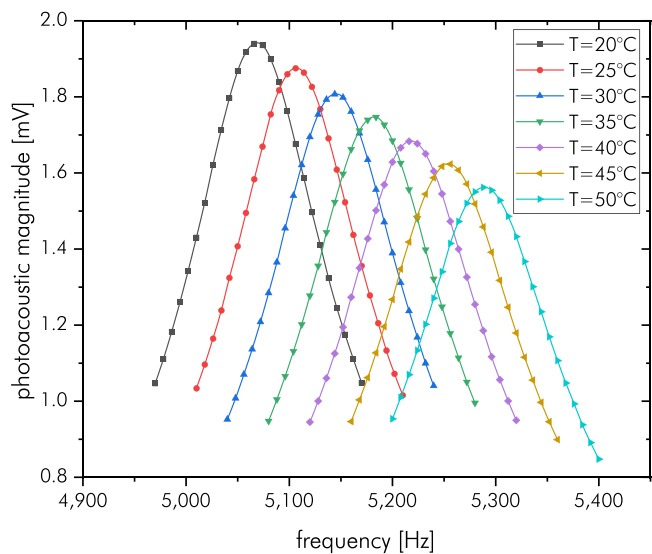
4.2. Influence of environmental parameters

4.2.1. Influence of temperature

The influence of the gas temperature on the photoacoustic signal was investigated while heating the PAC from 20 °C to 50 °C with steps of 5 K. After the set temperature stabilized, an incremental frequency sweep was performed, while every measurement was recorded with  $\tau_{LIA} = 2$  s and averaged over 60 s. The respective resonance profiles with acetylene as an analyte are depicted in Fig. 3. The results demonstrate that temperature changes affect the photoacoustic signal similar to Weigl et al. [7].

By heating the PAC from 20 °C to 50 °C, we observed the photoacoustic magnitude to linearly decrease ( $R^2 = 0.9997$ ) by 19.5 %. This drop can be assigned to three different phenomena as follows. In line with this overall signal drop, the heating was empirically identified to cause the resonance frequency to increase by 4.4 %, i.e. 7.3 Hz/K, as well as the Q factor to decrease by 3.4 %. In addition, the absorption coefficient was calculated via HITRAN-based simulation to decrease by 12.3 %. Including these individual loss terms with respect to their proportionality according to Eq. (8) results in a total theoretical signal drop of 18.9 %, which is in good agreement with the overall experienced signal drop of 19.5 %.

While the increase in resonance frequency obviously results from an accelerated speed of sound, the Q factor has already been identified to decrease with increasing temperature in former studies [11,12] due to augmented energy dissipation caused by enhanced molecular movement. Measurements regarding methane exhibit an analog behavior (see appendix, Fig. 14).



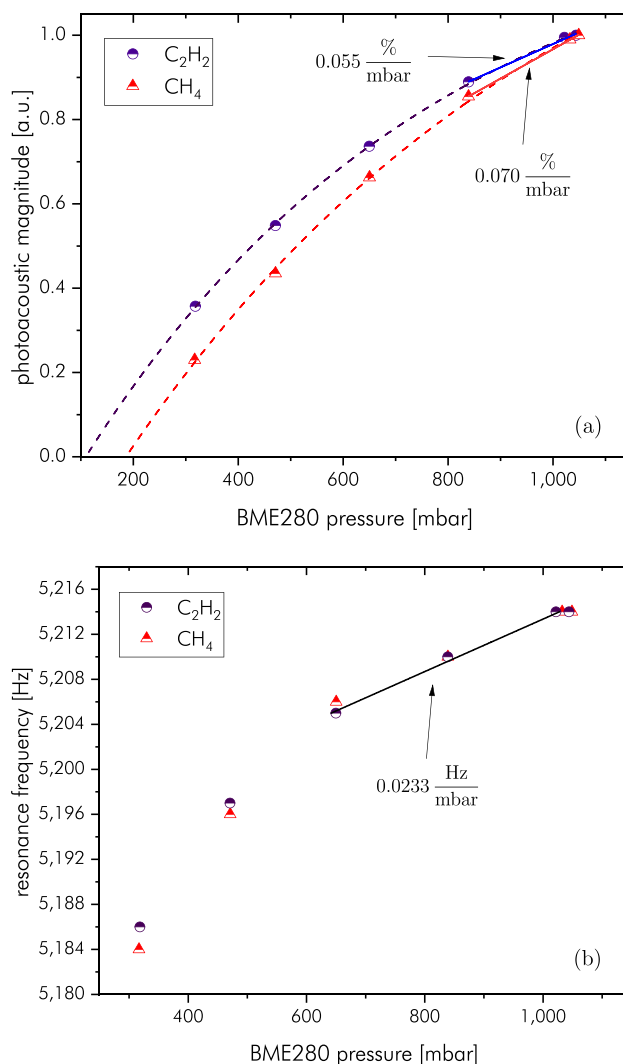
**Fig. 3.** Temperature dependency of resonance profiles. The gas mixture contained 300 ppmV acetylene diluted in nitrogen. The measuring points are connected by Akima-Interpolation.

4.2.2. Influence of pressure

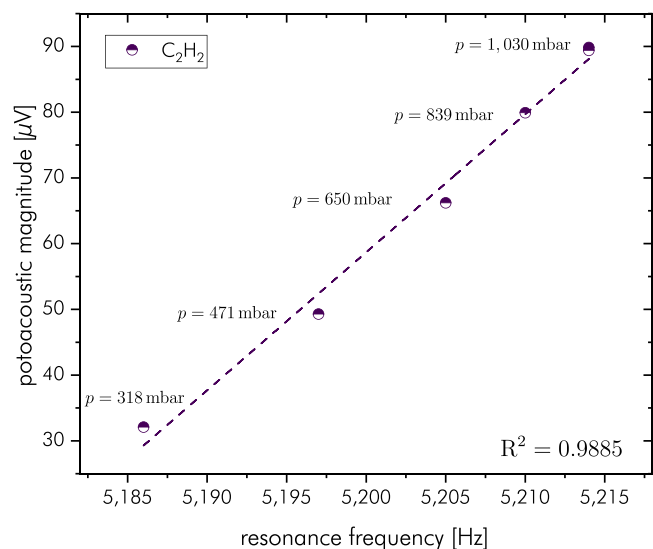
To evaluate the photoacoustic signal's dependency on the pressure of the gas sample, the data was acquired on the lines of the analysis depicted in Fig. 3, i.e. by means of frequency sweeps, with only  $\tau_{LIA}$  being extended to 5 s. Initially, we carried out a long-term experiment without mass flow at approx. 10 mbar which proved the tightness of the PAC. After that, two series of measurements were performed based on mixtures of 15 ppmV  $\text{C}_2\text{H}_2$  and  $\text{CH}_4$  diluted in  $\text{N}_2$ , respectively, while the pressure was varied between 1000 mbar and 300 mbar.

Fig. 4a indicates the photoacoustic signal to drop non-linearly with decreasing pressure, i.e. signal attenuation gains influence at low pressure. While a linear signal drop would have been expected due to diminution of the overall molecular density, hence lowering the number of light absorbing analyte molecules, non-linearity seems surprising at first. However, further investigations (see Fig. 4b) revealed a similar dependency of the resonance frequency on the pressure. Considering that the speed of sound does not depend on the overall pressure, i.e. the length of the standing acoustic wave solely depends on the length of the resonator tube, the pressure within the PAC is not expected to affect its resonance frequency at all. Therefore, we assume the well-known effect of node shifting [27,28] to be responsible and to gain influence when reducing the particle density, yielding an increased red-shift of the frequency.

Fig. 5 combines the information of Fig. 4a and b by plotting the



**Fig. 4.** Influence of pressure on the photoacoustic signal (a) and resonance frequency (b).



**Fig. 5.** Relationship between photoacoustic signal and resonance frequency applying a partial vacuum. The gas mixture contained 15 ppmV acetylene diluted in nitrogen.

photoacoustic signal against the resonance frequency of the system using the example of  $C_2H_2$  in  $N_2$ , ignoring that the data was gathered at different total pressures.

Fig. 5 indicates direct proportionality between the photoacoustic magnitude and the resonance frequency, whereas theory predicts a reciprocal dependency. We interpret this contrary by counteracting of the frequency dependency on the pressure (see Fig. 4b) and the signal dependency on the frequency (see Eq. 8), respectively, which can be considered invers. This yields a compensation of non-linearities and finally a linear signal dependency on the frequency (see Fig. 5), once changes in resonance frequency are caused by pressure variations. In terms of methane, we obtained analogous results (see appendix, Fig. 15).

Concluding this section, there are various effects how the pressure of the gas sample influences the photoacoustic signal. Aside from those already discussed, i.e. changing analyte particle density and shifting of the resonance frequency, complex mechanisms cause pressure variations also to affect loss-terms resulting in quality factor variation or collision broadening yielding absorption cross-section variation. Nonetheless, we found those effects either to affect the PA signal linearly or to be negligible compared to the phenomenon of node shifting. Moreover, in view of developing a sensor for standard ambient conditions, the observed signal drop due to pressure decrease can be considered linear in the atmospheric range between about 1030 mbar and 850 mbar, thus allowing easy calibration.

#### 4.2.3. Influence of mass flow

To ensure a gas-tight PAC, the mass flow was varied in a range from 50 ml/min to 500 ml/min, while all other parameters remained unmodified. The results show a linearly decreasing flow ( $R^2 = 0.9999$ ) with 0.52 % signal deterioration per 100 ml/min mass flow delta. However, we identified this dependency to result from a mass flow induced pressure change of several mbar inside the PAC applying operation mode (i). As the ratio of pressure and photoacoustic signal can be regarded as constant within the range of applied mass flow, no direct physical correlation of the PA signal with flow rate was observed. The effect of indirect pressure induced correlation can be corrected easily by monitoring the pressure inside the PAC.

#### 4.3. Influence of cross-sensitivities

The impact of ambient air molecules, i.e.  $H_2O$  and  $O_2$ , on the photoacoustic signal (amplitude and phase) in terms of monitoring  $CH_4$  and

**Table 2**

Processes including rate constants involved to the de-excitation of methane upon self-collisions or via collisions with oxygen, water and nitrogen, respectively. Reactions labeled in **bold** correspond to VT-processes, the others to VV-processes. Regarding superscript “a”, Barreiro et al. assumed typical values. Superscript “b” indicates a manual rate adjustment within the error specifications stated in literature.

	Reaction	Reaction rate k in [Hz atm <sup>-1</sup> ]
(1)	$CH_4(\nu_{s1}) + M \xrightarrow{k_1^M} CH_4(2\nu_b) + M$	$k_1^{CH_4} = 2.1 \cdot 10^8$ [34] $k_1^{N_2} = 4.6 \cdot 10^8$ [35] $k_1^{H_2O} = 1 \cdot 10^8$ [36]a $k_1^{O_2} = 4.6 \cdot 10^8$ [35]
(2)	$CH_4(2\nu_b) + CH_4 \xrightarrow{k_2} CH_4(\nu_b) + CH_4(\nu_b)$	$k_2 = 2.2 \cdot 10^8$ [37]; $2.2 \cdot 10^8$ b
(3)	$CH_4(2\nu_b) + H_2O \xrightarrow{k_3} CH_4(\nu_b) + H_2O(\nu_2)$	$k_3 = 2.2 \cdot 10^7$ [36]a; $2.8 \cdot 10^7$ b
(4)	$CH_4(2\nu_b) + O_2 \xrightarrow{k_4} CH_4(\nu_b) + O_2(\nu)$	$k_4 = 2.3 \cdot 10^6$ [37]; $2.4 \cdot 10^6$ b
(5)	$CH_4(2\nu_b) + M \xrightarrow{k_5^M} CH_4(\nu_b) + M + \Delta E$	$k_5^{CH_4} = 2.7 \cdot 10^5$ [37] $k_5^{N_2} = 2 \cdot (8 \pm 1) \cdot 10^4$ [33]; $2.7 \cdot 10^4$ b $k_5^{H_2O} = 2 \cdot 10^5$ [36]a $k_5^{O_2} = 2.1 \cdot 10^5$ [33]
(6)	$CH_4(\nu_b) + H_2O \xrightarrow{k_6} CH_4 + H_2O(\nu_2)$	$k_6 = 2 \cdot 10^7$ [36]a; $8 \cdot 10^7$ b
(7)	$CH_4(\nu_b) + O_2 \xrightarrow{k_7} CH_4 + O_2(\nu)$	$k_7 = 3.3 \cdot 10^6$ [37]; $3.3 \cdot 10^6$ b
(8)	$O_2(\nu) + H_2O \xrightarrow{k_8} O_2 + H_2O(\nu_2)$	$k_8 = 3.7 \cdot 10^7$ [38]; $3.5 \cdot 10^7$ b
(9)	$O_2(\nu) + CH_4 \xrightarrow{k_9} O_2 + CH_4(\nu_b)$	$k_9 = 3.27 \cdot 10^7$ [37]
(10)	$H_2O(\nu_2) + CH_4 \xrightarrow{k_{10}} H_2O + CH_4(\nu_b)$	$k_{10} = 2 \cdot 10^7$ [36]a
(11)	$CH_4(\nu_b) + M \xrightarrow{k_{11}^M} CH_4 + M + \Delta E$	$k_{11}^{CH_4} = 7.9 \cdot 10^5$ [37] $k_{11}^{N_2} = (8 \pm 1) \cdot 10^4$ [33]; $7 \cdot 10^4$ b $k_{11}^{H_2O} = 1.2 \cdot 10^5$ [36]a $k_{11}^{O_2} = 1.3 \cdot 10^5$ [33]
(12)	$O_2(\nu) + M \xrightarrow{k_{12}^M} O_2 + M + \Delta E$	$k_{12}^{CH_4} = 6 \cdot 10^5$ [39] $k_{12}^{N_2} = 40$ [40] $k_{12}^{H_2O} = 1.1 \cdot 10^6$ [40] $k_{12}^{O_2} = 66$ [41]
(13)	$H_2O(\nu_2) + M \xrightarrow{k_{13}^M} H_2O + M + \Delta E$	$k_{13}^{CH_4} = 1 \cdot 10^6$ [36]a; $1.2 \cdot 10^6$ b $k_{13}^{N_2} = 1 \cdot 10^6$ [38] $k_{13}^{H_2O} = 1.2 \cdot 10^9$ [41] $k_{13}^{O_2} = 1 \cdot 10^6$ [38]

$C_2H_2$  diluted in  $N_2$  as well as mutual interactions of the analyte molecules were investigated in detail. With regard to the measurements dealing with humidified gas, the laboratory test bench was configured to operation mode (ii) including the HG (refer to Fig. 1). On the lines of ambient parameter analysis, the data was acquired by means of frequency sweeps in order to ensure optimum resonance amplification. The flow rate uncertainty increases when applying operation mode (ii), thus it is accompanied by distinct signal fluctuations. Therefore  $\tau_{LIA}$  was set to 10 s once humidification was used.

##### 4.3.1. Kinetic modeling of molecular relaxation processes

During our investigations regarding the influence of water and oxygen on the photoacoustic signal of methane (see 4.3.2, 4.3.3 and 4.3.4, respectively), we identified changes in signal amplitude, accompanied by a phase lag  $\phi$ , which is defined by

$$\phi = \arctan(\omega\tau_n) \quad (10)$$

with  $\tau_n$  being the time which is needed for non-radiative relaxation.

Therefore, we assume a varying amplitude together with a changing phase lag mainly to be due to changes within the path of molecular relaxation, induced by the presence of water and/or oxygen. In order to confirm that this theory of molecular relaxation is responsible for PA signal variation due to changes in the bulk composition, we performed a MATLAB based simulation in order to calculate the theoretical photoacoustic magnitude and phase. Therefore, we considered the parameters CH<sub>4</sub> volume ratio, optical power, excitation wavenumber, resonance frequency, volume density as well as all relevant molecular relaxation paths (see Table 2). For simplification reasons, the individual vibrational modes of CH<sub>4</sub> were combined into two clusters, named polyads [29]. The energetically lower one (dyad  $\nu_b$ ) includes the  $\nu_2$  and  $\nu_4$  bending modes in the 1100 – 1500 cm<sup>-1</sup> region [30]. The upper cluster (pentad) consists of five vibrational modes, i.e. the  $\nu_1$  and  $\nu_3$  stretching modes located at approx. 3000 cm<sup>-1</sup> as well as the harmonics of the bending modes  $2\nu_2$ ,  $2\nu_4$  and  $\nu_4 + \nu_2$ . In fact, according to [31] we subdivided the pentad into the two groups  $\nu_{s1}$  and  $2\nu_b$ , in terms of simulation, with  $\nu_{s1}$  merging the stretching modes and  $2\nu_b$  the harmonics of the bending modes, respectively. To gain a better understanding, Fig. 6 depicts the energy levels we considered regarding relaxation. According to Hunter et al. [32],  $F$  is the initial number of quanta absorbed per second per unit volume (s<sup>-1</sup>m<sup>-3</sup>):

$$F = \rho_{\text{CH}_4} \cdot \sigma(\tilde{\nu}_{\text{Ph}}) \cdot \Psi_0 \quad (11)$$

We classify three types of molecular collisions, i.e. VT when the total vibrational energy is released as heat into the system, VV when some of the vibrational energy is transferred to vibrational energy of the collision partner and collisions entailing no energy transition at all, respectively. However, a VV energy transfer caused by inelastic collision is more likely to occur if the involved vibrational states are of similar energy, e.g. CH<sub>4</sub>( $2\nu_b$ )  $\approx$  CH<sub>4</sub>( $\nu_b$ ) + O<sub>2</sub>( $\nu$ ). In terms of our simulations we considered all transitions illustrated in Fig. 6 by adopting the corresponding relaxation rates from literature (see Table 2). The illustrated transitions involve 28 relaxation processes. In some cases we introduced minor changes to the relaxation rates, but these were well within the error specifications of the literature values stated in Table 2. Our calculations are based on the kinetic relaxation model of [32]. Accordingly,

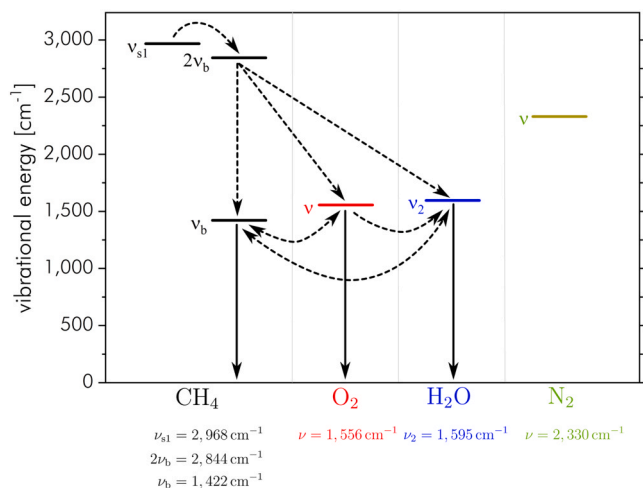


Fig. 6. Vibrational states of CH<sub>4</sub>, O<sub>2</sub>, H<sub>2</sub>O and N<sub>2</sub>. Possible VV-transitions are indicated as dashed arrows, solid arrows represent VT-transitions.

the heat production rate  $\dot{H}(t)$ , that is responsible for photoacoustic signal generation, represents the sum of every single heat released from  $n$  involved vibrational states

$$\dot{H}(t) = \sum_{i=1}^n \dot{H}_i(t) \quad (12)$$

The individual heat components  $\dot{H}_i(t)$  can be calculated by multiplying the respective vibrational energy  $h\nu_i$  with the population density of the excited state  $[\nu_i]$ , which is a complex function, and the sum of  $m$  reaction rates  $k_j$  from the  $i$ -state to the  $j$ -state [32]:

$$\dot{H}_i(t) = [\nu_i] \cdot \left( \sum_{j=1}^m k_j \cdot h \Delta \nu_{i \rightarrow j} \right) \quad (13)$$

The total heat production rate from Equation (12) can be directly linked to a photoacoustic pressure [31]. In order to obtain the complex photoacoustic signal  $U_{\text{PA}}$  in electric voltage unit [V], the cell constant  $C_{\text{cell}}$  and the microphone sensitivity  $S$  finally have to be considered as well.

$$U_{\text{PA}} = S \cdot C_{\text{cell}} \cdot \alpha P_0 = \underbrace{S \cdot C_{\text{cell}} \cdot \pi r_{\text{beam}}^2}_{C_{\text{sim}}} \cdot \dot{H}(t) \quad (14)$$

The empirical factor  $C_{\text{sim}}$  was used to convert the calculated heat production rate  $\dot{H}(t)$  into the complex photoacoustic signal  $U_{\text{PA}}$ . Regarding the restricted scope of this work and for clarity reasons, a subsequent publication providing a detailed description of the kinetic model is intended.

#### 4.3.2. Impact of H<sub>2</sub>O on CH<sub>4</sub> diluted in N<sub>2</sub>

This section deals with the humidification of a gas sample containing 15 ppmV methane diluted in nitrogen, while N<sub>2</sub> was also used as carrier gas for the HG. The results of the measurement are presented in Fig. 7, where 2-y-axis plotting is used to show both, photoacoustic amplitude and phase. While the red triangles and the orange circles represent the empirically determined amplitude and phase values, the red solid line and the orange dashed line visualize the simulated data, respectively. Most obviously, the photoacoustic amplitude is significantly lower when

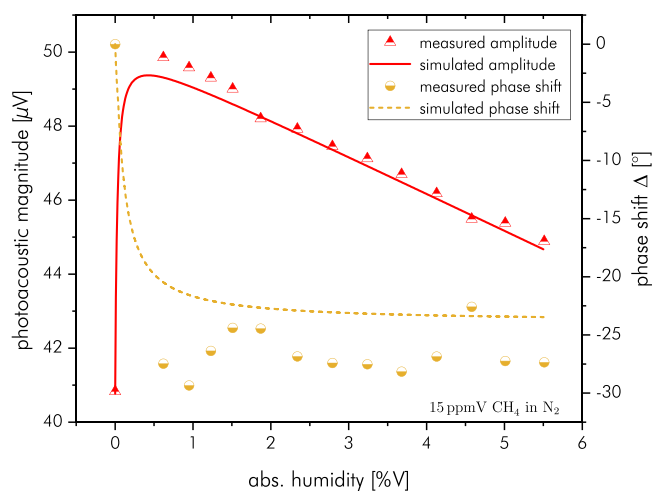


Fig. 7. Measured amplitude (red triangles) and phase (orange circles) as well as simulated amplitude (red solid line) and phase shift (orange dashed line) with rising H<sub>2</sub>O concentration for 15 ppmV CH<sub>4</sub> diluted in N<sub>2</sub>.

no water is present, though adding 0.6 %V-H<sub>2</sub>O already causes the amplitude to increase by 22 %. Our simulations revealed that this is due to the fact that not all vibrational states excited at 2968.4 cm<sup>-1</sup> are able to relax completely during one period of modulation in the absence of water, but relaxation is accelerated by humidification. However, this rise in amplitude is not only attributed to faster VT-relaxation of CH<sub>4</sub>, but also to a fast VV-transition from CH<sub>4</sub>( $\nu_b$ ) to H<sub>2</sub>O( $\nu_2$ ) with subsequent VT-relaxation of water (Table 2, transitions 11, 6 and 13).

With rising humidity, the measured signal amplitude decreased linearly ( $R^2 = 0.9925$ ) by 1.4  $\mu\text{V}/\%V\text{-H}_2\text{O}$ . Since the phase lag remained rather constant, we exclude relaxation effects to be responsible for this decrease in amplitude. This assumption is substantiated by the fact, that the phenomenon of a decreasing amplitude by further humidification appears in all measurements to be approx. 2 %/ $\%V\text{-H}_2\text{O}$  (see Figs. 7, 9 and 10). Although we determined the decremented heat capacity ratio as well as the ratio of quality factor and resonance frequency to decrease linearly, the empirical observed amplitude deterioration exceeds the theoretical calculation according to Eq. (8). Therefore, we cannot ultimately assign a clear reason for this phenomenon so far but assume an artifact of our measurement setup to be accountable, too. By implementing this analyte independent amplitude deterioration into our calculation, we obtained the simulated photoacoustic amplitude with an accuracy of at least 98 %, which is in good agreement with the empirical data. Besides photoacoustic signal interference, water was identified to cause the resonance frequency to blue-shift by approximately 7.4 Hz/ $\%V\text{-H}_2\text{O}$ , which is in line with the theory of speed of sound.

#### 4.3.3. Impact of O<sub>2</sub> on CH<sub>4</sub> diluted in N<sub>2</sub>

Within this section, we discuss the influence of oxygen on the photoacoustic signal originating from 15 ppmV CH<sub>4</sub> diluted in N<sub>2</sub>. A strong decrease in magnitude (79.7 %) and a phase shift (19.5°) were observed by adding 8.2 %V-O<sub>2</sub>, respectively. While the frequency drop of 3.8 Hz/ $\%V\text{-O}_2$  is in line with the theory of speed of sound, the distinct decrease in amplitude was identified to result from delayed relaxation, i.e. a very fast VV energy transfer from CH<sub>4</sub>( $\nu_b$ ) to O<sub>2</sub>( $\nu$ ) (Table 2, transition 7). Due to very slow subsequent VT-relaxation of O<sub>2</sub> (Table 2, transition 12), a significant quantity of the internal energy cannot be released as kinetic energy into the system at the given measuring conditions. As a result, the major part of the energy of the initially excited CH<sub>4</sub> stretching mode at 2968 cm<sup>-1</sup> finally accumulates in the O<sub>2</sub> vibrational state at 1556 cm<sup>-1</sup> [33]. This energy transfer from methane to oxygen yielding pronounced PA amplitude deterioration is in accordance with the findings of Barreiro et al. [31]. On the lines of Fig. 7, Fig. 8 visualizes the empirical and simulated data, respectively, thus proving the kinetic model that forms the basis for our interpretation.

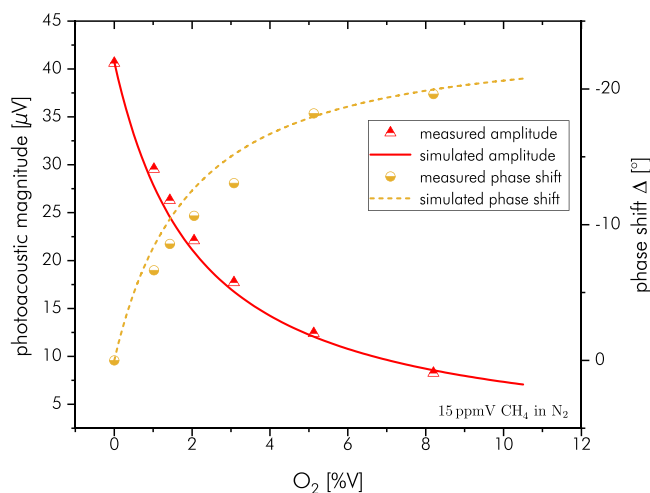


Fig. 8. Measured (points) and simulated (solid lines) amplitude and phase shift with rising O<sub>2</sub> concentration for 15 ppmV CH<sub>4</sub> diluted in N<sub>2</sub>. The phase ordinate is plotted reversed.

#### 4.3.4. Impact of O<sub>2</sub> and H<sub>2</sub>O on CH<sub>4</sub> diluted in N<sub>2</sub>

While the previous section dealt with the successive addition of oxygen to a CH<sub>4</sub> in N<sub>2</sub> mixture, thus affecting the PA signal due to delayed relaxation, this section is meant to further investigate the impact of H<sub>2</sub>O. For this reason, water was successively added to a mixture containing a constant methane volume ratio of 15 ppmV diluted in 90 %V-N<sub>2</sub> and 10 %V-O<sub>2</sub>. Fig. 9 indicates that the signal drop caused by O<sub>2</sub> is completely compensated by the addition of even small amounts of H<sub>2</sub>O, i.e. the acceleration of relaxation causes the amplitude to increase by a factor of 6.6. Due to extended complexity of the composition and with another view to Table 2, we expect complex VV-transfers to take place. Nonetheless, besides general VT-acceleration by H<sub>2</sub>O, two VV-reactions can be highlighted in order to understand how water promotes the relaxation of the system. On the one hand the VV-transition from CH<sub>4</sub>( $\nu_b$ ) to H<sub>2</sub>O( $\nu_2$ ) (Table 2, transition 6) competes with the VV energy transfer from CH<sub>4</sub>( $\nu_b$ ) to O<sub>2</sub>( $\nu$ ), while on the other hand energy that is already accumulated in O<sub>2</sub>( $\nu$ ) in turn can be retrieved according to reaction (8) in Table 2. Finally, both reactions result in the excitation of H<sub>2</sub>O bending vibrations followed by subsequent fast VT-relaxation (Table 2, transition 13). The successive signal deterioration with rising humidity by approx. 2 %/ $\%V\text{-H}_2\text{O}$  is almost identical to the measurements in pure nitrogen (see Fig. 7).

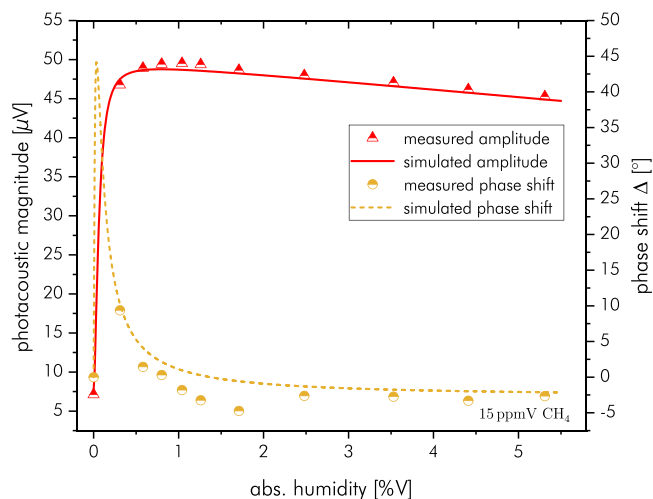
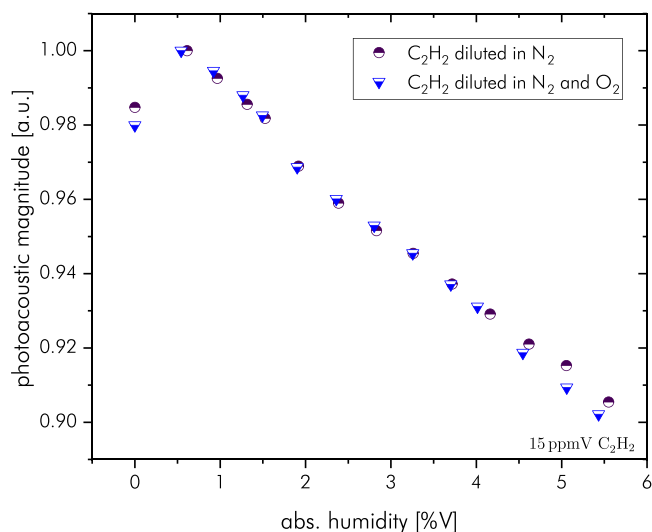


Fig. 9. Measured (points) and simulated (solid lines) amplitude and phase shift with rising H<sub>2</sub>O concentration for 15 ppmV CH<sub>4</sub> diluted in N<sub>2</sub> and O<sub>2</sub>.





**Fig. 10.** Photoacoustic amplitude of 15 ppmV C<sub>2</sub>H<sub>2</sub> diluted in N<sub>2</sub> together with a rising H<sub>2</sub>O concentration in N<sub>2</sub> (blue triangles) and N<sub>2</sub> and O<sub>2</sub> (dark-blue circles), respectively.

#### 4.3.5. Impact of H<sub>2</sub>O and O<sub>2</sub> on C<sub>2</sub>H<sub>2</sub> diluted in N<sub>2</sub>

Besides methane, we investigated cross-sensitivities that might affect photoacoustic acetylene detection. On the lines of Sections 4.3.2 and 4.3.4, we used a mixture with a constant volume ratio of 15 ppmV acetylene diluted in N<sub>2</sub> and 90 %-N<sub>2</sub> + 10 %-O<sub>2</sub>, respectively, and successively added H<sub>2</sub>O (see Fig. 10). As no change in photoacoustic phase was observed, only the respective amplitudes are plotted. Comparing both measurements with the corresponding methane measurements (refer to Figs. 7 and 9), the rise of the amplitude by addition of small amounts of water is far less pronounced in case of C<sub>2</sub>H<sub>2</sub>. Along with the finding of a constant photoacoustic phase, we therefore assume that the addition of H<sub>2</sub>O is not affecting the relaxation path of these gas compositions. Due to a lack of rate constants in literature, no kinetic modeling was carried out so far in terms of acetylene by our group. We rather assume this slight increase in PA magnitude by adding small amounts of H<sub>2</sub>O to acetylene containing mixtures to result from a change in mass flow with added HG instead. With a decrease in photoacoustic amplitude which is analogous to previous measurements (see Figs. 7 and 9) by approx. 2 %/%V-H<sub>2</sub>O in pure nitrogen as well as in a mixture of 90 %-N<sub>2</sub> / 10 %-O<sub>2</sub>, respectively, the findings are in accordance with those containing methane as analyte species, thus supporting our theory of an analyte independent signal deterioration (refer to Section 4.3.2). The resonance frequency was observed to shift linearly by approx. 7.3 Hz/% V-H<sub>2</sub>O coinciding with the theory of speed of sound. Since adding water to acetylene mixtures has almost the same effect, regardless of the employed dilution gas, and no change in photoacoustic phase was observed, we assume complete molecular relaxation.

#### 4.3.6. Mutual interactions of analyte species

As a final cross-sensitivity experiment, we investigated mutual interactions of the analytes methane, ethane and acetylene. More precisely, we examined the influence of acetylene and ethane on methane detection as well as the influence of methane on acetylene detection. Since all test gases were diluted in nitrogen and the gas temperature was maintained constant, no change in the speed of sound was to be expected, forgoing the need of adjusting the modulation frequency.

In a first step, 10 ppmV ethane was successively added to a mixture containing 15 ppmV CH<sub>4</sub>, yielding a considerable cross-sensitivity causing a linear ( $R^2 = 0.9973$ ) amplitude loss of approx. 0.9 %/ppmV-C<sub>2</sub>H<sub>6</sub> (see appendix, Fig. 16). This loss can be attributed to deformation of the CH<sub>4</sub> peak. Although the ethane peak (3363 nm) is 5.8 nm next to methane absorption, there is still spectral interference at the methane region at 3368 nm with an absorption cross-section of  $\sigma = 1.6 \cdot 10^{-19} \text{ cm}^2$

according to HITRAN data. However, this effect mitigates with rising CH<sub>4</sub> concentration since the relative impact on peak deformation is obviously less pronounced in case of strong CH<sub>4</sub> absorption. One conclusion that can be drawn regarding this effect reads as follows: In applications with fluctuating ethane concentration, measurements should be performed at partial vacuum and reduced modulation index, respectively. Although, this results in a poorer sensitivity and detection limit, reduced pressure yields separation of the absorption peaks of methane and ethane, thus counteracting the influence of cross-sensitivities.

In contrast, no discernible influence of methane on photoacoustic acetylene detection was identified and vice versa.

## 5. Conclusion

We developed a highly sensitive photoacoustic system for detecting the three hydrocarbons methane, ethane and acetylene, while the detection of methane and ethane was accomplished by one single ICL. With  $3\sigma$  detection limits of 6.8 ppbV, 2.3 ppbV and 3.6 ppbV, respectively, we provide a solid basis for trace gas analysis of these analytes diluted in nitrogen. Besides, we investigated the influences of ambient parameters like gas temperature, pressure and mass flow, cross-sensitivities towards H<sub>2</sub>O and O<sub>2</sub> as well as mutual interactions of the analyte species.

The influence of gas temperature was studied by heating the gas sample from 20 °C to 50 °C in terms of acetylene detection. Thus, we found the resonance frequency to blue-shift according to the theory of speed of sound as well as the PA amplitude to decrease by 19.5 %. By decreasing order, the sources of signal attenuation were conclusively identified, namely less absorption, resonance frequency shifting and quality factor deterioration. The dependency of resonance frequency and PA magnitude on the pressure of the gas sample was found to be more complex, both revealing non-linear correlations. However, non-linearity was determined to exclusively result from the fact, that node shifting gains influence at low pressures. Moreover, the presented results demonstrate that the flow rate does not directly affect the photoacoustic signal, but causes a change in pressure, which in turn yields a change in the PA amplitude.

With regard to cross-sensitivity evaluation, we investigated the dependency of trace gas concentrations of acetylene and methane diluted in nitrogen on changing O<sub>2</sub> and H<sub>2</sub>O concentrations, respectively. Every series of measurements where water was added to the composition revealed a continuous decline in amplitude without affecting the phase of the photoacoustic signal. Therefore, we excluded relaxation effects to be responsible and rather assume an artifact of our measurement setup to affect amplitude deterioration by humidification, too. While in terms of acetylene no further mentionable cross-sensitivities towards O<sub>2</sub> or H<sub>2</sub>O were observed, photoacoustic methane detection was found to suffer from various interferences.

A kinetic model was used in order to simulate the relaxation path of photoacoustic signal generation. The model considers the composition of the gas mixture, the optical power, all relevant vibrational states (refer to Fig. 6), the resonance frequency, the volume density as well as all relevant molecular relaxation paths (see Table 2). By means of this model we were able to assign those various interferences to molecular relaxation phenomena. As for restricted extent of this work and for clarity reasons, a publication providing a detailed description of the model is intended.

Concluding, methane detection was identified to suffer from cross-sensitivities towards ethane as well, due to alteration of its absorption peak shape. However, acetylene was determined not to affect methane detection or vice versa.

Our comprehensive investigations regarding environmental and cross-sensitivity analysis provide a better understanding of photoacoustic systems in general and relaxation related effects in particular. Knowing and understanding these effects allows for maintaining detection limits in the sub-ppmV-range even in real life conditions, i.e.

changes of environmental parameter or in composition. Therefore, our compact photoacoustic sensor has great potentials for cross-sensitivity resilient trace gas analysis.

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## CRedit authorship contribution statement

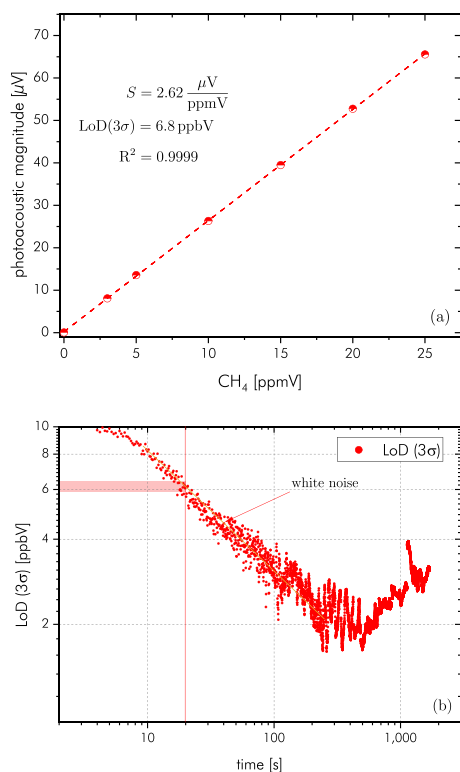
Jonas Pangerl: Conceptualization, Data curation, Funding acquisition, Investigation, Methodology, Project administration, Visualization, Writing original draft, Writing – review & editing, Max Müller: Conceptualization, Data curation, Investigation, Methodology, Software, Visualization, Writing – review & editing, Stefan Weigl: Conceptualization, Software, Writing – review & editing, Thomas Rück: Conceptualization, Methodology, Supervision, Validation, Writing – review & editing, Funding acquisition, Rudolf Bierl: Funding acquisition, Project administration, Supervision, Validation, Writing – review & editing.

## Declaration of Competing Interest

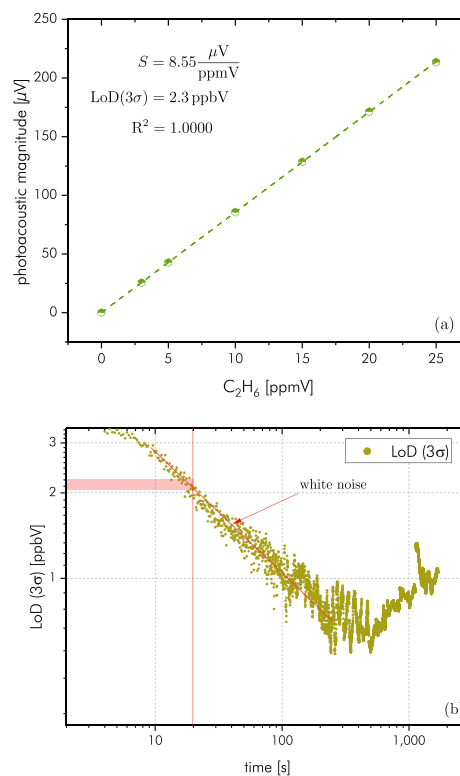
The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Appendix

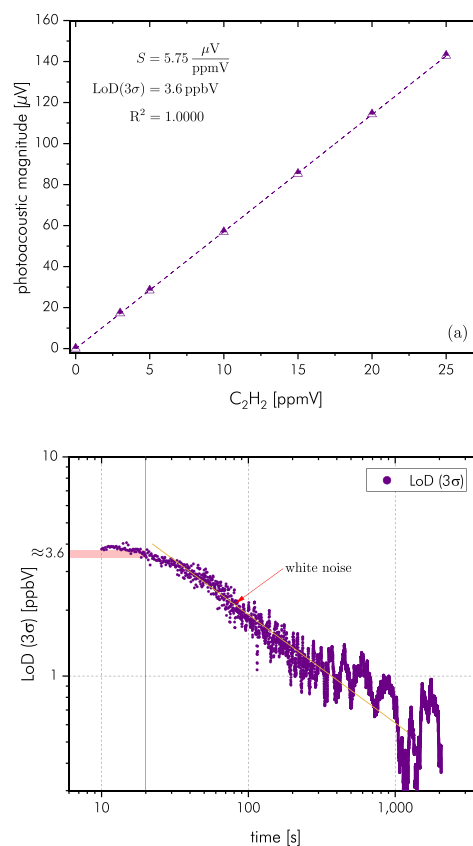
See Figs. 11–16.



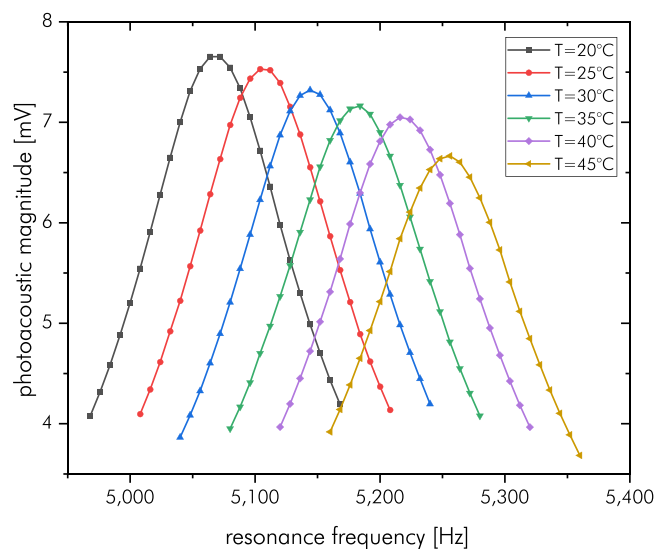
**Fig. 11.** Calibration curve (a) and Allan deviation plot (b) of methane in pure nitrogen. Since the same light source is used in terms of ethane detection, the Allan deviation measurement points are identical to Fig. 12b.



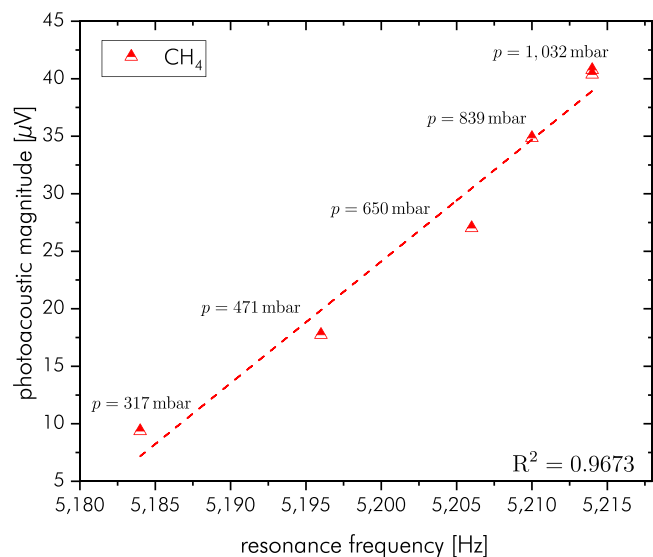
**Fig. 12.** Calibration curve (a) and Allan deviation plot (b) of ethane in pure nitrogen.



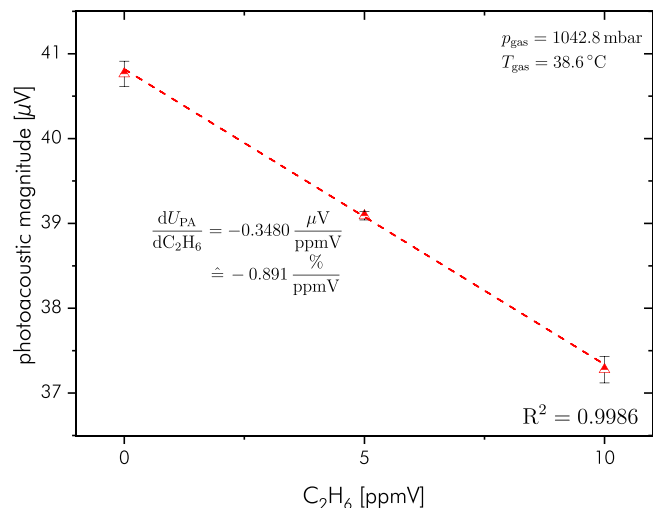
**Fig. 13.** Calibration curve (a) and Allan deviation plot (b) of acetylene in pure nitrogen.



**Fig. 14.** Temperature dependency of resonance profiles. The gas mixture contained 2500 ppmV methane diluted in nitrogen. The measuring points are connected by Akima-Interpolation.



**Fig. 15.** Relationship between photoacoustic signal and resonance frequency applying a partial vacuum in terms of 15 ppmV methane diluted in nitrogen.



**Fig. 16.** Influence of ethane to the photoacoustic signal of 15 ppmV methane diluted in nitrogen.

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