

20.01.2017

2. NMRPM (NMR Process Monitoring)  
Kaiserslautern, Germany

## MAN PROPOSES, GOD DISPOSES – THE WAY FROM REACTION MONITORING TO INDUSTRIAL AUTOMATION

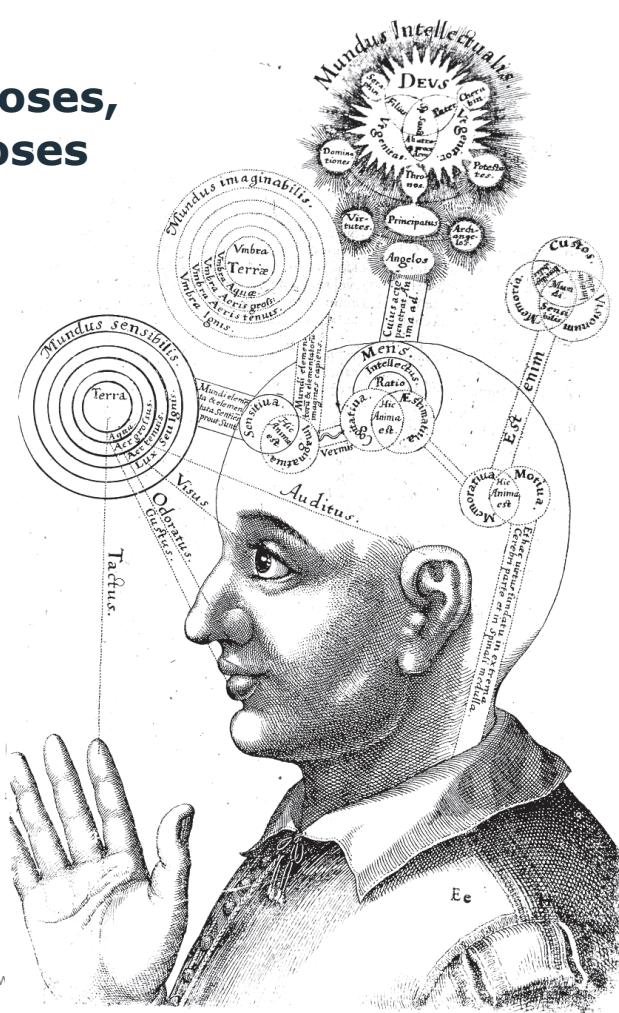
Michael Maiwald, Klas Meyer, Simon Kern, Svetlana Guhl,  
Patrick Gräßer, Lukas Wander, Andrea Paul

Bundesanstalt für Materialforschung und -prüfung (BAM)

Division 1.4 Process Analytical Technology

Richard-Willstätter-Str. 11  
D-12489 Berlin, Germany

**Man proposes,  
God disposes**

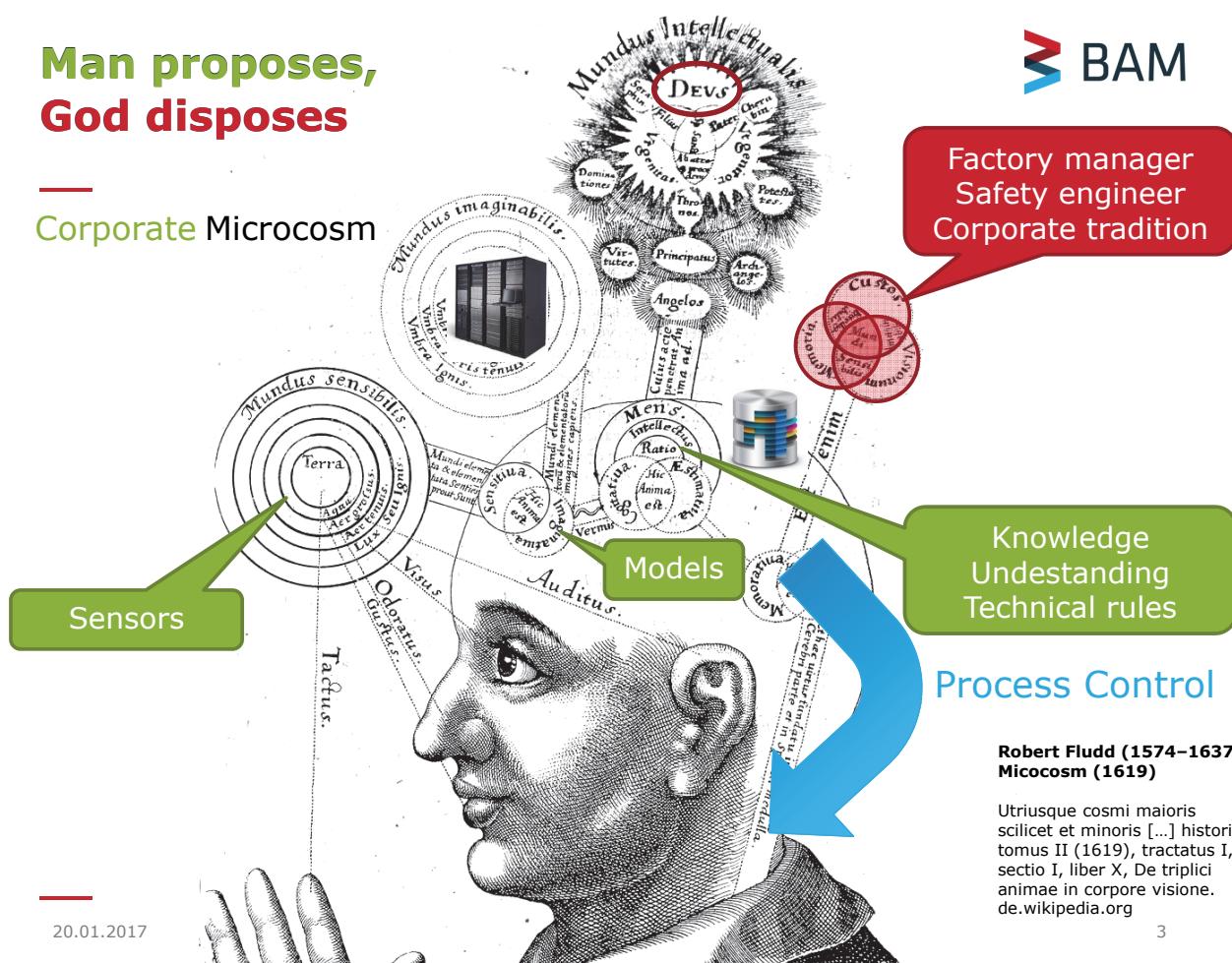


Robert Fludd (1574–1637)  
*Micoscosm* (1619)

Utriusque cosmi maioris  
scilicet et minoris [...] historia,  
tomus II (1619), tractatus I,  
seccio I, liber X, De triplici  
animae in corpore visione.  
[de.wikipedia.org](http://de.wikipedia.org)

# Man proposes, God disposes

## Corporate Microcosm



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Robert Fludd (1574–1637)  
Microcosm (1619)

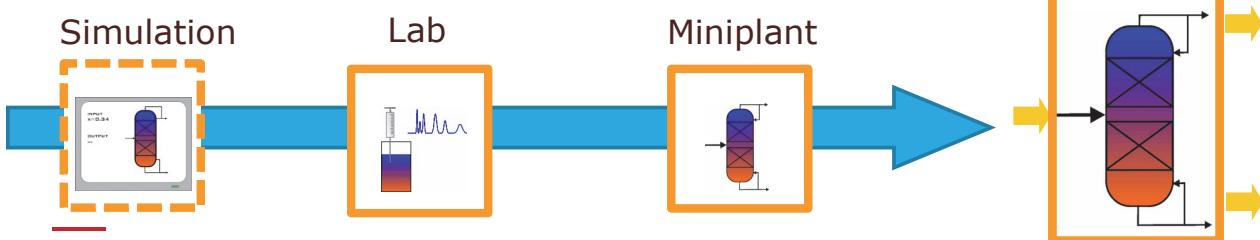
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[de.wikipedia.org](http://de.wikipedia.org)

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## Contemporary process engineering Towards Cyber-Physical Production Systems (CPPS)

Process engineering focuses on the design, operation, control, and optimization of chemical, physical, or biological processes.

- Involves creating production facilities that translate raw materials into value-added products along the supply chain
- Conversions typically take place in repeated reaction and separation steps – either in batch or continuous processes.
- Computer aided tools: mass transfer, thermodynamic, kinetic, and other physical properties of treated materials
- Sufficient understanding of such properties is available and implemented in dynamic numeric models.



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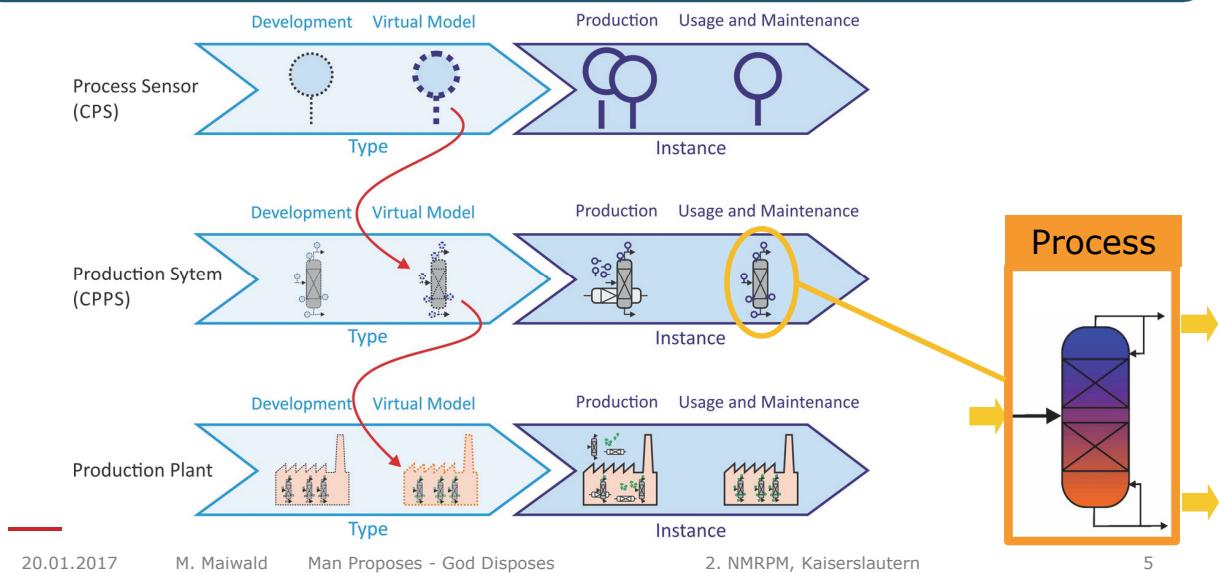
# Contemporary process engineering



## Towards Cyber-Physical Production Systems (CPPS)

"Cyber-Physical Systems (CPS) are integrations of computation with physical processes. Embedded computers and networks monitor and control the physical processes, usually with feedback loops where physical processes affect computations and vice versa".

E.A. Lee, Cyber Physical Systems: Design Challenges,  
EECS Department, University of California, Berkeley, 2008.



# Integrated process design and intensified processes



## ▪ Intensified continuous processes

### Motivation

- Continuous Processing
- Standardized modular equipment
- Numbering-up on demand
- Fast response to market change

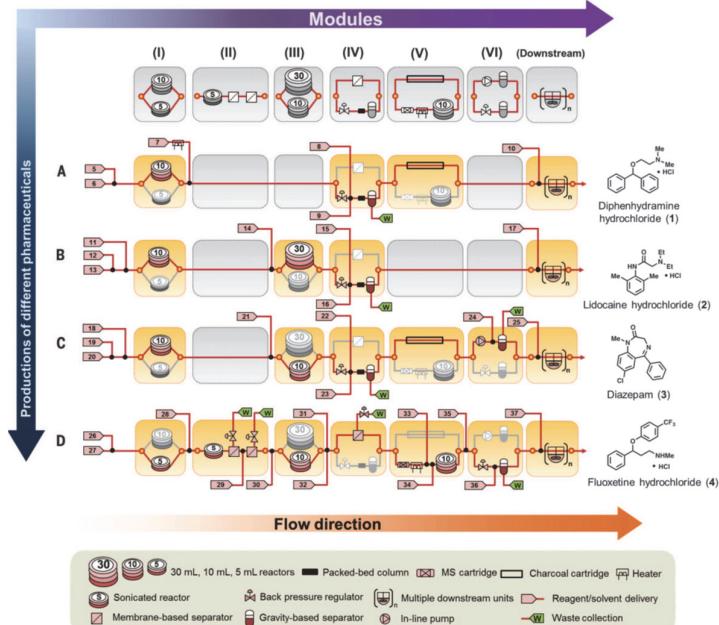
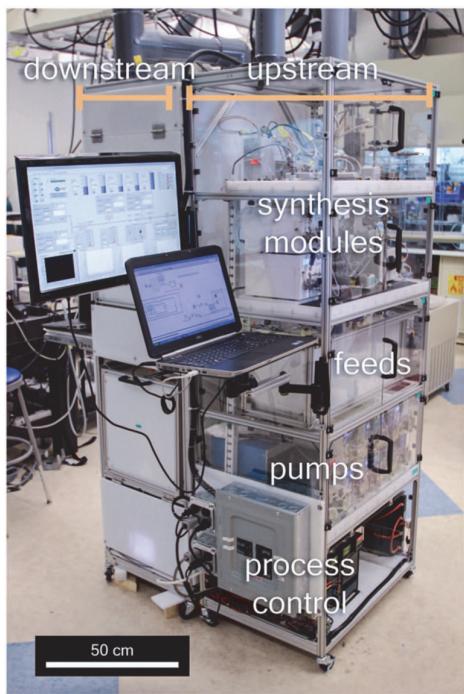
More efficient and flexible low to medium scale production of specialty chemicals

Intensified production concepts require adaptive sensor and process control technology

- Short set-up times
- Modular control strategies

# Integrated process design and intensified processes

## Example: Flow Chemistry



A. Adamo, R. L. Beigessner, M. Behnam et al., On-demand continuous-flow production of pharmaceuticals in a compact, reconfigurable system, *Science* 352(6281), 2016, 61-67

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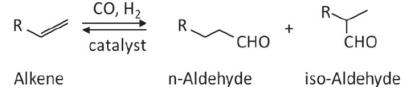
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# Process analytical technology for intensified processes

## Examples from BAM

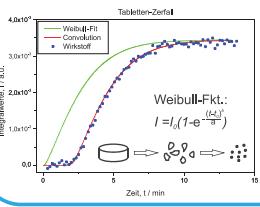


**Catalysis:**  
Hydroformylations of C12-alkenes  
in micro emulsions

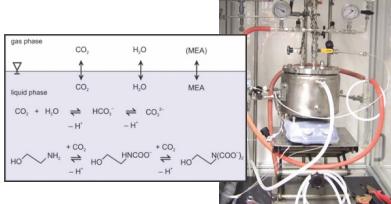


qNMR (Metrology):  
Gases and  
condensed phases  
up to 1000 bar

**Pharmaceuticals:**  
Liberation kinetics



**CO<sub>2</sub> scrubbing**



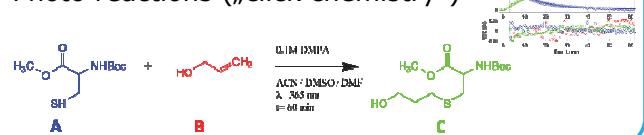
Method development:  
Esterifications  
(model reactions)



**Reaction Engineering:**  
Lithiation reactions

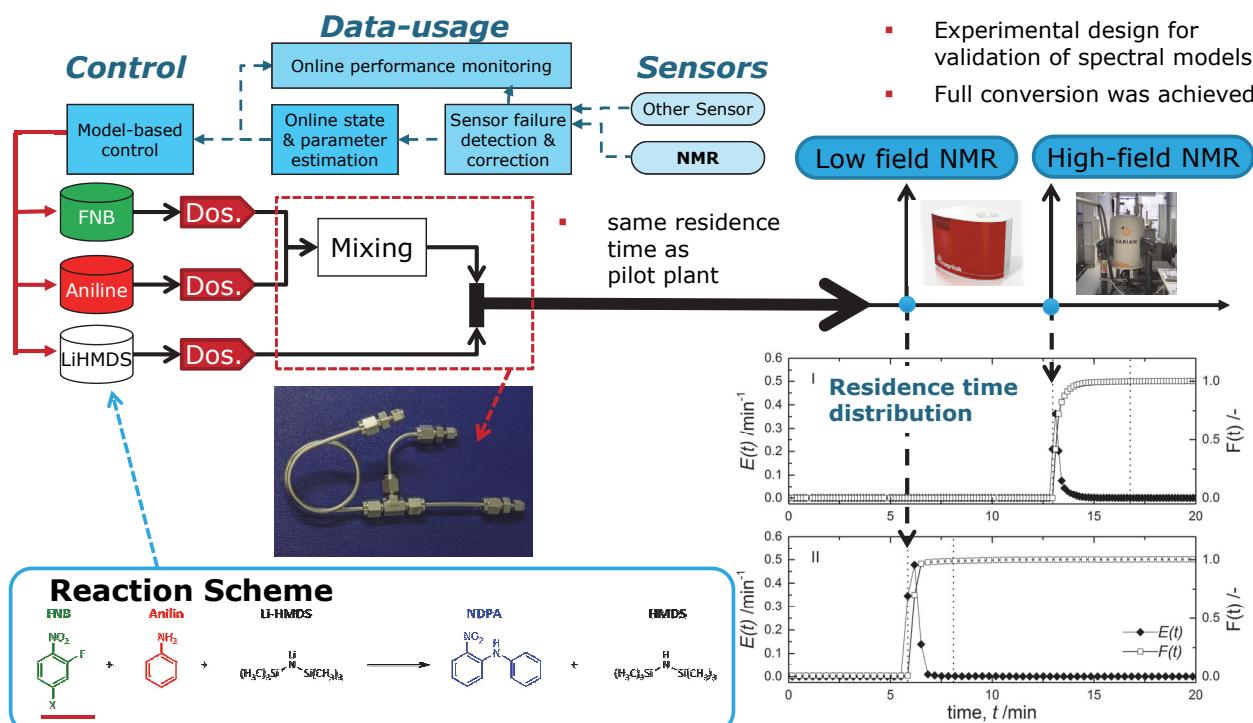


**Reaction Engineering:**  
Photo reactions („click chemistry“)



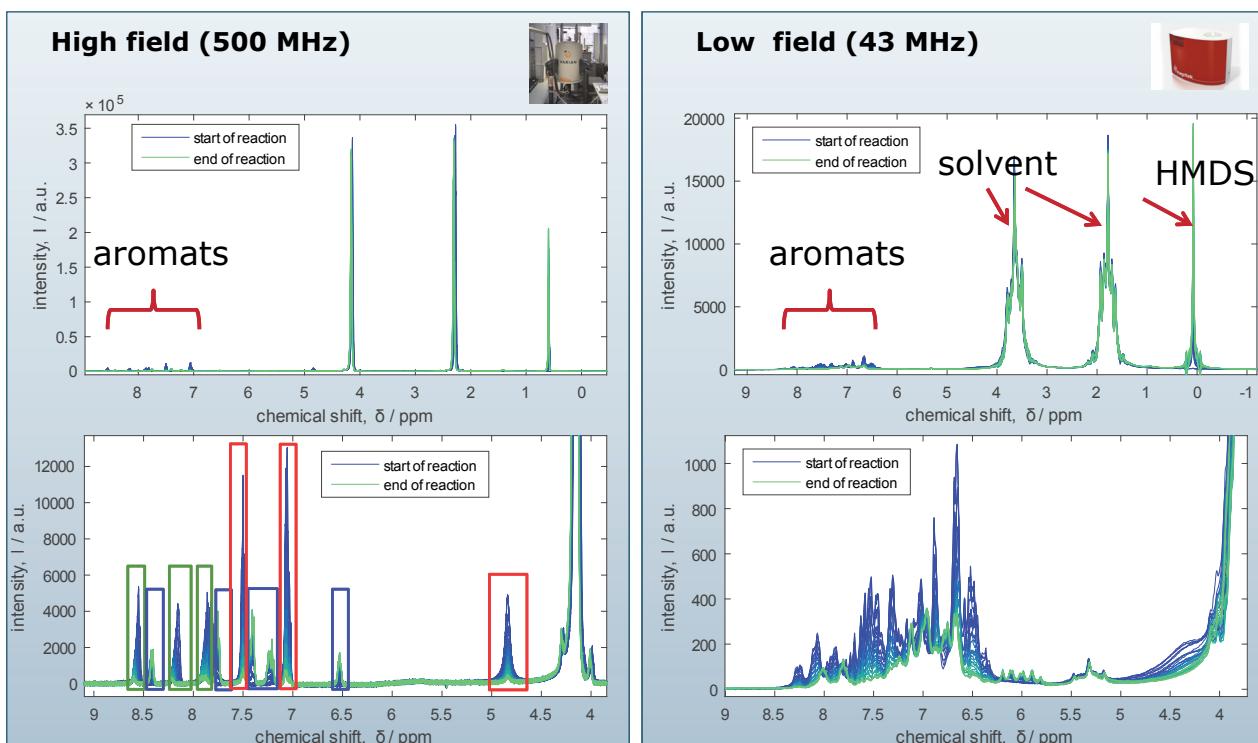
# Validation lab experiments in tube reactor

## Continuous Lithiation Reaction Set-up at BAM



## Proton NMR Spectra 500 MHz vs 43 MHz BAM

Lab experiments in batch along reaction co-ordinate

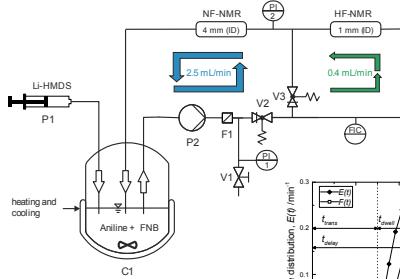
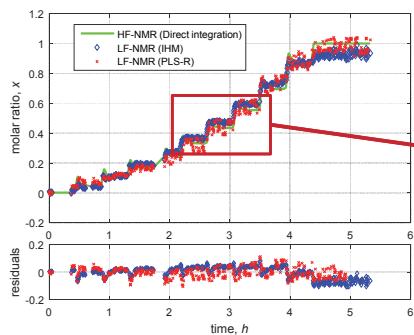


# Model Building: IHM and PLS-R

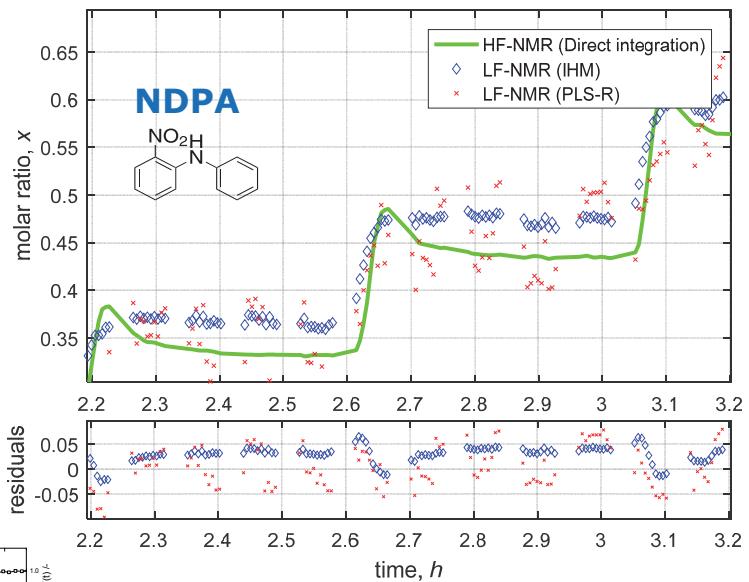


## Lab experiments in Batch Along Reaction Co-ordinate

### Validation with HF-NMR



Experimental set-up



RTD of high field NMR  
(Back-mixing)

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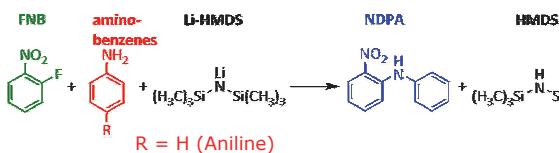
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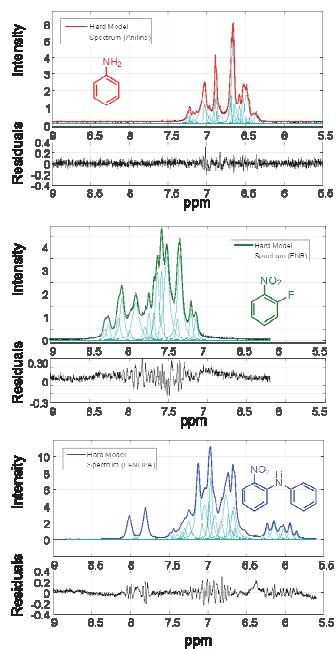
# Indirect Hard Modeling (IHM)



### How it works



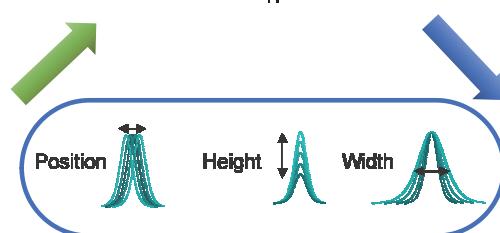
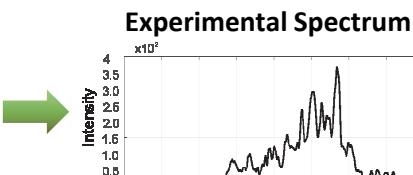
### Pure component models



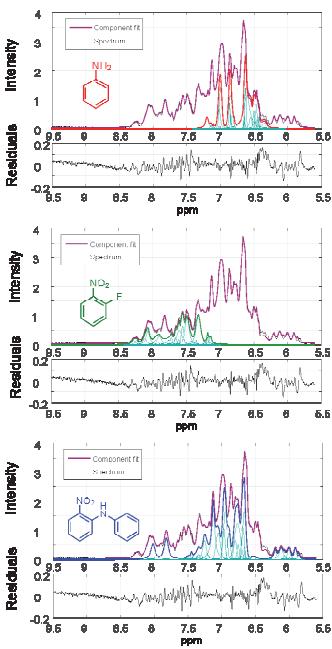
Mixture model

Component fitting

### Experimental Spectrum



### Deconvoluted spectrum



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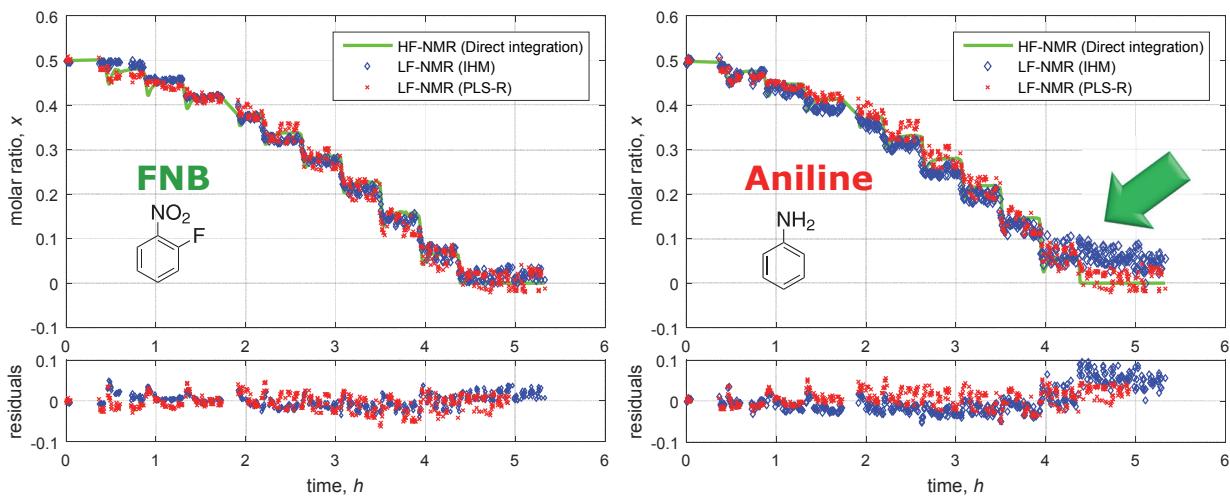
2. NMRPM, Kaiserslautern

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# Model Building: IHM and PLS-R

## Lab experiments in Batch Along Reaction Co-ordinate

### Current Limitations



- Model limitations for low concentration of Aniline
- Prediction uncertainty 5–7 % relative, i.e., 0.25–0.35 % absolute

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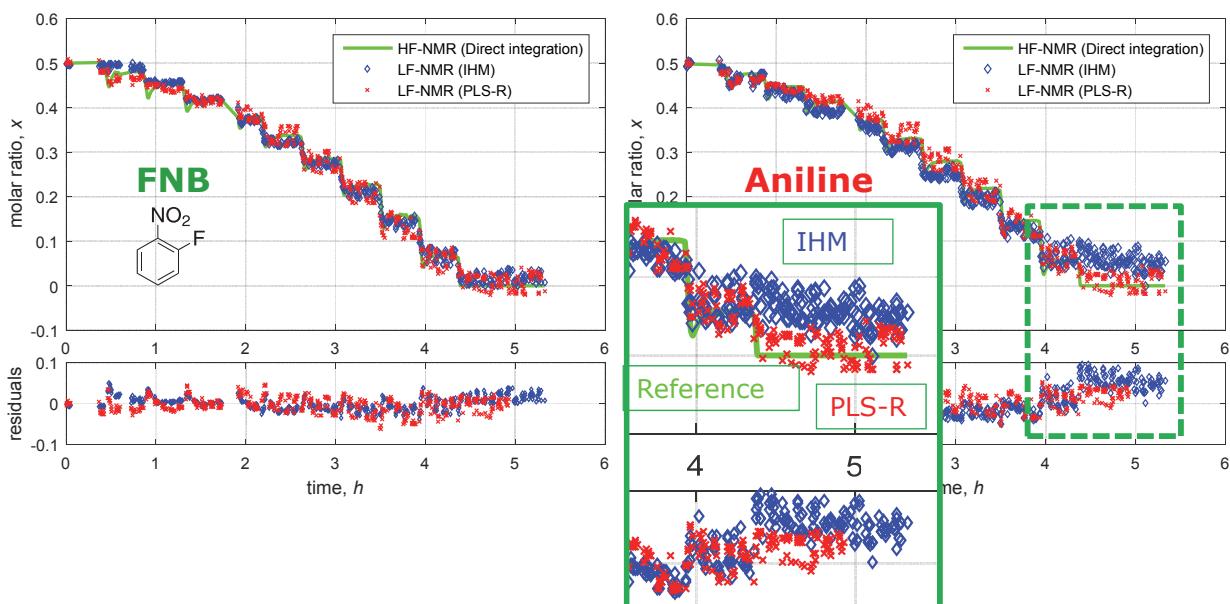
2. NMRPM, Kaiserslautern

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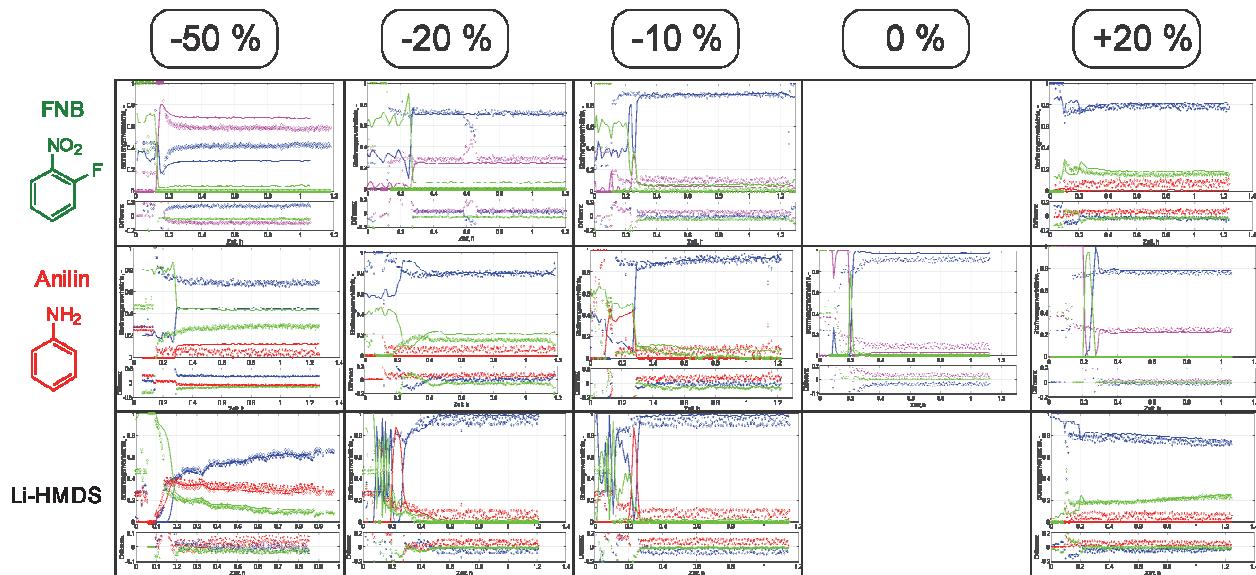
2. NMRPM, Kaiserslautern

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# Model Validation

## Lab Experiments (DoE) in Continuous Tube Reactor

- Two series covering 1 : 1 : 2.3 (FNB : Aniline : Li-HMDS)
- Additional experiments, e.g., concentration variation in THF etc.



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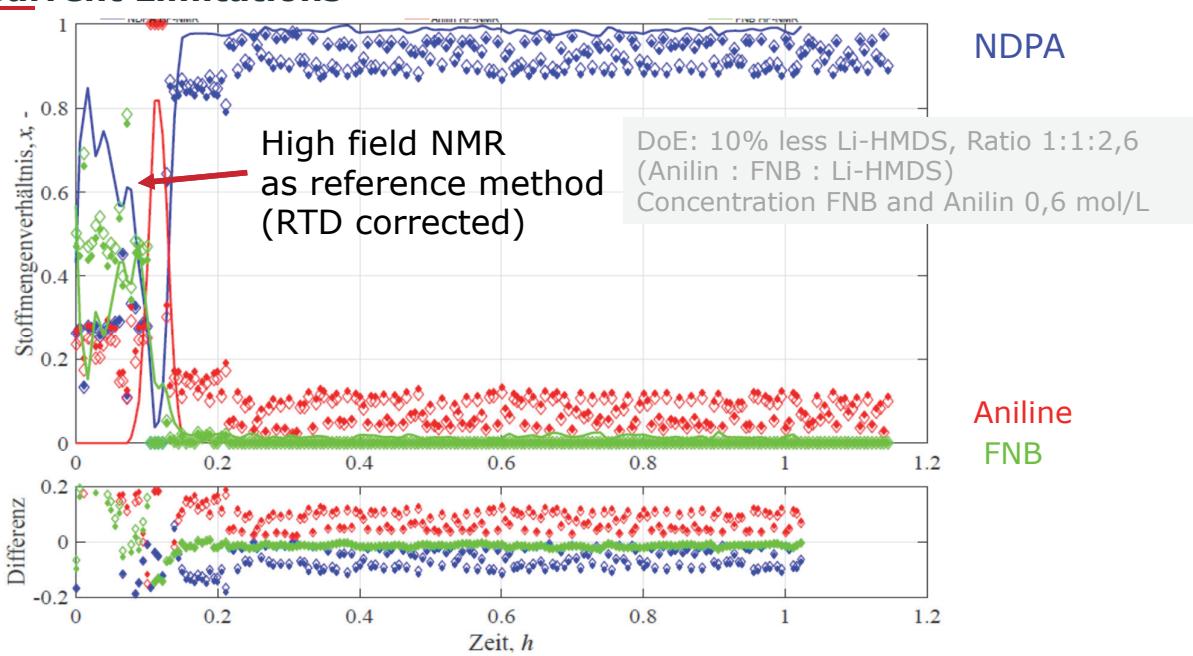
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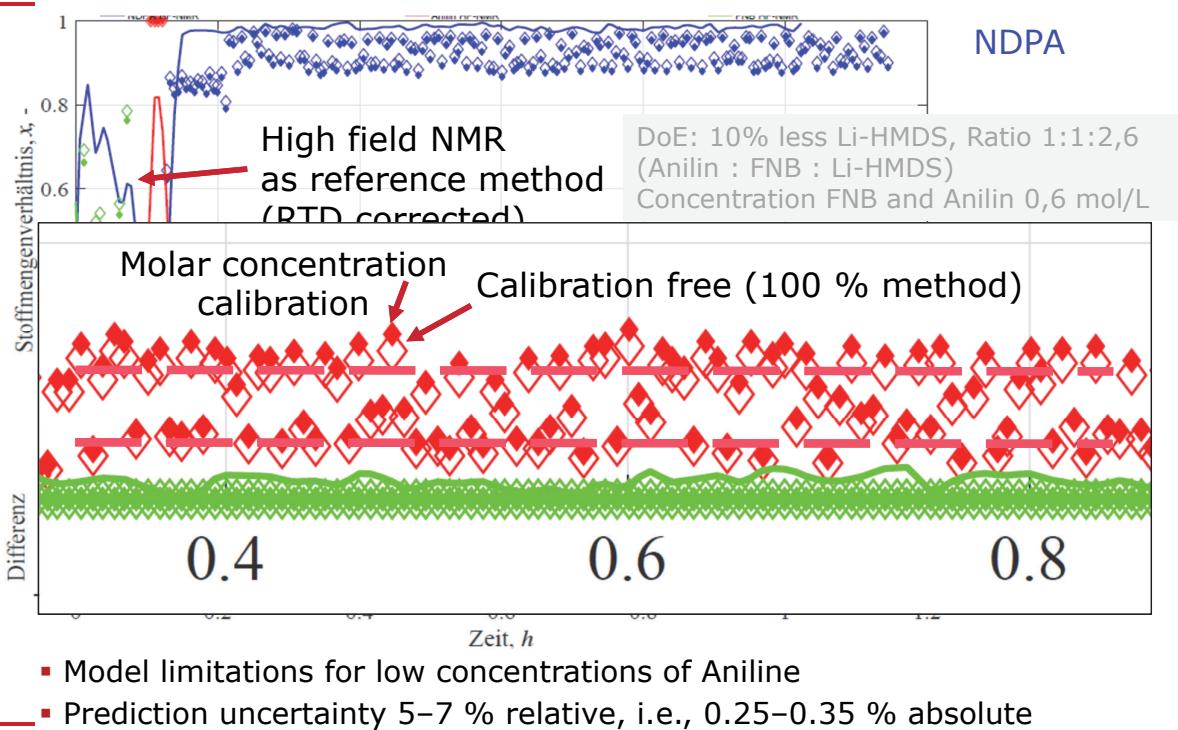
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# Model Building: IHM and PLS-R

## Lab experiments in Batch Along Reaction Co-ordinate

### Current Limitations



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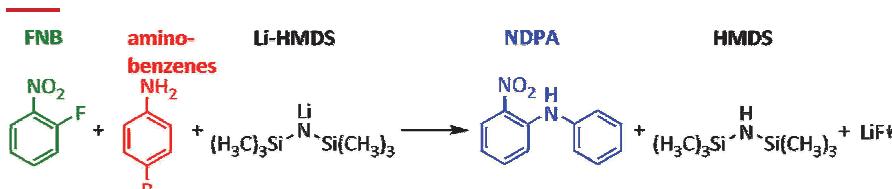
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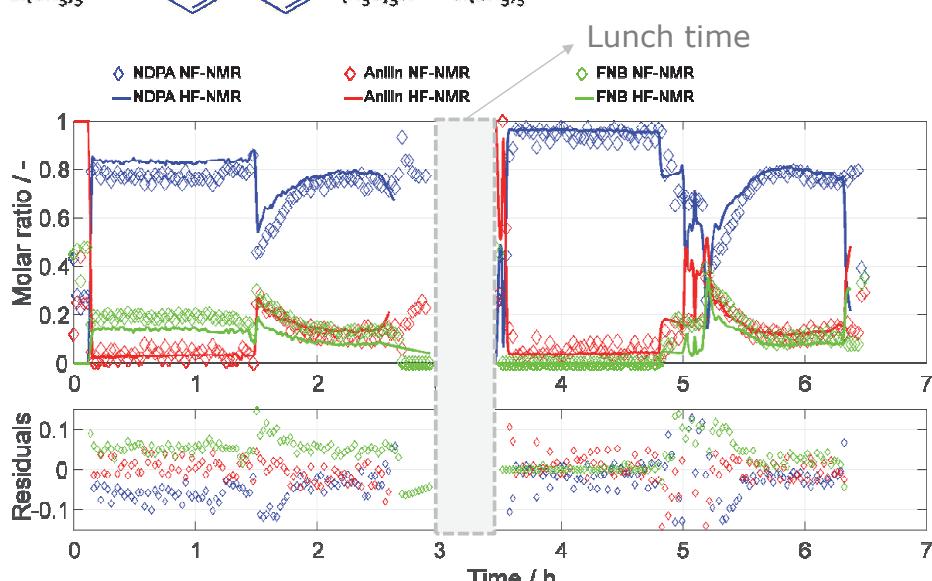
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# Model Validation

## Variation of Substituents in Continuous Tube Reactor



- Various substituents
- Fast adaption of spectral models to various substituents
- Calibration-free



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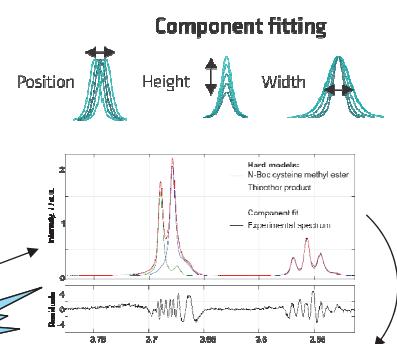
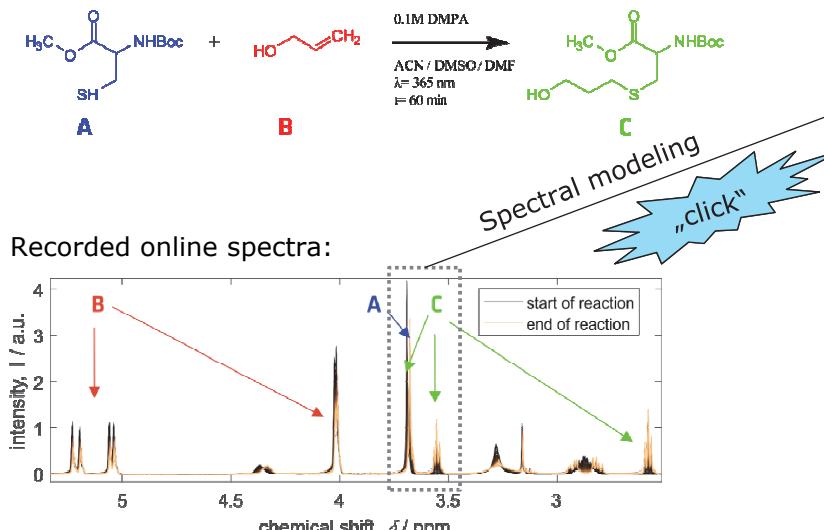
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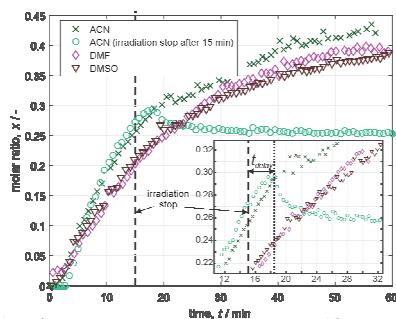
# "Click" analytics for "click" chemistry – a simple method for calibration-free evaluation of online NMR spectra



Reaction mechanism of the thio-ene coupling monitored with online NMR spectroscopy:



Kinetic information for various solvents:



S. Kern, A. Onichimowska, et al., J. Magn. Reson. submitted 2016

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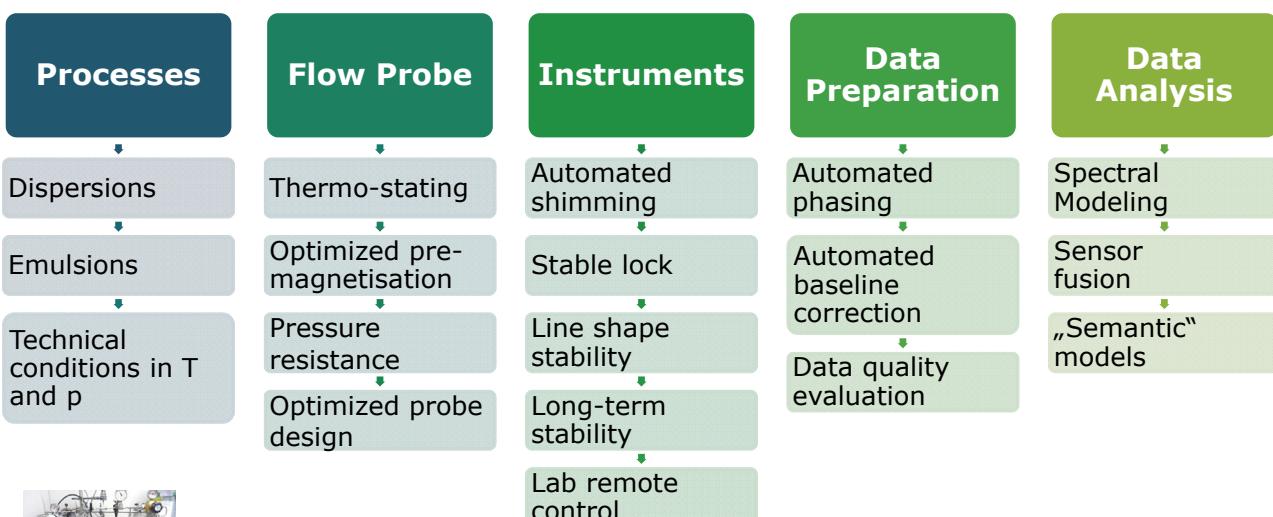
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## Summary: Low Field Reaction Monitoring BAM And it's Way to Industrial Automation

### ToDos



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# Current and future requirements to process sensors

## Sensors go smart

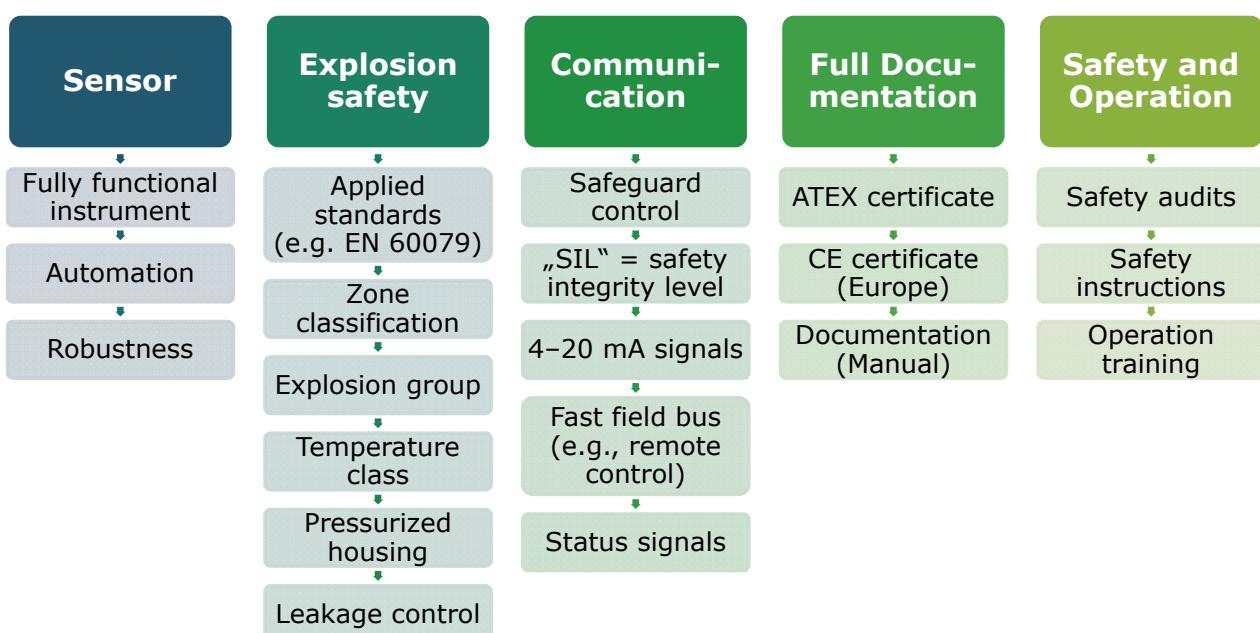
- Smart process sensors are important parts of cyber-physical production systems and generate new business cases for users, instrument manufacturers, and service providers.
- „Smart“ sensors provide services within networks and use information out of the network.



- Use of „complicated“ sensors has to be simplified towards „plug and play“

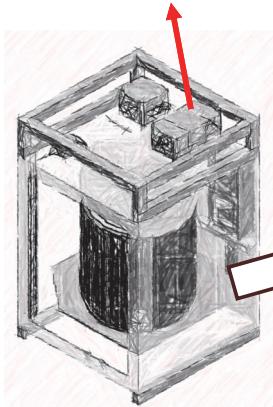
# Industrial Automation

## Current Technical and Cultural Requirements to Process Sensors



# Communication Concept

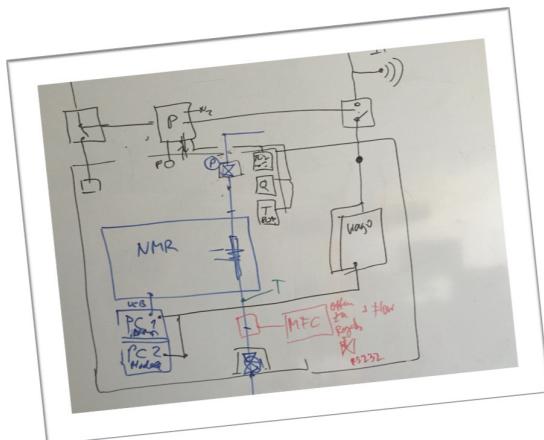
Safety Information  
- Leakage,  $T$ ,  $p$



NAMUR  
status signals  
Conventional  
4-20 mA



OPC-UA  
Client

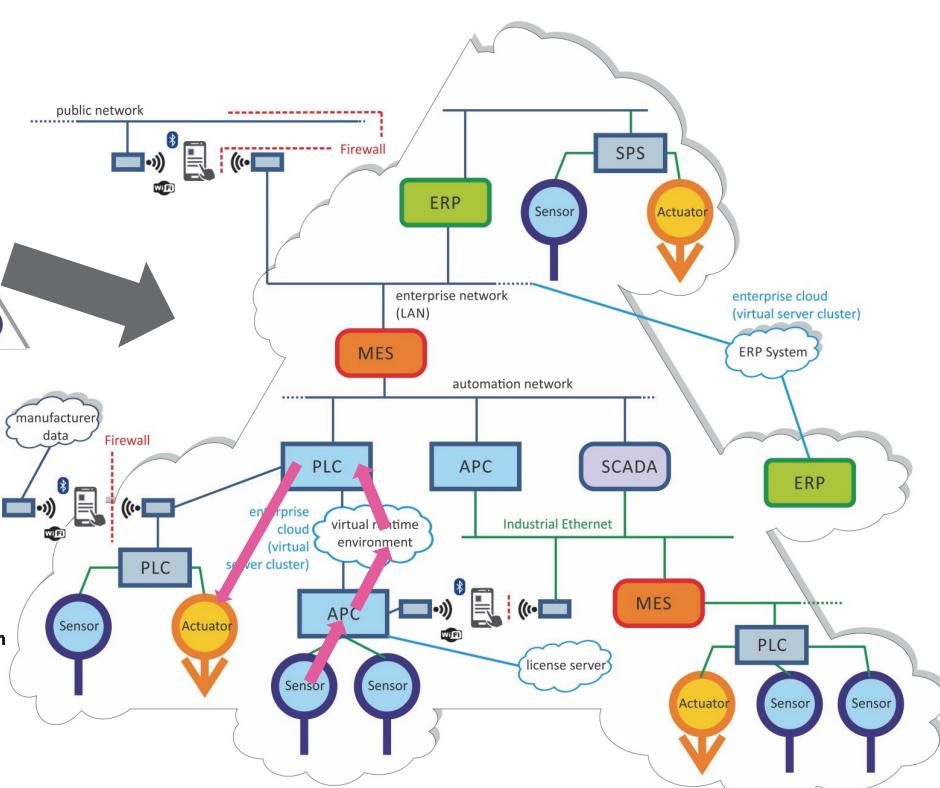
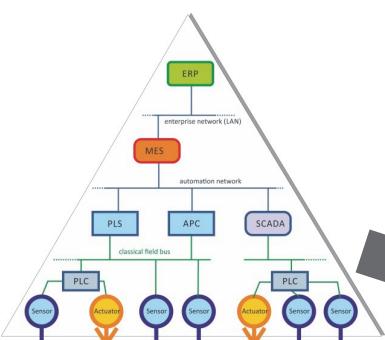


ATEX-proof WiFi



Fast field bus

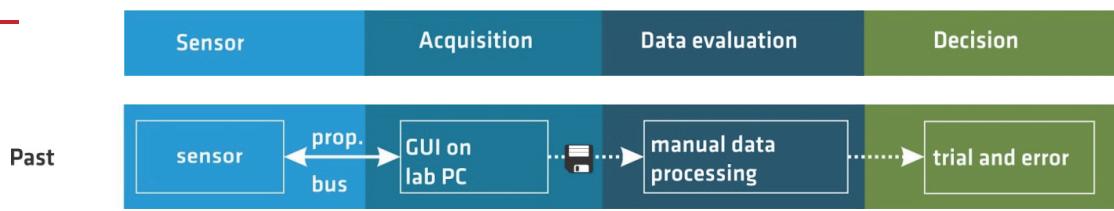
# Smart Sensors



ERP = Enterprise Resource Planning  
MES = Manufacturing Execution System  
PCS = Process Control System  
APC = Advanced Process Control  
SCADA = Supervisory Control and Data Acquisition  
PLC = Programmable Logic Controller

# From Lousy Interfaces to “Industrie 4.0” BAM

## Use of Runtime Environments



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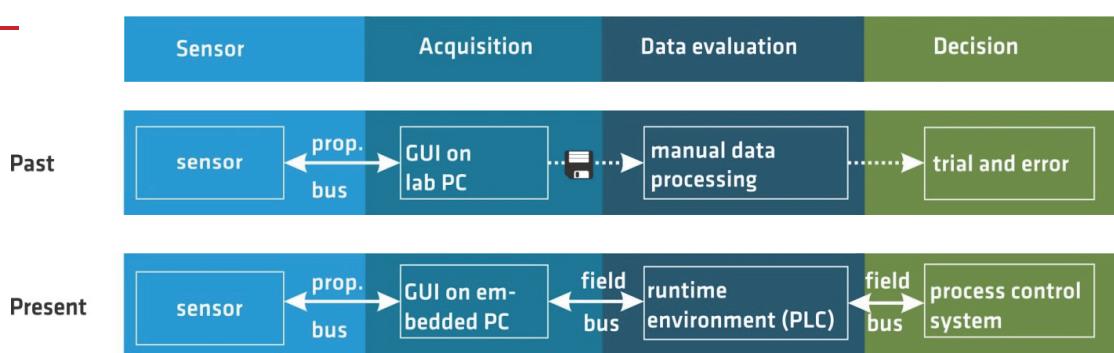
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# From Lousy Interfaces to “Industrie 4.0” BAM

## Use of Runtime Environments



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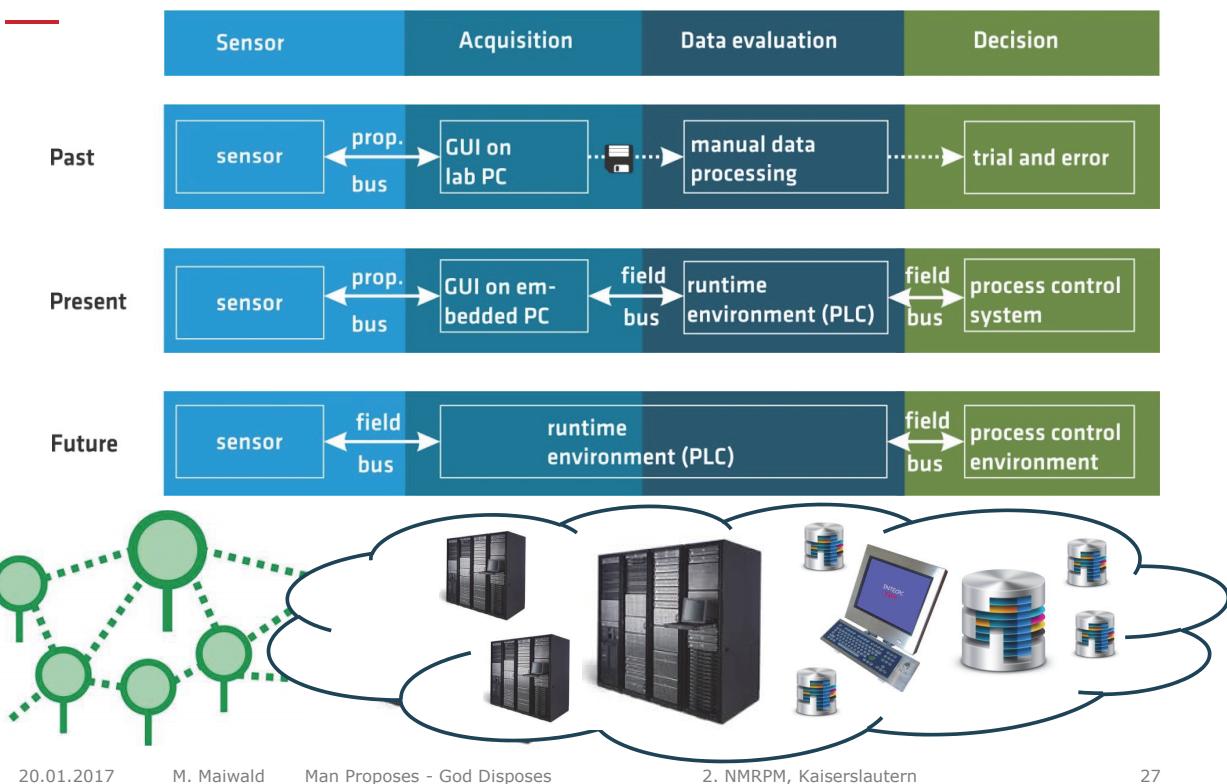
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# From Lousy Interfaces to "Industrie 4.0" BAM

## Use of Runtime Environments



## Acknowledgements



# Thank You!

Jürgen Kolz  
Ullrich Koch  
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Mike Bernstein

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Magritek  
Magritek  
MestreLab

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Clemens Minnich  
Dirk Engel

PTB  
S-Pact  
S-Pact



CONSENS – Integrated Control and Sensing for Sustainable Operation of Flexible Intensified Processes, funded by the European Union's Horizon 2020 research and innovation programme under grant agreement N° 636942; [www.consens-spire.eu](http://www.consens-spire.eu)



- Contemporary process engineering
- Integrated process design and intensified processes
  - Intensified continuous processes
  - Integrated processes
  - Towards continuous pharmaceutical manufacturing
  - Flow chemistry
- Contemporary automation and process control
- Current and future requirements to process sensors

## Integrated process design and intensified processes

### Towards continuous pharmaceutical manufacturing

Conventional Approach	Presently Targeted Approach	Future Vision
<p><b>Development and Production</b></p> <p>Studies with particular parameter variations</p> <p>Defined Processes and Specifications (ICH Q6)</p> <p>„Quality by Testing“ (QbT)</p>	<p><b>Quality by Design (QbD)</b></p> <p>ICH Guideline Q8 „Design Space“</p> <p>ICH Guideline Q9 „Quality Risk Management“</p> <p>ICH Guideline Q10 „Pharmaceutical Quality Systems“</p> <p>ICH Guideline Q11 „Development and Manufacture“</p>	<p><b>Process Analytical Technology (PAT)</b></p> <p>„Design of Experiments“ (DoE)</p> <p>Process models on basis of online data</p> <p>Multivariate data analysis</p> <p>ICH Q8 „Control Space“</p> <p>„Real Time Release“ (RTTR)</p>

- Current focuses of research are closed-loop adaptive control concepts for plant-wide process control, which make use of specific or non-specific sensors along with conventional plant instruments.
- Such advanced control solutions could give more information than only control information, such as
  - sensor failure detection
  - control performance monitoring
- and improve simulation-based engineering.

## Motivation

- processes can automatically be driven much closer to the optimal operating limit
- adaptation during the life cycle of the process
- potential to automatically cope with changes of the raw-materials as well as process conditions

# Model Building: IHM and PLS-R

 BAM  

## Lab experiments in Batch Along Reaction Co-ordinate

### Current Limitations

