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# Ligand-controlled and nanoconfinement-boosted luminescence employing Pt(II) and Pd(II) complexes: from color-tunable aggregation-enhanced dual emitters towards self-referenced oxygen reporters†

Iván Maisuls,<sup>†ab</sup> Cui Wang,<sup>†cd</sup> Matias E. Gutierrez Suburu,<sup>ab</sup> Sebastian Wilde,<sup>ab</sup> Constantin-Gabriel Daniliuc,<sup>e</sup> Dana Brünink,<sup>f</sup> Nikos L. Doltsinis,<sup>f</sup> Stefan Ostendorf,<sup>g</sup> Gerhard Wilde,<sup>g</sup> Jutta Kösters,<sup>b</sup> Ute Resch-Genger<sup>id</sup>\*<sup>c</sup> and Cristian A. Strassert<sup>id</sup>\*<sup>ab</sup>

In this work, we describe the synthesis, structural and photophysical characterization of four novel Pd(II) and Pt(II) complexes bearing tetradentate luminophoric ligands with high photoluminescence quantum yields ( $\Phi_L$ ) and long excited state lifetimes ( $\tau$ ) at room temperature, where the results were interpreted by means of DFT calculations. Incorporation of fluorine atoms into the tetradentate ligand favors aggregation and thereby, a shortened average distance between the metal centers, which provides accessibility to metal–metal-to-ligand charge-transfer (<sup>3</sup>MMLCT) excimers acting as red-shifted energy traps if compared with the monomeric entities. This supramolecular approach provides an elegant way to enable room-temperature phosphorescence from Pd(II) complexes, which are otherwise quenched by a thermal population of dissociative states due to a lower ligand field splitting. Encapsulation of these complexes in 100 nm-sized aminated polystyrene nanoparticles enables concentration-controlled aggregation-enhanced dual emission. This phenomenon facilitates the tunability of the absorption and emission colors while providing a rigidified environment supporting an enhanced  $\Phi_L$  up to about 80% and extended  $\tau$  exceeding 100  $\mu$ s. Additionally, these nanoarrays constitute rare examples for self-referenced oxygen reporters, since the phosphorescence of the aggregates is insensitive to external influences, whereas the monomeric species drop in luminescence lifetime and intensity with increasing triplet molecular dioxygen concentrations (diffusion-controlled quenching).

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## Introduction

Transition metal complexes with triplet molecular dioxygen (<sup>3</sup>O<sub>2</sub>)-sensitive luminescence at room temperature have been extensively studied in the last decades, due to their broad spectrum of applications, ranging from bioimaging agents<sup>1–5</sup> and photocatalysts<sup>6,7</sup> to active materials in photodynamic therapy,<sup>8,9</sup> OLEDs,<sup>10–12</sup> and optical sensors.<sup>13–17</sup> The emission of monomeric Pt(II) complexes arises mainly from metal-perturbed ligand-centered triplet states (<sup>3</sup>MP-

LC) as an admixture of <sup>3</sup>LC and <sup>3</sup>MLCT character (ligand-centered  $\pi$ – $\pi^*$  and metal-to-ligand charge-transfer  $d$ – $\pi^*$ , respectively). Notably, Pt(II) complexes can form aggregates both in solution and in the solid state.<sup>18–22</sup> Such interactions involve the  $d^8$ -configured metal center and facilitate the intermolecular coupling between  $d_{z^2}$  orbitals protruding out of the coordination plane. Hence, aggregation leads to a red-shifted emission originating from an excimer or a metal–metal-to-ligand charge-transfer (<sup>3</sup>MMLCT,  $d$ – $d$ – $\pi^*$ – $\pi^*$ ) state, which is insensitive to quenching by <sup>3</sup>O<sub>2</sub>.<sup>18,20,21,23</sup>

<sup>a</sup>Institut für Anorganische und Analytische Chemie, Westfälische Wilhelms-Universität Münster, Corrensstraße 28/30, D-48149 Münster, Germany. E-mail: ca.s@www.de

<sup>b</sup>CeNTech, CiMIC, SoN, Westfälische Wilhelms-Universität Münster, Heisenbergstraße 11, D-48149 Münster, Germany

<sup>c</sup>Division Biophotonics, Federal Institute for Materials Research and Testing (BAM), Richard-Willstaetter-Straße 11, 12489 Berlin, Germany

<sup>d</sup>Institute of Chemistry and Biochemistry, Freie Universität Berlin, Arnimallee 22, 14195 Berlin, Germany

<sup>e</sup>Organisch-Chemisches Institut, Westfälische Wilhelms-Universität Münster, Corrensstraße 40, D-48149 Münster, Germany

<sup>f</sup>Institut für Festkörpertheorie, Center for Multiscale Theory and Computation, Westfälische Wilhelms-Universität Münster, Wilhelm-Klemm-Straße 10, D-48149 Münster, Germany

<sup>g</sup>Institut für Materialphysik, CeNTech, Westfälische Wilhelms-Universität Münster, Wilhelm-Klemm-Straße 10, D-48149 Münster, Germany

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‡ These authors contributed equally to this work.

While there is a vast toolbox of luminescent Pt(II) complexes available, luminescent Pd(II) complexes are relatively rare, mainly due to the significantly lower ligand-field splitting related to such coordination compounds. Hence, the metal-centered (MC, d-d\*) states of Pd(II) complexes are thermally accessible, and the antibonding  $d_{x^2-y^2}$  orbital can be populated, favoring a nonradiative deactivation by excited state distortion and conical intersection with the ground state.<sup>24,25</sup> Even though these Pt(II)- and Pd(II)-based luminophores display interesting photophysical and photochemical properties, their application potential is still limited due to their poor water-solubility and intermolecular quenching, among other environmental influences. The application range of these coordination compounds can be potentially broadened by incorporating these molecules into organic, inorganic or hybrid arrays, such as metal-organic-frameworks, polymer particles or films. Hence, the need for ligands bearing water-soluble substituents or reactive groups from biomolecule conjugation can be avoided.<sup>26–30</sup> Moreover, this strategy can improve their luminescence properties, as a rigid environment can suppress roto-vibration-mediated non-radiative deactivation pathways and intermolecular quenching.<sup>26,31</sup> Furthermore, the matrix can enable the tuning of diverse optical properties by concentration-controlled metal-metal distances, resulting in the formation of aggregation-induced <sup>3</sup>MMLCT states that involve metal-metal interactions.<sup>32,33</sup> While there is a vast bibliographic literature regarding Pt(II) complexes, reports on aggregates of luminescent Pd(II) compounds are very scarce.<sup>34,35</sup> To the best of our knowledge, only very few examples of <sup>3</sup>MMLCT emission from Pd(II) coordination compounds have been reported so far,<sup>32,33</sup> and nanoparticles loaded with such luminescent species have not been described to this date.

Herein, we present the synthesis, structural characterization and photophysics of a series of double cyclometallated Pt(II) and Pd(II) complexes with tetradentate luminophores acting as dianionic ligands, including their monomeric form as well as their aggregates in solution and incorporated into polystyrene nanoparticles. Structurally, the complexes were characterized by X-ray diffractometric (XRD) analysis of single crystals, high resolution mass spectrometry (HRMS) and <sup>1</sup>H-, <sup>13</sup>C- and <sup>19</sup>F-nuclear magnetic resonance (NMR) spectroscopy. The experimental studies were supported by DFT and TD-DFT calculations of the monomeric and dimeric Pt(II) and Pd(II) complexes to obtain a deeper understanding of the electronic transitions dominating the absorption and emission spectra of these compounds. The metal complex-loaded nanoparticles were structurally characterized by means of high-resolution transmission electron microscopy (HRTEM) and high-angle annular dark field imaging (HAADF). In addition, the potential of these loaded-nanoparticles as self-referenced <sup>3</sup>O<sub>2</sub> sensors was assessed by steady state and time-resolved photoluminescence spectroscopy. For both metals, fluorination of the ligand clearly supports aggregation with enhanced luminescence and metal-metal interactions, as opposed to fluorine-free complexes undergoing intermolecular quenching. With the fluorinated Pt(II) complex (**PtLF**) incorporated into aminated polystyrene nanoparticles (PS) at different loading concentrations, we realized the first example of a nanoscale self-referenced <sup>3</sup>O<sub>2</sub>-reporter based on aggregation-enhanced dual-emission of

a coordination compound that can be read out in the intensity and lifetime domain, based on the dual emissive properties of the complex where the monomers (<sup>3</sup>MP-LC) are sensitive to <sup>3</sup>O<sub>2</sub> and the aggregates (<sup>3</sup>MMLCT) are shielded.

## Results and discussion

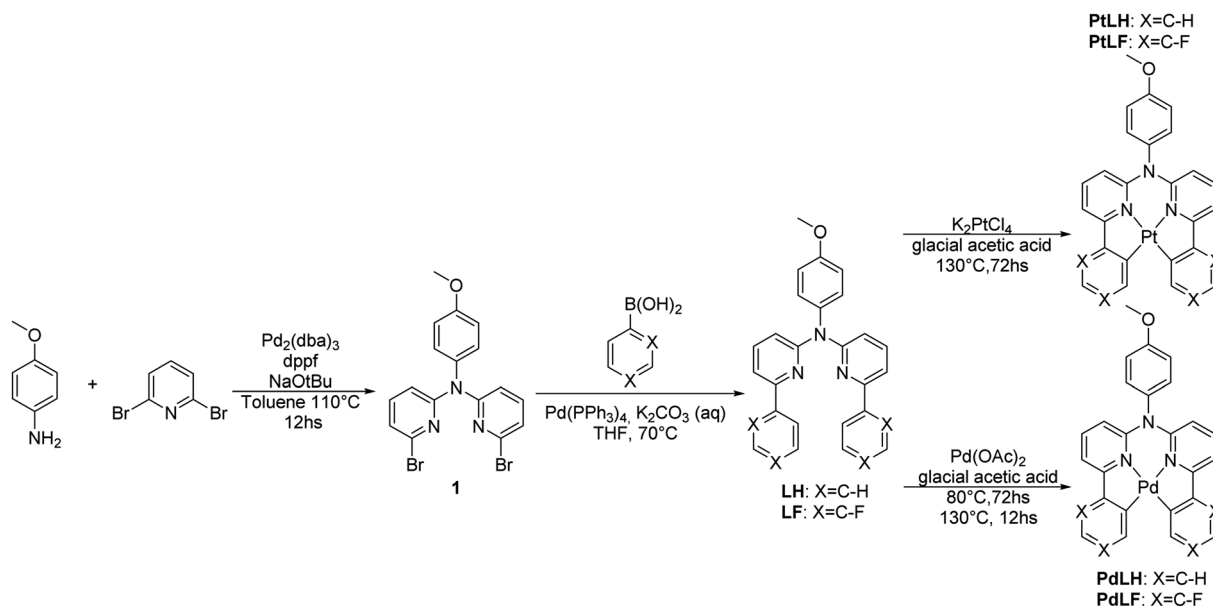
A general overview of the synthetic strategy is depicted in Scheme 1. The tetradentate ligand precursors, namely **LH** and **LF**, were synthesized starting from the dibromo-derivative **1**, which was obtained according to the route reported previously for analogous C<sup>∧</sup>N<sup>∧</sup>N<sup>∧</sup>C species.<sup>14,19</sup> *N,N*-di(2-bromopyrid-6-yl)-4-dimethoxyaniline (**1**) was obtained by means of a Buchwald-Hartwig cross-coupling, followed by Suzuki-Miyaura reactions with the corresponding boronic acids to yield the **LH** and **LF**.

The cyclometallation reactions yielding the Pt(II) complexes (**PtLH** and **PtLF**) were carried out by heating the Pt(II) salt (K<sub>2</sub>PtCl<sub>4</sub>) and the desired ligand precursor at 130 °C for 72 h. Attempts were made to use this same protocol to obtain the analogous Pd(II) complexes (**PdLH** and **PdLF**) by using K<sub>2</sub>PdCl<sub>4</sub>, but formation of metallic Pd was only observed. Different reactivities regarding cyclometallation involving various Pd(II) salts were already reported and tested herein in order to obtain the desired Pd(II) complexes.<sup>36</sup> The best results were obtained when using Pd(OAc)<sub>2</sub> while heating the reaction mixture firstly at 80 °C for 80 h to allow the coordination of the Pd atoms to the N donors of the ligands, which was followed by rising the temperature to 130 °C for 12 h to favor cyclometallation and yielding the complexes **PdLH** and **PdLF**.

The molecular structures of the four complexes **PtLH**, **PtLF**, **PdLH** and **PdLF** were determined by XRD of single crystals (Fig. 1 and Table S2†), and display a slightly distorted square-planar geometry for the central metal ion, with *N-M-N* angles between 91.697(1) and 93.354(1), (see ESI, Table S3†) and a twist of the methoxy-substituted phenyl ring of almost 90°. Selected bond lengths and angles, cell parameters and thermal displacement ellipsoids can be found in the ESI (Fig. S35–S45 and Tables S2–S8†).

As shown in Fig. 1, the four complexes tend to self-assemble into head-to-tail dimers *via*  $\pi \cdots \pi$  and C-H $\cdots$  $\pi$  interactions with the methoxy group supporting the aggregation of the molecules. This is also affected by the presence (or absence) of fluorine atoms. As recently reported, H $\cdots$ F interactions are key in stabilizing the crystalline arrangement of molecules, due to the sizeable energy of the H $\cdots$ F bridge.<sup>37</sup> This was particularly observed and described previously for comparable coordination compounds.<sup>14,19,38</sup> For **PtLF**, two polymorphs were obtained, namely **PtLF(1)** and **PtLF(2)**. Within these two **PtLF** polymorphs as well as in the **PdLF** complexes, the molecules interact through C-H $\cdots$ F bonds (Fig. 1, S38, S39 and S45†). **PtLF(2)** and **PdLF** possess the shortest metal-metal distances (Table S3†). In **PtLF(2)**, the Pt $\cdots$ Pt distance is 3.5549(1) Å, which is slightly longer than the sum of van der Waals radii for Pt(II) (3.44 Å)<sup>39</sup> and in concordance with the observation for previously reported Pt(II)-containing dimers with sizeable metal-metal coupling.<sup>40–45</sup> For **PdLF**, the Pd $\cdots$ Pd distance is longer than observed for **PtLF(2)** (3.7611(5) Å). Since this value largely exceeds the sum of the Pd(II) van der Waals radii (3.26 Å),<sup>46</sup> no





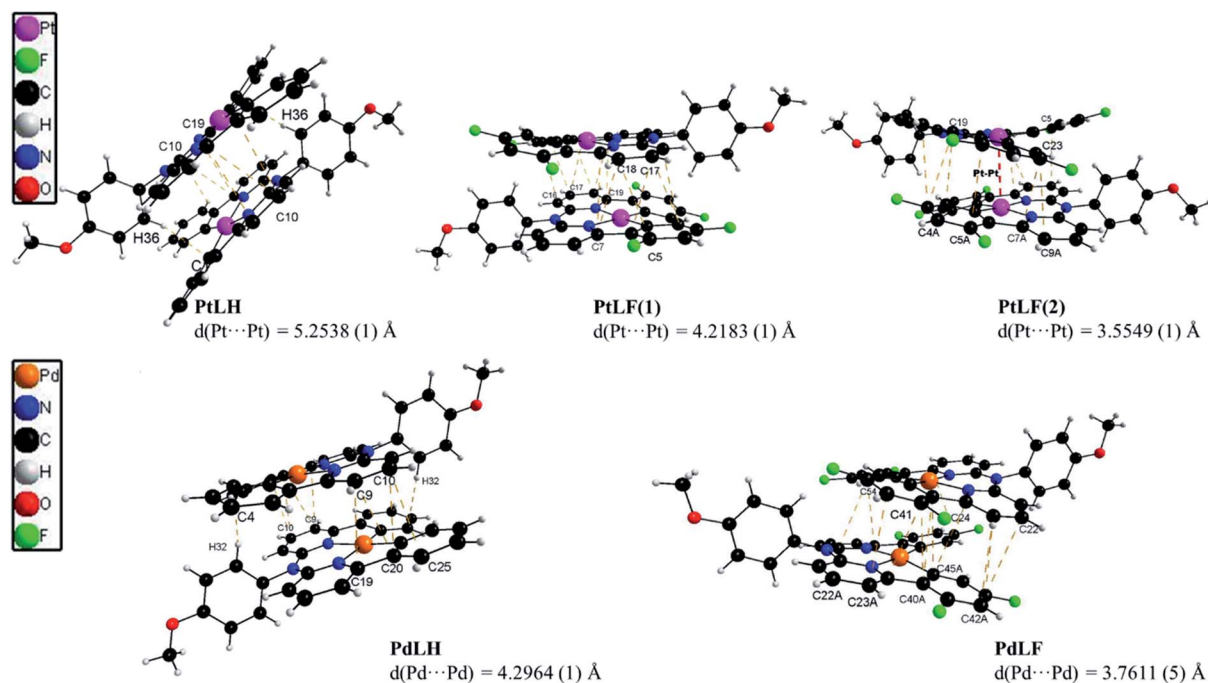
**Scheme 1** Synthesis of the tetradentate ligand precursors (LH and LF) and the corresponding metal complexes PtLH, PtLF, PdLH, and PdLF. All the precursors and complexes were characterized by high resolution mass spectrometry (HRMS) as well as  $^1\text{H}$ -,  $^{13}\text{C}$ - and  $^{19}\text{F}$ -NMR spectroscopy, where all the signals were unambiguously assigned (see ESI, Fig. S1–S34 and Table S1†).

significant metal–metal coupling should be traceable in the crystals, where the atoms are regularly ordered.

### Photophysical characterization

The absorption and photoluminescence spectra of the four complexes in dilute dichloromethane solutions at RT (DCM,  $c =$

$10^{-5}$  M) are shown in Fig. 2. The absorption bands between 235–300 nm are ascribed to spin-allowed transitions into  $^1\text{LC}$  states, while the bands between 350–450 nm are related to a transition into  $^1\text{LC}$  states mixed with the energetically lowest lying MLCT state, as previously reported for related complexes.<sup>14,19,21,47</sup> The emission spectra in diluted DCM



**Fig. 1** X-ray diffractometric results regarding dimer formation in crystals of PtLH (top-left), PtLF(1) (top-medium), PtLF(2) (top-right), PdLH (bottom-left) and in PdLF (bottom-right) with the corresponding  $\pi\cdots\pi$  and C–H $\cdots\pi$  interactions and indication of the resulting metal–metal distances.



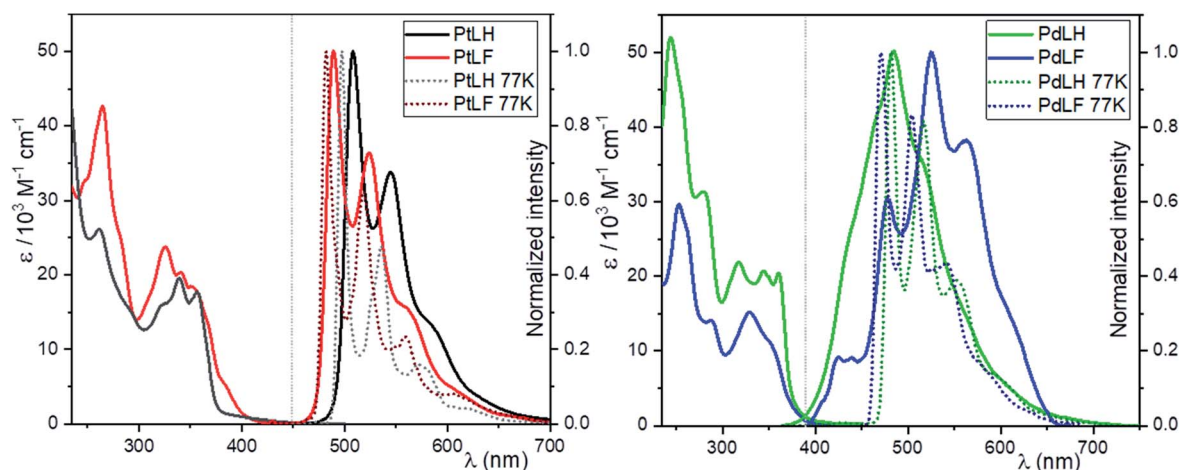


Fig. 2 Absorption spectra (molar absorption coefficients as a function of wavelength) and normalized (to the highest intensity) emission spectra of **PtLH** (black), **PtLF** (red), **PdLH** (green) and **PdLF** (blue). In both graphs, absorption and emission spectra of the complexes are shown (solid lines) for DCM at 298 K; dashed lines for 1 : 1 DCM/MeOH glassy matrices at 77 K; in all cases,  $\lambda_{\text{exc}} = 350$  nm and  $c = 10^{-5}$  M).

solutions of the two Pt(II) complexes display emission maxima with vibrational shoulders peaking at 508 nm and 544 nm for **PtLH** as well as at 489 nm and 524 nm for **PtLF** nm, with a defined vibrational progression arising mostly from  $^3\text{MP-LC}$  excited states. At this low concentration, only the vibrationally structured emission from the monomers can be observed. The blue-shift in the absorption and emission profiles upon fluorination of the ligands is ascribed to the electron withdrawing effect of these electronegative substituents.<sup>18</sup>

This electron withdrawing effect was also observed at 77 K in a 1 : 1 glassy matrix of DCM/MeOH ( $\lambda_{\text{max}} = 497$  nm and  $\lambda_{\text{sh}} = 537$  nm for **PtLH**;  $\lambda_{\text{max}} = 482$  nm and  $\lambda_{\text{sh}} = 518$  nm for **PtLF**), where the frozen glassy mixture is used to avoid cracking yet without significant interaction with the photoexcited species. As previously mentioned, the  $^3\text{MP-LC}$  states can be deactivated in fluid solution *via* diffusion-controlled quenching by  $^3\text{O}_2$ , leading to a reduction of both  $\Phi_L$  and  $\tau$ .

As depicted in Table 1, in the absence of  $^3\text{O}_2$ , both the  $\Phi_L$  and  $\tau$  increase more than 20 times, and at 77 K the  $\Phi_L$  is nearly 100%. Clearly, dissociative MC ( $d-d^*$ ) excited states of Pd(II) complexes are thermally accessible at RT, which enables facile

population of the antibonding  $4d_{x^2-y^2}$  orbitals. This favors a nonradiative deactivation through conical intersections with the ground state, making these complexes almost non-emissive in fluid solutions at RT. The absence of  $^3\text{O}_2$  slightly increases the lifetimes of **PdLH** and **PdLF**; nevertheless, the  $\Phi_L$  values always remain below 2%. At 77 K, the emission spectra of the Pd(II) complexes are very similar to those of their analogous Pt(II) compounds with emission bands peaking at 481 nm (shoulder: 519 nm) for **PdLH** and at 473 nm (shoulder: 505 nm) for **PdLF**. Interestingly, at 77 K, both  $\Phi_L$  and  $\tau$  of the Pd(II)-based compounds increased more than 50-fold (compared to RT), as the thermal population of  $d-d^*$  states becomes inaccessible. Together, these results suggest that at RT, the photoluminescence bands for fluid solutions of the Pd(II) complexes are originated by weakly coupled aggregates with predominant LC-excimer character rather than to monomeric species, particularly if looking at the broad yet vibrationally structured emission profile at RT.<sup>33,48</sup> As discussed in the following section, these emission spectra of the Pd(II) complexes can be ascribed to dimers, based on the results from DFT calculations.

Table 1 Photophysical properties ( $\Phi_L$  and  $\tau$ ) of the four complexes under different conditions. Air-equilibrated and Ar-purged solutions in fluid DCM at RT were measured in dilute conditions to avoid aggregation ( $c = 10^{-5}$  M, both  $\tau$  and  $\Phi_L$ ).  $\tau_{77\text{K}}$  and  $\Phi_{L(77\text{K})}$  were determined in frozen glassy matrices (DCM/MeOH 1 : 1) at 77 K.  $\tau_{\text{av\_amp}}$ : amplitude-weighted average lifetime (for bi- or tri exponential decays; the individual components and their relative percentual amplitudes are indicated as well)

	$\Phi_{L(\text{air})}$ $\pm 2$ (%)	$\Phi_{L(\text{Ar})}$ $\pm 2$ (%)	$\Phi_{L(77\text{K})}$ $\pm 4$ (%)	$\tau_{\text{air}}/\mu\text{s}$	$\tau_{\text{Ar}}/\mu\text{s}$	$\tau_{77\text{K}}/\mu\text{s}$	$\tau_{\text{crystal}}^a/\mu\text{s}$
<b>PtLH</b>	<2%	27	96	$0.18 \pm 0.01$	$8.2 \pm 0.1$	$\tau_1 = 14.5 \pm 0.2$ (60%), $\tau_2 = 10.2 \pm 0.2$ (40%), $\tau_{\text{av\_amp}} = 12.4 \pm 0.5$	$\tau_{\text{av\_amp}} = 0.94 \pm 0.01$
<b>PtLF</b>	<2%	36	96	$0.40 \pm 0.01$	$11.9 \pm 0.1$	$\tau_1 = 22.0 \pm 0.7$ (48%), $\tau_2 = 3.12 \pm 0.14$ (52%), $\tau_{\text{av\_amp}} = 5.3 \pm 0.5$	$\tau_{\text{av\_amp}} = 1.09 \pm 0.01$
<b>PdLH</b>	<2%	<2%	68	$0.32 \pm 0.01$	$0.76 \pm 0.03$	$\tau_{\text{av\_amp}} = 395 \pm 40$	$\tau_{\text{av\_amp}} = 1.51 \pm 0.01$
<b>PdLF</b>	<2%	<2%	96	$1.03 \pm 0.03$	$4.4 \pm 0.2$	$\tau_1 = 249 \pm 7$ (81%), $\tau_2 = 59 \pm 10$ (19%), $\tau_{\text{av\_amp}} = 153 \pm 18$	$\tau_{\text{av\_amp}} = 0.80 \pm 0.06$

<sup>a</sup> Measured using PLIM.





The green crystals of **PtLH** showed photoluminescence profiles comparable with those observed in solution. In this case, the Pt···Pt distance (5.2538 Å) is too long to allow metal–metal interactions, and therefore only monomer-based emission is observed, even in the crystalline form. Instead, the emission spectra of the orange crystals of **PtLF** revealed a broadly unstructured luminescence peaking at around 620 nm. In this case, as mentioned above, the H···F interactions stabilize the crystalline arrangement while shortening the Pt···Pt distance (3.5549 Å) and allowing the population of <sup>3</sup>MMLCT states with excimer character.<sup>14,18,19,38,49</sup> The crystals of the Pd(II) complexes are barely luminescent, yielding emission spectra with significantly worse signal-to-noise ratios, where only broad bands peaking at around  $\lambda_{\text{max}} = 550$  nm and corresponding to weakly coupled aggregates are observed (see next section below). More details on the luminescence properties of the complexes in fluid solutions, in glassy matrices at 77 K and in the crystalline state are given in the ESI† (time-resolved photoluminescence decays of the solutions, Fig. S46–S57;† for solid compounds, luminescence micrographs can be seen in Fig. S58–S61,† emission spectra in Fig. S62,† phosphorescence lifetime maps and time-resolved photoluminescence decays in Fig. S63–S66† as well as in Table S9†). Altogether, these findings demonstrate that different emission profiles can be obtained based on the photoexcited states accessible under flexibly tunable conditions, including the class of metal and degree of fluorination as well as temperature and physical state (fluid solutions or crystalline phases at RT vs. frozen glassy matrices at 77 K). This is in agreement with previous observations reported in the bibliographic literature, where different Pt(II) and Pd(II) complexes showed emission as monomers, dimers or aggregates.<sup>19–21,32,35,50</sup>

### Quantum chemical studies of the complexes

To gain a deeper understanding of the electronic transitions determining the optical features of the monomeric and dimeric complexes, DFT and TD-DFT calculations were performed (Fig. 3). Based on the geometry-optimized ground state  $S_0$  (see ESI, Fig. S67 and S68†) and  $T_1$  structures (see Fig. 4), we

examined the frontier molecular orbitals and calculated the absorption and emission spectra. Fig. 3a displays the calculated absorption spectra of the monomeric and dimeric complexes in DCM, together with the experimentally-derived monomer spectra. The agreement between both data sets is generally good, with the deviation for the most intense bands of complexes **PtLH**, **PtLF** and **PdLH** being less than 9 nm. For **PdLF** there is a moderate deviation of about 17 nm. Fig. 3b comparing the calculated vibrationally resolved emission spectra and the experimental data, confirms the good agreement also for the photoluminescence data.

Based on the energy difference between the optimized  $T_1$  and  $S_0$  geometries, we calculated the emission maxima of **PtLH** and **PtLF** peaking at 511 nm and 489 nm, respectively (monomeric species) as well as at 513 nm and 685 nm, respectively (dimers). If compared with the Pt(II)-based species, the corresponding Pd-based monomers and dimers reveal blue-shifted emission maxima at 492 nm and 470 nm (**PdLH** and **PdLF** monomers, respectively) as well as at 500 nm and 572 nm (**PdLH** and **PdLF** dimers, respectively). For the **LH** complexes, dimerization does not affect the spectral position of the emission bands, while it causes a large red shift in the case of the **LF** complexes. This can be understood in terms of the different dimer structures adopted by the **LH** and **LF** species. A closer look at the optimized **LF** dimer geometries of the Pt(II)- and Pd(II)-based compounds (Fig. 4 and S72†) shows that in both cases, the corresponding monomers are displaced, so that the metal atoms are located on top of each other, whereas the **LH** complexes are stacked on top of each other, thereby increasing the distances between the metal centers. Tables S10–S12† indicate that for the **LH** complexes, the energy gain from electrostatic interactions outweighs the metal–metal interactions in the on-top geometry. The exact metal–metal distances in the lowest energy dimers at the  $S_0$  geometry are 4.892 Å (**PtLH**), 3.394 Å (**PtLF**), 5.060 Å (**PdLH**) and 3.374 Å (**PdLF**). In the excimeric  $T_1$  state, these distances shorten to 4.644 Å, 2.861 Å, 5.008 Å, and 2.826 Å, respectively. For the **LF** dimers, the contraction of the metal–metal distance upon excitation into  $T_1$  is particularly

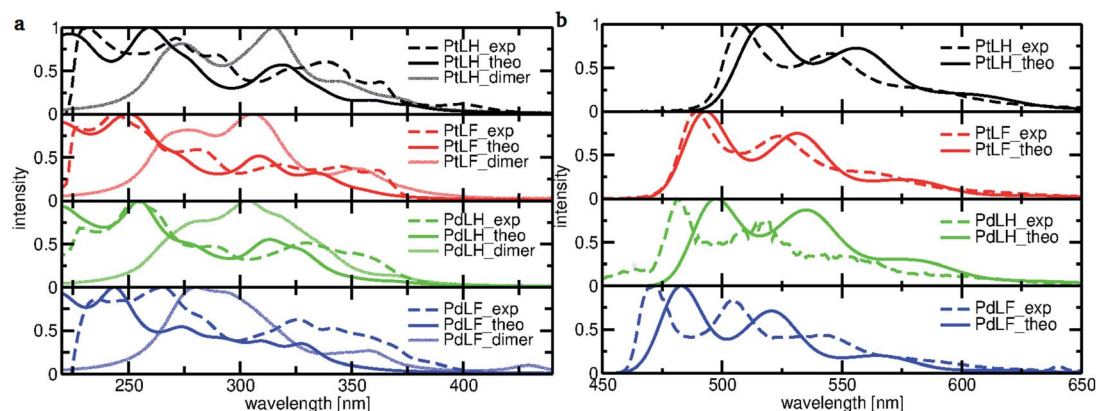


Fig. 3 (a) Normalized absorption spectra calculated for the monomeric (solid lines) and dimeric complexes (light-colored lines) and the corresponding spectra (dashed lines) of all four complexes measured in DCM. (b) Normalized theoretical and experimental emission spectra of **PtLH**, **PtLF**, **PdLH** and **PdLF** (77 K) in DCM. The calculated absorption and emission spectra were obtained with the PBE0 functional and the SDD basis set.



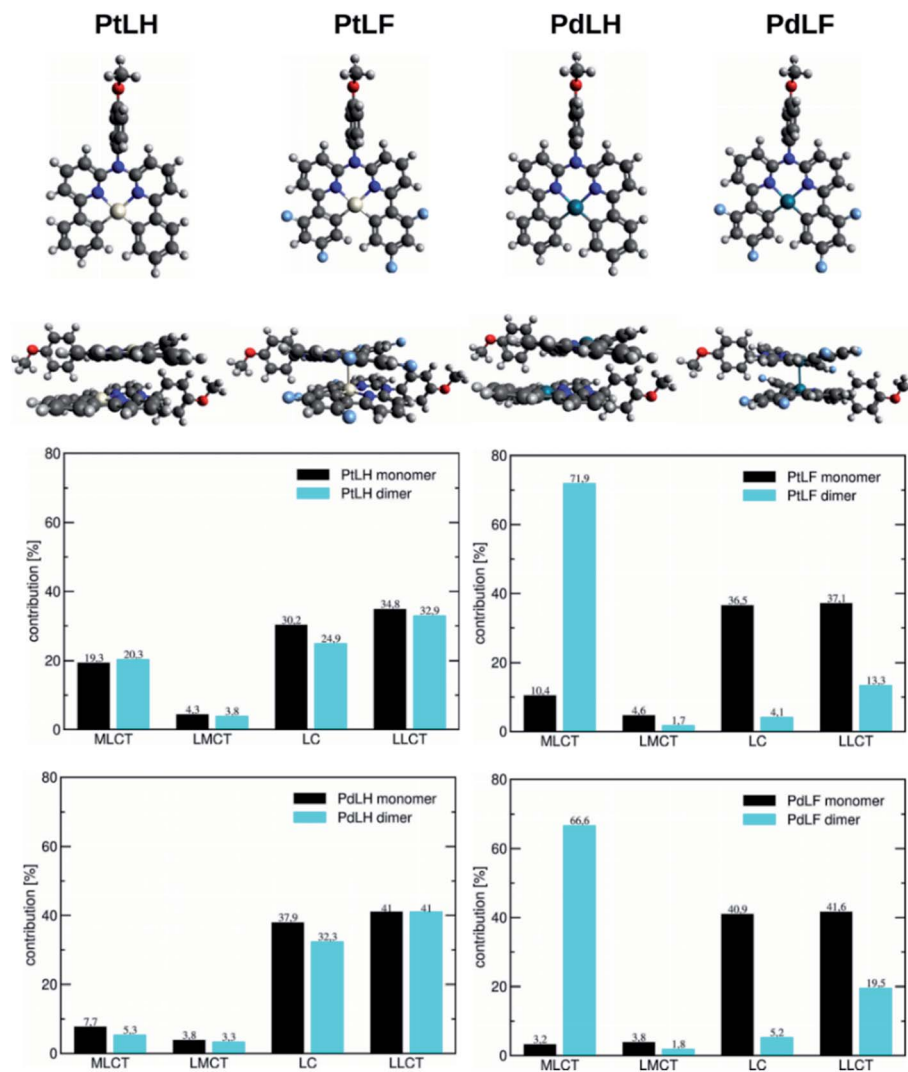


Fig. 4 (Top) Monomers and dimers of the four complexes in the  $T_1$  geometry. (Bottom) Decomposition of the  $T_1$  state for the monomeric and dimeric complexes into contributions originating from MLCT, LMCT, LC, and LLCT configurations determined with the package TheoDORÉ. The dimers in the ground state of the complexes can be seen in Fig. S72.†

pronounced ( $>0.5$  Å). This can be explained in terms of the frontier orbitals of the dimeric species (see Fig. S69†). The HOMO of the LF complexes is an antibonding combination of the metal-centered  $d_{z^2}$  orbitals, while the LUMO is a bonding combination of  $\pi$ -orbitals with a very small ( $<3.5\%$  according to a natural bond orbital analysis) contribution of the metal-centered p-orbitals. Since the largest contribution to the  $T_1$  state in all cases is a HOMO–LUMO excitation (Table S13†), this implies that the depopulation of the antibonding HOMO and occupation of the bonding LUMO strengthen the metal–metal interaction, leading to contraction-dependent optical features.

A closer inspection of the HOMO and LUMO energies (Tables S14 and S15†) reveals that for the monomers in the  $T_1$  state, the LUMO energy is virtually unchanged when Pt is replaced by Pd, whereas the HOMO is stabilized, which explains the observed blue-shift. As can be seen from the orbital pictures (Fig. S70 and S71†), the character of the HOMO and the LUMO is the same for both metals. However, for the Pd(II) complexes, the contribution

of the metal center to the HOMO appears somewhat smaller reducing the antibonding interactions with the ligand, thus lowering the energy of this frontier orbital and leading to the observed blue-shift. Fig. 4 contains a decomposition of the overall  $T_1$  excitation into MLCT, LMCT (ligand-to-metal charge-transfer), LC, and LLCT (ligand–ligand charge-transfer) contributions using the TheoDORÉ package.<sup>51</sup> This figure shows that the monomeric Pt complexes have a stronger MLCT character (19.3% and 10.4% for PtLH and PtLF, respectively) than their Pd counterparts (7.7% and 3.2%). If compared with their LH counterparts, for the LF dimers, the MLCT character strongly increases from 20.3% up to 71.9% for PtLF and from 5.3% to 66.6% for PdLF.

#### Encapsulation of the complexes into aminated polystyrene nanoparticles

The rather hydrophobic complexes PtLH, PtLF, PdLH and PdLF were encapsulated into 100 nm-sized polystyrene nanoparticles



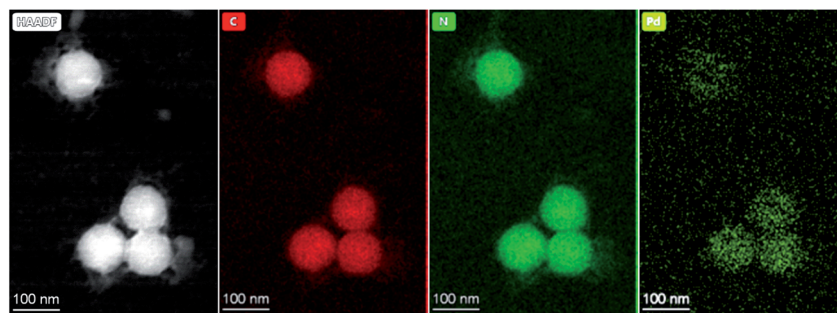


Fig. 5 HAADF image and corresponding EDX maps of 100 nm-sized polystyrene nanoparticles loaded with PdLF *i.e.* PS(PdLF-0.5 mM).

(PS) *via* a straightforward one-step staining procedure (see Scheme S1 and Fig. S73–S86 in the ESI†).<sup>52–54</sup> Increasing the loading concentration of the complexes from 0.1 mM to 2.0 mM and 4.0 mM leads to an increment in the hydrodynamic diameter of the stained particles to 130 nm and eventually to 150 nm, as determined by dynamic light scattering (DLS) measurements (see ESI, Table S16†). The PS staining with the Pt(II) and Pd(II) complexes is homogeneous (as confirmed by TEM and HAADF and shown representatively for PdLF in Fig. 5; for further details see ESI, Fig. S73–S86†) and stable without leaking of the complexes (see ESI, Fig. S87†). The results of the spectroscopic quantification of the average loading concentration per particle is provided in the ESI (Fig. S88 and Table S17†).

Encapsulation of the Pt(II) and Pd(II) complexes into PS significantly improved their photophysical properties, as shown in Fig. 6 and in the ESI (Tables S18 and S19†). Already for the lowest PdLF loading concentration ( $c_L = 0.1$  mM),  $\Phi_{L(\text{air})}$  is enhanced by a factor of 20 and  $\Phi_{L(\text{Ar})}$  reached 79%. In addition,  $\tau_{\text{air}}$  of both Pt(II) complexes are extended from a few hundred ns in THF to about 10  $\mu\text{s}$  in the PS (see ESI, Fig. S89–S91†). Also, the luminescence of the Pd(II) complexes is drastically enhanced in PS, reaching a  $\Phi_L$  of 12% and 6% in deaerated aqueous dispersions of PS(PdLH-0.1 mM) and PS(PdLF-3.0 mM), respectively (Fig. 6, and ESI, Table S20†). Simultaneously,  $\tau_{488}$  and  $\tau_{520}$  of Ar-purged dispersions with PS(PdLH-series) reach more than 100  $\mu\text{s}$  (see ESI, Fig. S93–S95†), equaling an extension by a factor of about 300 compared with PdLH in THF. The

lifetimes  $\tau_{480}$  and  $\tau_{530}$  of the PS(PdLF-series) increased from a few ns in THF to a dozen  $\mu\text{s}$  in the PS (see ESI, Fig. S96–S98†). Apparently, encapsulation suppresses some of the non-radiative deactivation pathways of the Pt(II) and Pd(II) complexes, possibly by a rigidification comparable to frozen matrices at 77 K. Also, a loading concentration-dependent change in the photophysical properties was observed, indicating that besides suppression of non-radiative deactivation pathways, the character of the excited states is also affected, as discussed below.

Fig. 7 summarizes the emission spectra of the four complexes in PS for different loading concentrations. Increasing  $c_L$  from 0.1 mM to 2.0 mM and eventually to 4.0 mM enhances both rigidity and molecular aggregation, depending on the complex. For PdLH and PdLF, the emission intensity of the PS(PdLH-series) and PS(PdLF-series) was initially increased (with  $c_L$  from 0.1 mM to 0.5 mM) and then decreased (for  $c_L > 0.5$  mM, Fig. 7a and c). This drop suggests aggregation-caused quenching (ACQ) due to intermolecular  $\pi$ – $\pi$  stacking of the planar aromatic rings of the ligands within the aggregates.<sup>55–57</sup> The lifetimes of the PdLH- and PdLF-stained PS series were independent from  $c_L$  (see ESI, Tables S18 and S20†), while their  $\Phi_{L(\text{Ar})}$  were reduced significantly from 55% and 12% to 11% and 2% for the PS(PdLH-series) and the PS(PdLF-series), respectively (Fig. 6), which actually points to static quenching phenomena. The normalized emission spectra of the PS(PdLH-series) are essentially unchanged by varying the loading concentration (Fig. 7c, upper panel). As discussed above, the Pd...Pd distance

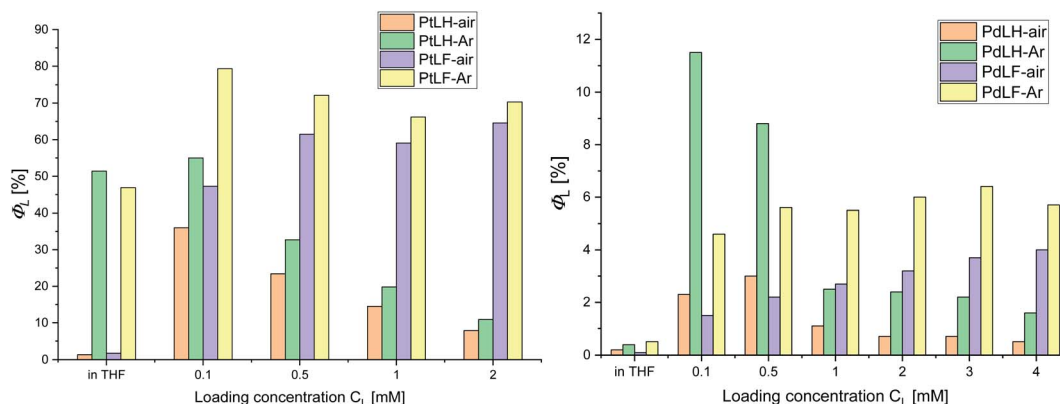


Fig. 6  $\Phi_L$  vs. loading concentration of PS with the complexes PtLH/PtLF (left) and PdLH/PdLF (right).





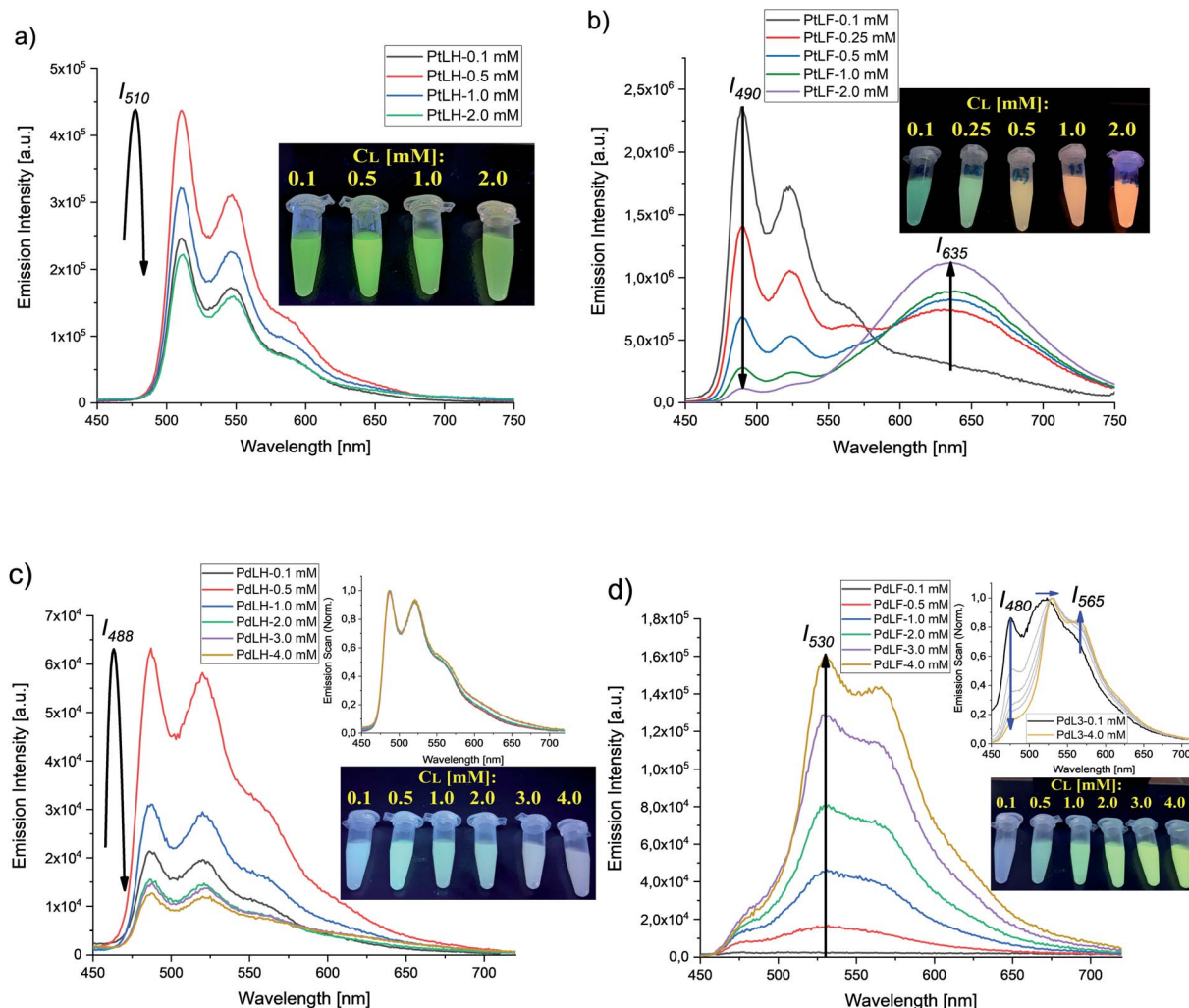


Fig. 7 Emission spectra of PS (loaded with different concentrations of PtLH, PtLF, PdLH, and PdLF;  $1 \text{ mg mL}^{-1}$  of nanoparticles, dispersed in air-equilibrated deionized water). (a) Emission spectra of the PS(PtLH-series) under 405 nm excitation; inset: photograph of the PS(PtLH-series) dispersed in deionized water with  $c_L$  ranging from 0.1 mM to 2.0 mM. (b) Emission spectra of the PS(PtLF-series) under 388 nm excitation; inset: photograph of the PS(PtLF-series) dispersed in deionized water with  $c_L$  ranging from 0.1 mM to 2.0 mM. (c) Emission spectra of the PS(PdLH-series) under 335 nm excitation; insets: normalized emission spectra of the PS(PdLH-series) (top) and photograph of the PS(PdLH-series) dispersed in deionized water with  $c_L$  ranging from 0.1 mM to 4.0 mM (bottom). (d) Emission spectra of the PS(PdLF-series) under 368 nm excitation; insets: normalized emission spectra of the PS(PdLF-series) (top) and photograph of the PS(PdLF-series) dispersed in deionized water with  $c_L$  ranging from 0.1 mM to 4.0 mM (bottom). The photographs were taken under 365 nm illumination with a UV lamp.

in this complex hinders the possibility of coupled aggregate formation. On the other hand Pt( $\pi$ ) and Pd( $\pi$ ) complexes with fluorinated ligands show a significant degree of aggregation enhancement regarding their optical properties. For **PtLF**, a rise of  $c_L$  in PS favored the aggregation-induced formation of a  $^3\text{MMLCT}$  state emitting at about 635 nm,<sup>18</sup> while the intensity of the monomeric emission at 490 nm was simultaneously decreased (Fig. 7b), thus resulting in the color changes of the PS(**PtLF**-series) samples (Fig. 7b, inset). This corresponds to a notable aggregation-enhanced dual emission, where both monomers and aggregates are emissive while the luminescence originates from different excited states. This kind of aggregation-enhanced dual emission is different from the well-documented aggregation-induced emission (AIE) concept, where luminescence occurs upon aggregation and restriction of

rotovibrational relaxation.<sup>58,59</sup> The corresponding  $\Phi_L$  data is provided in the ESI (Table S19†). The bathochromic emission shift and the appearance of the long-wavelength absorption tail (Fig. S99a†) confirmed the presence of the lowest excited state and ground state with MMLCT character, respectively. Interestingly, the aggregation-induced  $^3\text{MMLCT}$  state of **PtLF** possesses a shorter  $\tau$  than the monomer and is barely sensitive to  $^3\text{O}_2$  (Table S18,† see also the following section regarding oxygen sensing).<sup>19</sup>

For the PS(**PdLF**-series), varying  $c_L$  from 0.1 mM to 4.0 mM led to an enhancement of  $\Phi_{L(\text{air})}$  and  $\Phi_{L(\text{Ar})}$  from 2% and 5% to 4% and 6%, respectively (Fig. 6; Fig. 7d) and to slightly prolonged lifetimes ( $\tau_{480}$ ,  $\tau_{530}$  and  $\tau_{565}$ ) in air-equilibrated dispersions (ESI, Table S20 and Fig. S92, S96–S98†). Notably, in the normalized emission spectra of PS(**PdLF**-series), the emission





intensity  $I_{480}$  decreased with higher  $c_L$ , while  $I_{565}$  increased simultaneously. Moreover, the emission band centered at about 530 nm progressively shifted bathochromically (Fig. 7d, upper inset), whereas no spectral changes are observed for **PdLF** in PS (Fig. 7c, upper inset). Similarly, as observed for **PtLF**, the shortening of the Pd–Pd distances is favored by the four fluorine atoms on the **LF** ligand, as discussed above.

The  $c_L$ -dependent changes in **PdLF** luminescence are ascribed to the formation of a new excited state corresponding to **PdLF** aggregates within the PS supported by weak intermolecular Pd...Pd interactions. This luminescence features a red-shifted emission from an energetically lower state. As it was previously shown in the quantum chemical study, the emission maxima for the **PdLF** monomers and dimers are centered at 470 nm and 572 nm, respectively. Upon increasing the concentration of the encapsulated **PdLF** complex in the nanoparticles (Fig. 7d), a drop in the emission band centered in 480 nm is observed along with an enhancement of the band at 565 nm, suggesting that the aggregation is favored as the concentration of complex within the nanoparticles grows. As opposed to **PtLF**, the luminescence from **PdLF** aggregates overlaps with the monomer emission (Fig. 7d, upper inset). The enhanced absorbance at around 400 nm with increasing  $c_L$  is assigned to the ground state of the aggregated complexes (Fig. S100a†). Interestingly, similar effects were observed in THF/H<sub>2</sub>O mixtures (Fig. S101†), where the four water-insoluble complexes gave visible aggregates with increasing water or dye concentration, providing a clear evidence for a tunable aggregation-enhanced dual-emission based on d<sup>8</sup>-configured metal complexes.

To gain a better insight into the aggregation equilibria of **PtLF** and **PdLF** within the PS nanoparticles, we performed luminescence lifetime measurements for dispersions of

PS(**PtLF**-0.5 mM) ( $\lambda_{\text{exc}} = 388$  nm) and PS(**PdLF**-0.5 mM) ( $\lambda_{\text{exc}} = 368$  nm) at different emission wavelengths covering the emission range of their respective monomer and aggregate luminescence. For PS(**PtLF**-0.5 mM), the resulting decays were fitted biexponentially using a fixed aggregate lifetime of  $\tau_1 = 4.8$   $\mu\text{s}$  and a monomer lifetime of  $\tau_2 = 11.0$   $\mu\text{s}$ , and the resulting lifetime amplitudes B1 and B2 were plotted as a function of the emission wavelength (Fig. 8a; ESI, Fig. S99b†). This plot enabled us to separate both types of contributions. For PS(**PdLF**-0.5 mM), similar experiments were done, followed by triexponential fits of the resulting decays. The derived wavelength-dependence of the different lifetime amplitudes shown in Fig. 8b and in the ESI (Fig. S100b†) confirmed that the lifetime component with  $\tau_1 = 5$   $\mu\text{s}$  can be assigned to aggregated **PdLF**, whereas the lifetime amplitudes B2 ( $\tau_2 = 28$   $\mu\text{s}$ ) and B3 ( $\tau_3 = 240$   $\mu\text{s}$ ) peaking at about 480 nm originate from the **PdLF** monomer.

### Design of a self-referenced oxygen reporter

Luminescence-based  $^3\text{O}_2$  sensing has been rapidly evolving in the last decades due to the importance of this species for many biological processes and technological applications.<sup>60–63</sup> Optical sensing of  $^3\text{O}_2$  is commonly performed by employing metal-porphyrins with Pt(II) or Pd(II) centers, e.g. **PtTFPP**, **PtTPTBP** and **PdTPTBP**,<sup>64–66</sup> transition metal polypyridyl complexes such as  $[\text{Ru}(\text{bpy})_3]^{2+}$  and other Ru(II) derivatives,<sup>67–70</sup>  $\text{Eu}(\text{HPhN})_3(\text{-dpp})^{71}$  and  $[\text{Cr}(\text{ddpd})_2]^{3+}$ ,<sup>52,72</sup> among others.  $^3\text{O}_2$  sensing usually relies on diffusion-controlled Dexter-type energy transfer from a triplet emitter to  $^3\text{O}_2$ , whereby the luminescence of the long-lived state is shortened, and thus resulting in diminished luminescence intensities and lifetimes along with the generation of  $^1\text{O}_2$ .<sup>73</sup> A common strategy for the design of  $^3\text{O}_2$  reporters relies on the combination of an insensitive reference fluorophore and an oxygen-sensitive luminophore that can be

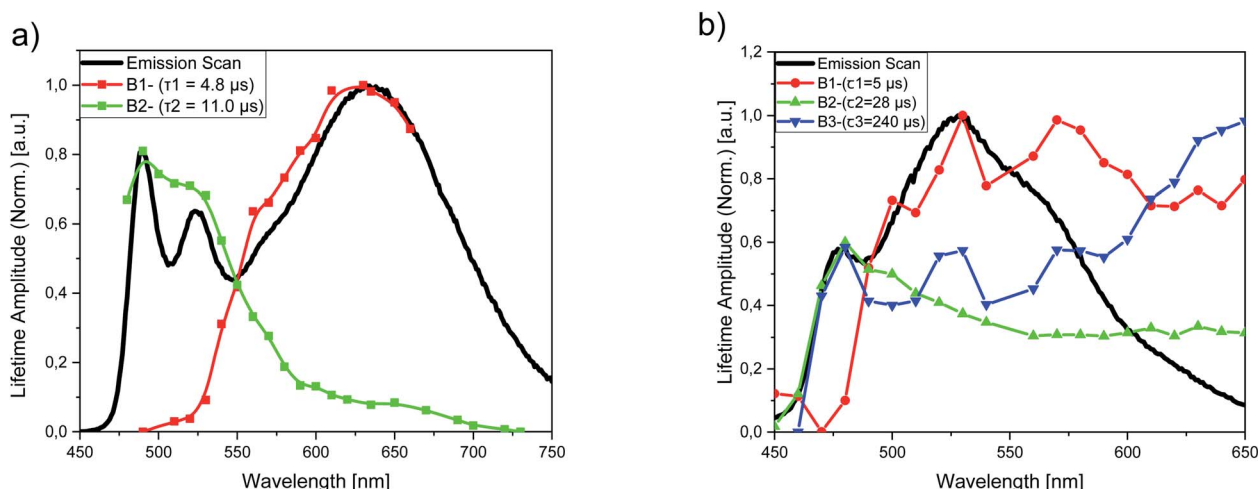


Fig. 8 Amplitudes of the photoluminescence decay components for (a) PS(**PtLF**-0.5 mM) and (b) PS(**PdLF**-0.5 mM) derived from biexponential and triexponential fits, plotted as a function of emission wavelength, together with the respective emission spectra (solid lines). (a) The amplitude B1 (red dotted line) corresponds to the aggregate lifetime of  $\tau_1 = 4.8$   $\mu\text{s}$  and the amplitude B2 (green dotted line) to the monomer emission with a lifetime of  $\tau_2 = 11.0$   $\mu\text{s}$ . (b) The amplitude B1 (red dotted line) corresponds to the fixed lifetime  $\tau_1 = 5$   $\mu\text{s}$  of the aggregated complex and B2 (green dotted line) and B3 (blue dotted line) correspond to the lifetimes  $\tau_2 = 28$   $\mu\text{s}$  and  $\tau_3 = 240$   $\mu\text{s}$ , which are both assigned to the monomeric **PdLF**.



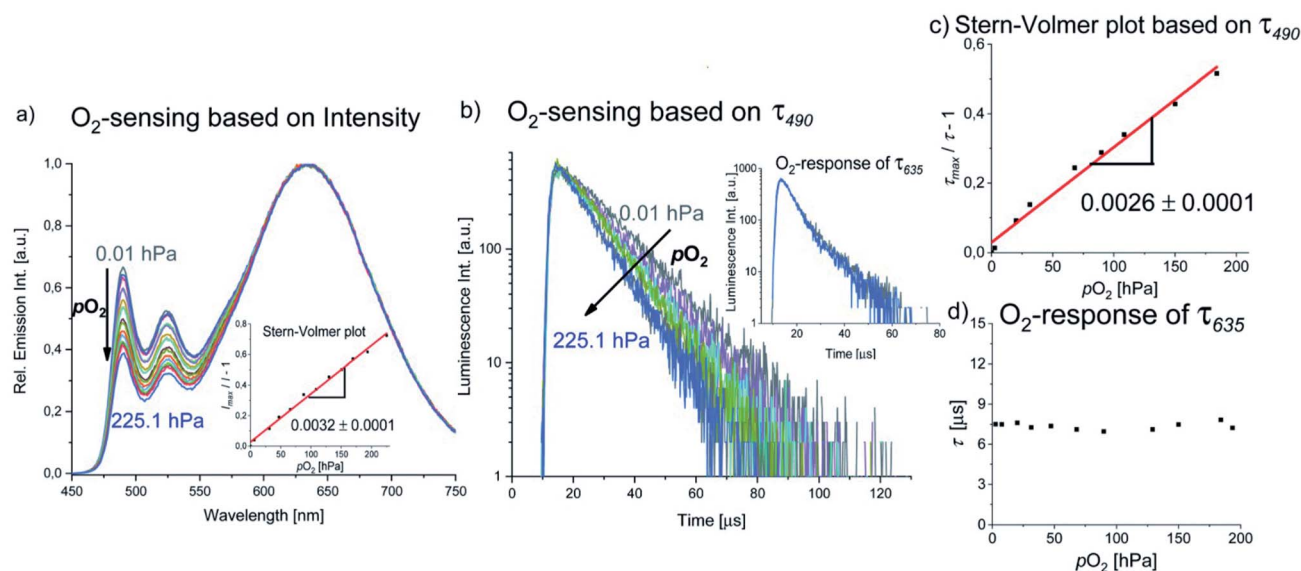


Fig. 9 (a) Emission intensity of PS(PtLF-0.5 mM) as a function of  $^3\text{O}_2$  partial pressure; inset: ratiometric emission intensity-based Stern–Volmer plot. (b) Luminescence lifetime (monitored at 490 nm) as a function of  $^3\text{O}_2$  partial pressure. (c) Lifetime ( $\tau_{490}$ )-based Stern–Volmer plot and (d) luminescence lifetime detected at 635 nm as a function of  $^3\text{O}_2$  partial pressure.

excited at the same wavelength while showing spectrally discriminable emission bands, *e.g.* in a nanoparticle.<sup>52,66,74,75</sup> Such systems constitute so-called ratiometric or self-referenced sensors, which are not affected by fluctuations of the excitation light intensity.

As described in the previous section, the green phosphorescence of the **PtLF** monomer at 490 nm is quenched by  $^3\text{O}_2$ , while the aggregation-induced MMLCT excited state emitting at 635 nm is barely affected, probably due to the intrinsic shielding based on its compact structure and delocalized spin density. This could be exploited for the design of a ratiometric oxygen sensor utilizing a single compound with aggregation-enhanced dual emission employing a single excitation wavelength, where one excited state is sensitive to oxygen and the other one is unaffected. The emission intensity and lifetime at 490 nm ( $^3\text{MP-LC}$  excited states) respond to the  $^3\text{O}_2$  concentration, whereas the luminescence at 635 nm ( $^3\text{MMLCT}$  excited state) acts as the insensitive reference signal (see Fig. 9a and b). Studies of the luminescence intensity and lifetime of PS(**PtLF**-0.5 mM) as a function of oxygen concentration (Fig. 9) reveal a linear Stern–Volmer plot for  $I_{490}/I_{635}$  and  $\tau_{490}$  with the corresponding constant values  $K_{\text{SV}}$  (slopes) of  $0.0032 \text{ hPa}^{-1}$  and  $0.0026 \text{ hPa}^{-1}$ , respectively. Furthermore, the ratiometric readout could be optimized by minimizing the overlap of the monomer and aggregate emission by proper choice of the loading concentration.

While the well-established AIE has been exploited for the realization of oxygen sensors,<sup>76,77</sup> to the best of our knowledge, this is the first example of reporter where aggregation-enhanced dual emission with two different emitting excited states ( $^3\text{MP-LC}$  from monomers and  $^3\text{MMLCT}$  from aggregates) is exploited in this field. An overview of the detailed Stern–Volmer analyses of the PS(**PtLF**-series) is given in the ESI (Table S21†). The quenching studies of PS(**PtLH**-series) revealed that the  $K_{\text{SV}}$

and the quenching rate constant  $K_q$  of **PtLH** are barely influenced by  $c_L$  and ACQ (Table S22†). Interestingly, the oxygen sensitivity of **PdLH**- and **PdLF**-based nanosensors showed non-linear Stern–Volmer plots (Fig. S102, Tables S23 and S24†). This behavior is attributed to their multiexponential decay kinetics and to the coexistence of diverse aggregation equilibria, yielding various quenching sites within the nanostructures possessing different oxygen accessibilities.<sup>78</sup>

## Conclusion and outlook

We synthesized four new Pt(II) and Pd(II) complexes, namely **PtLH**, **PtLF**, **PdLH** and **PdLF** using tetradentate ligands bearing hydrogen and fluorine substituents and investigated their photophysical properties in solution, in the solid state, and incorporated into polystyrene nanoparticles. The photoluminescence of these compounds, especially from the Pd(II) complexes, is among the most efficient reported so far considering similar species measured at low temperatures. Ligand fluorination caused a blue shift of the absorption and emission maxima of the monomeric complexes, and particularly affected their intermolecular interactions, suppressing typically shown ACQ and enabling the formation of different types of emissive aggregates, depending on the respective microenvironment and local concentration. With the aid of quantum chemical calculations, we demonstrated that the Pt(II) complexes have a more pronounced MLCT character than the Pd(II)-based species. Encapsulation of the four complexes in PS led to a significant enhancement of  $\Phi_L$  and  $\tau$ , suggesting a rigidification-induced suppression of non-radiative deactivation pathways. Moreover, we also observed the formation of  $^3\text{MMLCT}$  states for complexes with fluorinated ligands. Utilizing the distinct oxygen sensitivity of monomeric and aggregated **PtLF**-based species in PS, we achieved the first self-referenced oxygen sensor based on



aggregation-enhanced dual emission from a d<sup>8</sup>-configured metal complex. This reporter can be read out in the intensity domain by measuring the ratio of the oxygen-sensitive monomer emission at 490 nm and the insensitive <sup>3</sup>MMLCT luminescence at 635 nm, as well as in the lifetime domain. The spectral readout range can be fine-tuned by judicious choice of the PtLF concentration. In the future, our platform based on Pt(II) and Pd(II) complexes with ligand-controlled luminescence and aggregation-enhanced dual emission enhancement will be utilized for the realization of optical reporters towards bio-imaging and biosensing applications.

## Experimental section

Detailed information about all the experimental procedures including instrumental, synthetic methods, structural characterization of the ligand precursors and the complexes as well as preparation and quantification of the nanoparticles and oxygen sensing can be found in the ESI.†

## Conflicts of interest

There are no conflicts to declare.

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