



# Open Access Transformationsverträge

Entwicklung in Deutschland und international

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109. Bibliothekartag 16.-18.06.2021 | Bremen



# 1

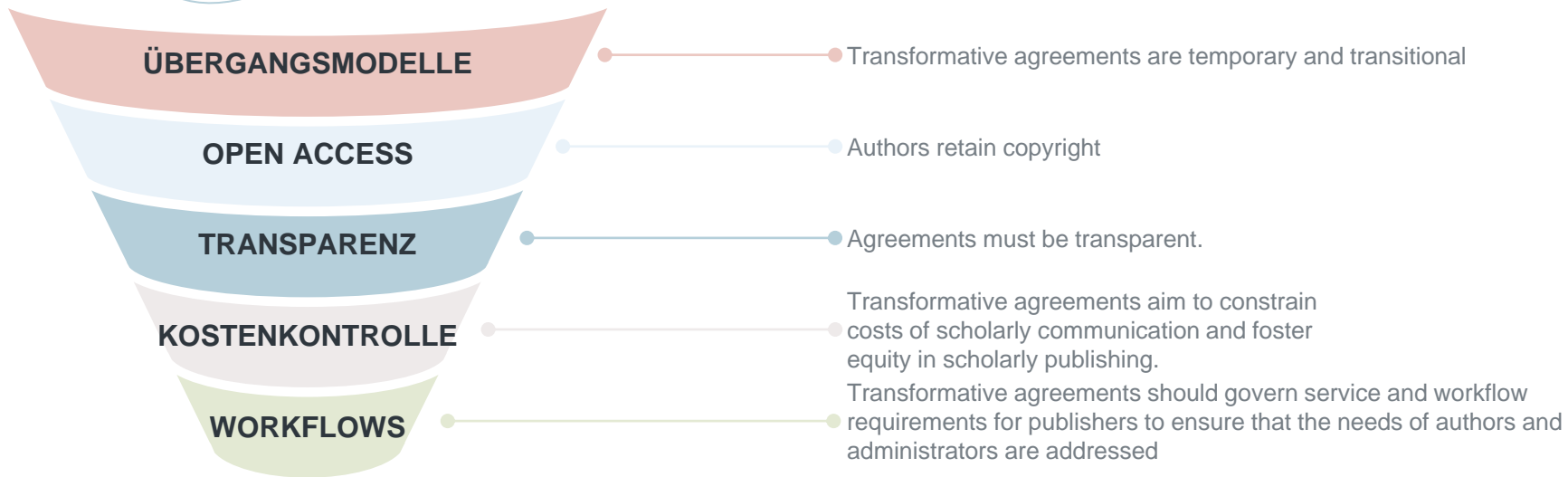
## Transformationsverträge

Hintergründe & Entwicklungen



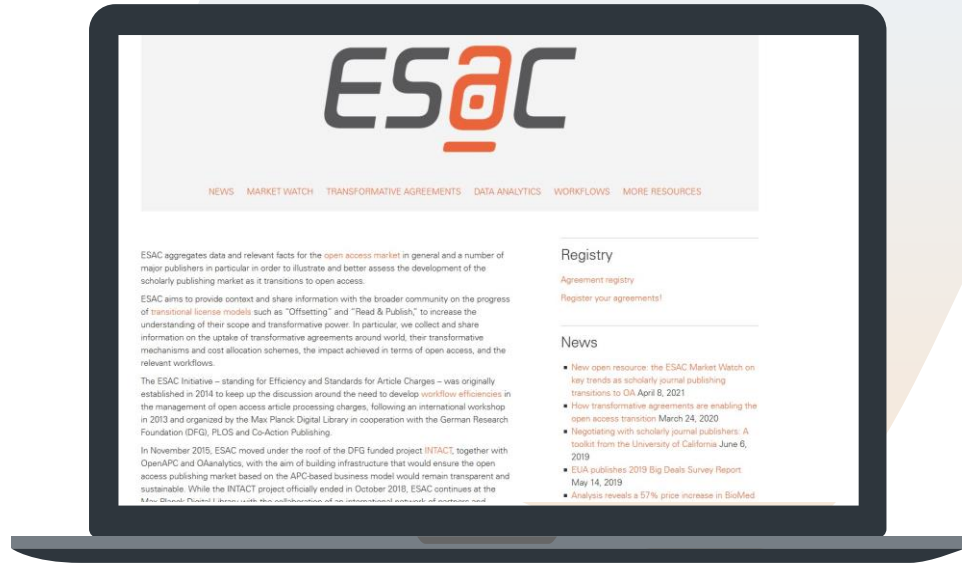
## Kurswechsel in der Lizenzierung

# Richtlinien für Transformationsverträge



# ESAC Initiative

“Efficiency & Standards  
for Article Charges”



Transformationsverträge in der  
**ESAC Registry** (14.6.2021)

**310**

Verträge

**31**

Länder mit Verträgen in 2021

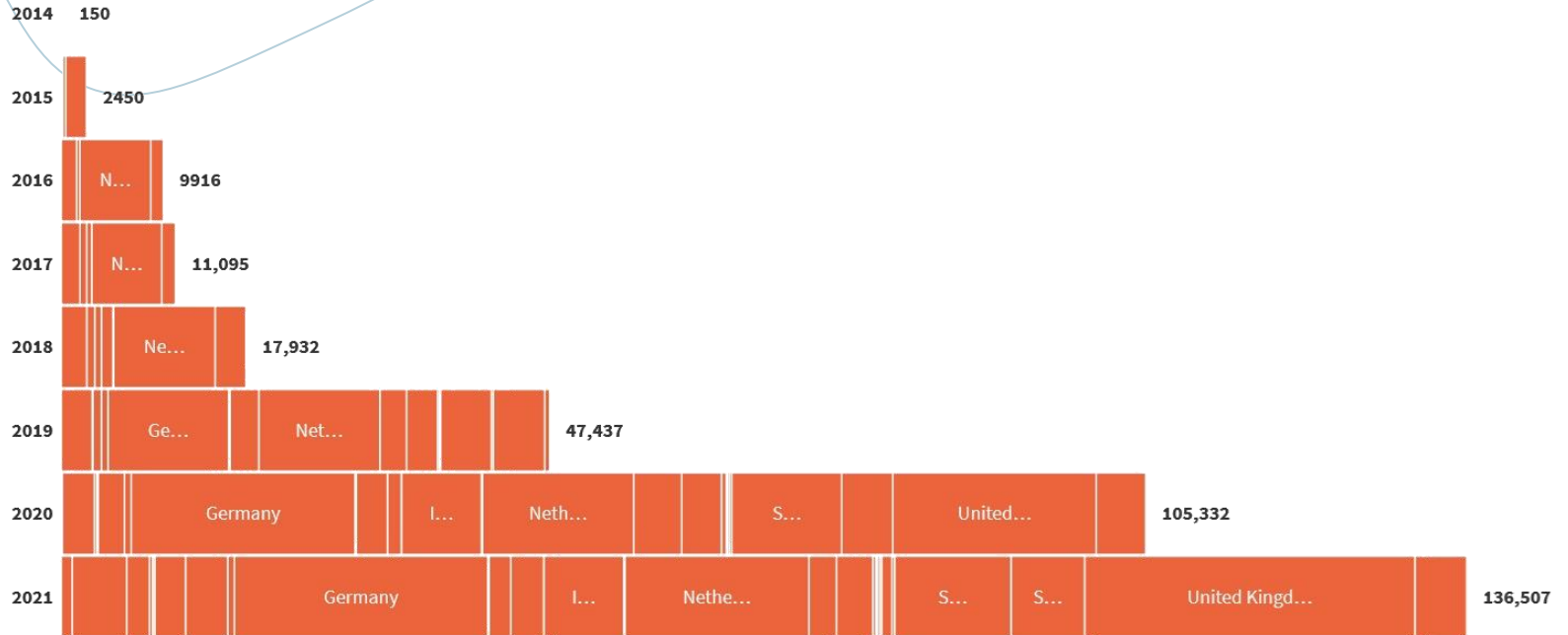
**44**

Verlage mit Verträgen in 2021





# ESAC Registry Entwicklung nach Ländern



# ESAC Registry

## Entwicklung nach Verlagen

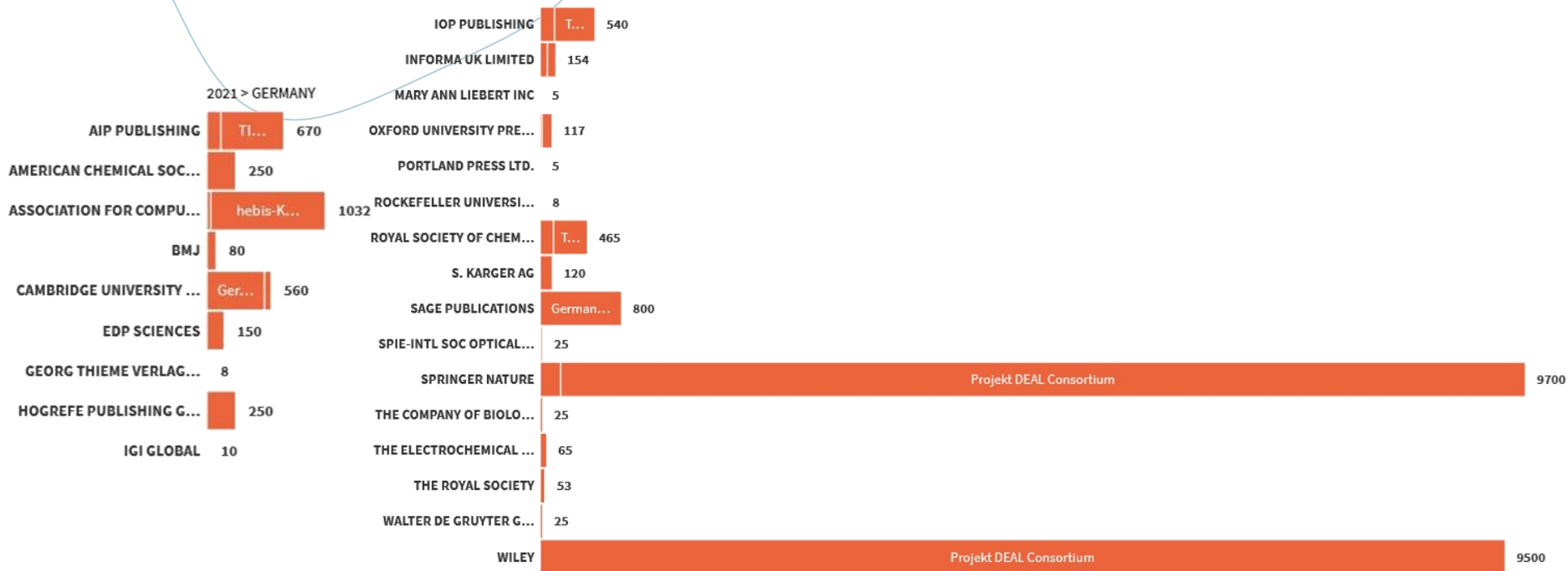




# ESAC Registry

## Abschlüsse in Deutschland 2021

2021 > GERMANY



# Was wird in der Registry erfasst?

Publisher	Elsevier
Agreement ID	els2020ccsal
Agreement labeling	Elsevier Read & Publish
Has the agreement been disclosed and published?	Yes
URL	<a href="https://consortium.ch/wp_live/wp-content/uploads/2020/05/Elsevier_agreement_2020-2023.pdf">https://consortium.ch/wp_live/wp-content/uploads/2020/05/Elsevier_agreement_2020-2023.pdf</a>
Agreement period	01/01/2020 – 12/31/2023
Consortia / Institution	Consortium of Swiss Academic Libraries
Country	Switzerland
<b>SIZE</b>	3300
Approximate range of annual corresponding author publications	
<b>COSTS</b>	Agreement costs within the range of the previous spending level
How do the costs of the agreement relate to previous subscription-only agreements with the publisher?	
<b>Comments on cost development</b>	Annual price increase based on inflation
<b>FINANCIAL SHIFT</b>	The total cost is based solely on historical subscription costs and expenditure for Gold OA.
Transformative agreements vary by their transformative mechanisms, meaning the way in which financing is shifted from the subscription side to open access publishing. What are the characteristics of this agreement to this regard?	

## RISK SHARING

How do entitlements for open access publishing correlate to the anticipated article output? Which mechanisms for risk sharing have been agreed in cases of exceeding or not reaching the number of OA publishing entitlements?

No risk sharing. Researchers pay the standard APC if we exceed the total number of articles in the first three years.

## OA COVERAGE

Are all journals relevant to your affiliated authors (in which you expect them to publish) eligible for OA publishing under the agreement?

No

Are fully open access journals covered by the agreement?

Yes

## OA LICENSE

CC-BY preference, exceptions allowed

## ARTICLE TYPES

CRP — Case Report  
 DAT — Data  
 FLA — Full-length article  
 MIC — Microarticle  
 OSP — Original software publication  
 PGL — Practice Guidelines  
 PRO — Protocol  
 REV (journals) — Review article  
 RPL — Replication Studies  
 SCO — Short communication  
 SSU — Short survey  
 VID — Video Article

## ACCESS COSTS

What is the approximate share of access related costs of the overall agreement?

0%

## Comments

Not specified. Read & Publish costs are not distinguished.

## ACCESS COVERAGE

Are all read relevant journals covered by the agreement?

Yes

## PERPETUAL ACCESS RIGHTS

Partly (e.g. to core journals only)

## WORKFLOW ASSESSMENT

### Comments on workflows

During the contract period, articles can be published retroactively OA with the consent of the author

Request contact to the licensee

[contact@esac-initiative.org](mailto:contact@esac-initiative.org)



# 2

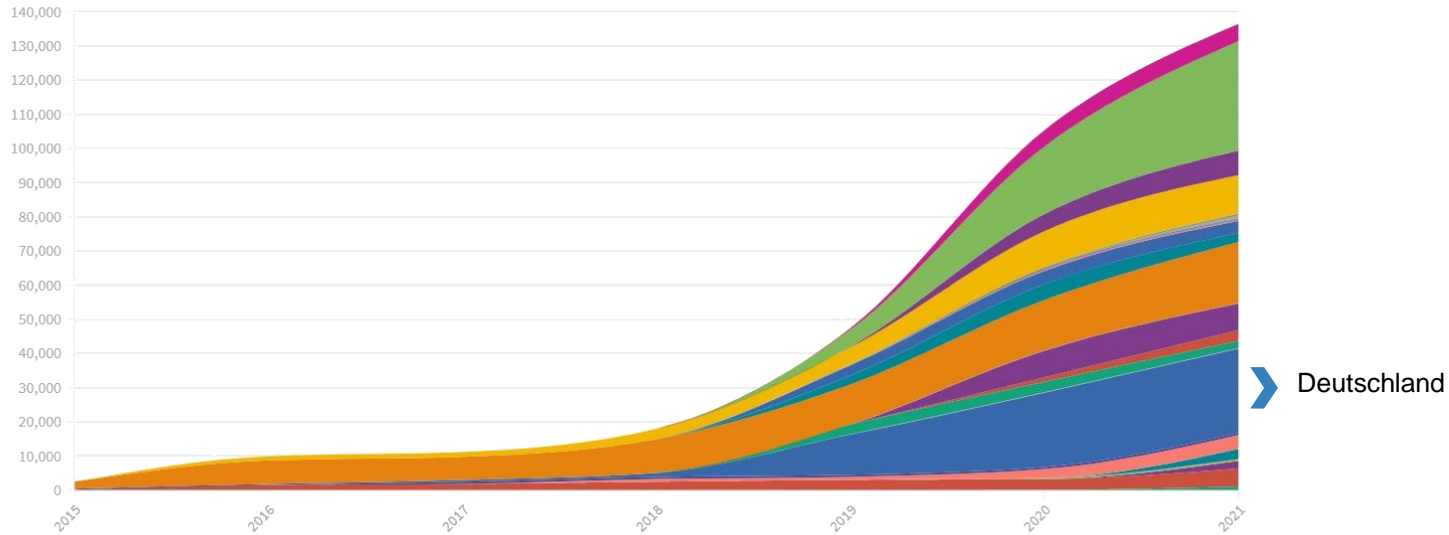
## Impact

Wie wirken OA-Transformationsverträge?

# Wirkung global

## Exponentielles OA-Wachstum durch Transformationsverträge

● Australia ● Austria ● Belgium ● Canada ● China ● Croatia ● Czech Republic ● Denmark ● Estonia ● Finland ● France ● Germany ● Greece  
● Hungary ● Ireland ● Israel ● Italy ● Japan ● Moldova ● Netherlands ● Norway ● Poland ● Qatar ● Saudi Arabia ● Slovenia ● South Korea  
● Spain ● South Africa ● Sweden ● Switzerland ● United Kingdom ● United States

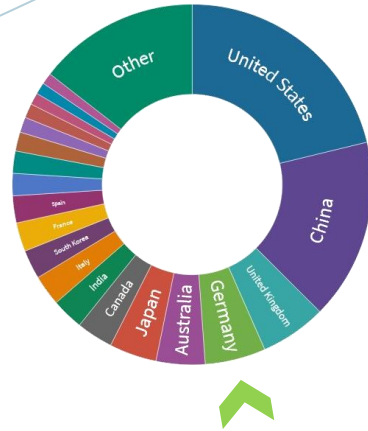


<https://esac-initiative.org/market-watch/>

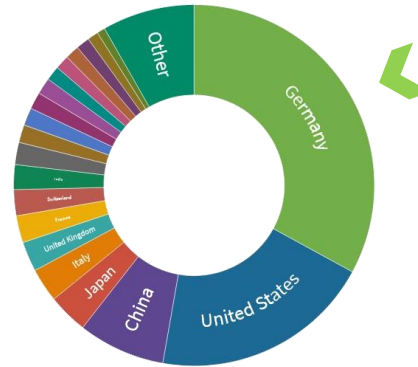
# Wirkung auf Verlagsportfolios

## Wenige Länder können den Unterschied machen

Wiley



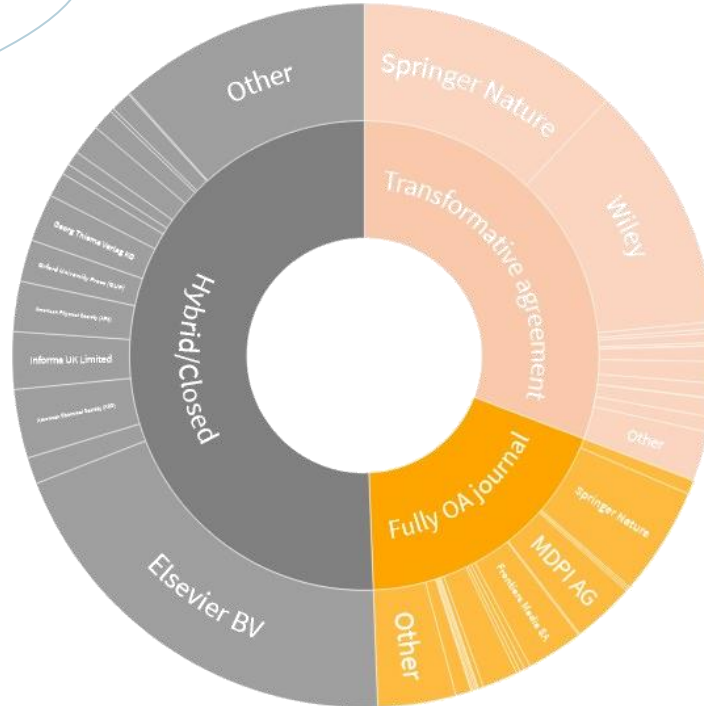
Thieme



<https://esac-initiative.org/market-watch/>

# Der DEAL-Effekt

## 50% des deutschen Outputs frei verfügbar



# Weitere Effekte

## Transparenz

- Transparente Preisgestaltung
- Öffentlich zugängliche Verträge

## Kostenkontrolle

- Kein Double dipping,
- keine APCs “in the wild”

## Mehr Zugang

- Bessere Informationsversorgung
- gleichberechtigt





# Vielen Dank!

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Mehr Informationen unter <https://esac-initiative.org>

